



THE 48 LAWS OF

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R

ROBERT GREENE
JOOST ELFFERS

THE 48 LAWS OF POWER

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with Gary Goldschneider

The Secret Language of Relationships
with Gary Goldschneider

Play with Your Food
with Saxton Freymann

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To Anna Biller, and to my parents

R. G.

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Robert Greene

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LAW 1 page 1

NEVER OUTSHINE THE MASTER

Always make those above you feel comfortably superior. In your desire to please or impress them, do not go too far in displaying your talents or you might accomplish the opposite—inspire fear and insecurity. Make your masters appear more brilliant than they are and you will attain the heights of power.

LAW 2 page 8

NEVER PUT TOO MUCH TRUST IN FRIENDS, LEARN HOW TO USE ENEMIES

Be wary of friends—they will betray you more quickly, for they are easily aroused to envy. They also become spoiled and tyrannical. But hire a former enemy and he will be more loyal than a friend, because he has more to prove. In fact, you have more to fear from friends than from enemies. If you have no enemies, find a way to make them.

LAW 3 page 16

CONCEAL YOUR INTENTIONS

Keep people off-balance and in the dark by never revealing the purpose behind your actions. If they have no clue what you are up to, they cannot prepare a defense. Guide them far enough down the wrong path, envelop them in enough smoke, and by the time they realize your intentions, it will be too late.

LAW 4 page 31

ALWAYS SAY LESS THAN NECESSARY

When you are trying to impress people with words, the more you say, the more common you appear, and the less in control. Even if you are saying something banal, it will seem original if you make it vague, open-ended, and sphinxlike. Powerful people impress and intimidate by saying less. The more you say, the more likely you are to say something foolish.

LAW 5 page 37

SO MUCH DEPENDS ON REPUTATION—GUARD IT WITH YOUR LIFE

Reputation is the cornerstone of power. Through reputation alone you can intimidate and win; once it slips, however, you are vulnerable, and will be attacked on all sides. Make your reputation unassailable. Always be alert to potential attacks and thwart them before they happen. Meanwhile, learn to destroy your enemies by opening holes in their own reputations. Then stand aside and let public opinion hang them.

LAW 6 page 44

COURT ATTENTION AT ALL COST

Everything is judged by its appearance; what is unseen counts for nothing. Never let yourself get lost in the crowd, then, or buried in oblivion. Stand out. Be conspicuous, at all cost. Make yourself a magnet of attention by appearing larger, more colorful, more mysterious than the bland and timid masses.

LAW 7 page 56

GET OTHERS TO DO THE WORK FOR YOU, BUT ALWAYS TAKE THE CREDIT

Use the wisdom, knowledge, and legwork of other people to further your own cause. Not only will such assistance save you valuable time and energy, it will give you a godlike aura of efficiency and speed. In the end your helpers will be forgotten and you will be remembered. Never do yourself what others can do for you.

LAW 8 page 62

MAKE OTHER PEOPLE COME TO YOU—USE BAIT IF NECESSARY

When you force the other person to act, you are the one in control. It is always better to make your opponent come to you, abandoning his own plans in the process. Lure him with fabulous gains—then attack. You hold the cards.

LAW 9 page 69

WIN THROUGH YOUR ACTIONS, NEVER THROUGH ARGUMENT

Any momentary triumph you think you have gained through argument is really a Pyrrhic victory: The resentment and ill will you stir up is stronger and lasts longer than any momentary change of opinion. It is much more powerful to get others to agree with you through your actions, without saying a word. Demonstrate, do not explicate.

LAW 10 page 76

INFECTION: AVOID THE UNHAPPY AND UNLUCKY

You can die from someone else's misery—emotional states are as infectious as diseases. You may feel you are helping the drowning man but you are only precipitating your own disaster. The unfortunate sometimes draw misfortune on themselves; they will also draw it on you. Associate with the happy and fortunate instead.

LAW 11 page 82

LEARN TO KEEP PEOPLE DEPENDENT ON YOU

To maintain your independence you must always be needed and wanted. The more you are relied on, the more freedom you have. Make people depend on you for their happiness and prosperity and you have nothing to fear. Never teach them enough so that they can do without you.

LAW 12 page 89

USE SELECTIVE HONESTY AND GENEROSITY TO DISARM YOUR VICTIM

One sincere and honest move will cover over dozens of dishonest ones. Open-hearted gestures of honesty and generosity bring down the guard of even the most suspicious people. Once your selective honesty opens a hole in their armor, you can deceive and manipulate them at will. A timely gift—a Trojan horse—will serve the same purpose.

LAW 13 page 95

WHEN ASKING FOR HELP, APPEAL TO PEOPLE'S SELF-INTEREST,
NEVER TO THEIR MERCY OR GRATITUDE

If you need to turn to an ally for help, do not bother to remind him of your past assistance and good deeds. He will find a way to ignore you. Instead, uncover something in your request, or in your alliance with him, that will benefit him, and emphasize it out of all proportion. He will respond enthusiastically when he sees something to be gained for himself.

LAW 14 page 101

POSE AS A FRIEND, WORK AS A SPY

Knowing about your rival is critical. Use spies to gather valuable information that will keep you a step ahead. Better still: Play the spy yourself. In polite social encounters, learn to probe. Ask indirect questions to get people to reveal their weaknesses and intentions. There is no occasion that is not an opportunity for artful spying.

LAW 15 page 107

CRUSH YOUR ENEMY TOTALLY

All great leaders since Moses have known that a feared enemy must be crushed completely. (Sometimes they have learned this the hard way.) If one ember is left alight, no matter how dimly it smolders, a fire will eventually break out. More is lost through stopping halfway than through total annihilation: The enemy will recover, and will seek revenge. Crush him, not only in body but in spirit.

LAW 16 page 115

USE ABSENCE TO INCREASE RESPECT AND HONOR

Too much circulation makes the price go down: The more you are seen and heard from, the more common you appear. If you are already established in a group, temporary withdrawal from it will make you more talked about, even more admired. You must learn when to leave. Create value through scarcity.

LAW 17 page 123

KEEP OTHERS IN SUSPENDED TERROR: CULTIVATE AN AIR OF UNPREDICTABILITY

Humans are creatures of habit with an insatiable need to see familiarity in other people's actions. Your predictability gives them a sense of control. Turn the tables: Be deliberately unpredictable. Behavior that seems to have no consistency or purpose will keep them off-balance, and they will wear themselves out trying to explain your moves. Taken to an extreme, this strategy can intimidate and terrorize.

LAW 18 page 130

DO NOT BUILD FORTRESSES TO PROTECT YOURSELF—ISOLATION IS DANGEROUS

The world is dangerous and enemies are everywhere—everyone has to protect themselves. A fortress seems the safest. But isolation exposes you to more dangers than it protects you from—it cuts you off from valuable information, it makes you conspicuous and an easy target. Better to circulate among people, find allies, mingle. You are shielded from your enemies by the crowd.

LAW 19 page 137

KNOW WHO YOU'RE DEALING WITH—DO NOT OFFEND THE WRONG PERSON

There are many different kinds of people in the world, and you can never assume that everyone will react to your strategies in the same way. Deceive or outmaneuver some people and they will spend the rest of their lives seeking revenge. They are wolves in lambs' clothing. Choose your victims and opponents carefully, then—never offend or deceive the wrong person.

LAW 20 page 145

DO NOT COMMIT TO ANYONE

It is the fool who always rushes to take sides. Do not commit to any side or cause but yourself. By maintaining your independence, you become the master of others—playing people against one another, making them pursue you.

LAW 21 page 156

PLAY A SUCKER TO CATCH A SUCKER—SEEM DUMBER THAN YOUR MARK

No one likes feeling stupider than the next person. The trick, then, is to make your victims feel smart—and not just smart, but smarter than you are. Once convinced of this, they will never suspect that you may have ulterior motives.

LAW 22 page 163

USE THE SURRENDER TACTIC: TRANSFORM WEAKNESS INTO POWER

When you are weaker, never fight for honor's sake; choose surrender instead. Surrender gives you time to recover, time to torment and irritate your conqueror, time to wait for his power to wane. Do not give him the satisfaction of fighting and defeating you—surrender first. By turning the other cheek you infuriate and unsettle him. Make surrender a tool of power.

LAW 23 page 171

CONCENTRATE YOUR FORCES

Conserve your forces and energies by keeping them concentrated at their strongest point. You gain more by finding a rich mine and mining it deeper, than by flitting from one shallow mine to another—intensity defeats intensity every time. When looking for sources of power to elevate you, find the one key patron, the fat cow who will give you milk for a long time to come.

LAW 24 page 178

PLAY THE PERFECT COURTIER

The perfect courtier thrives in a world where everything revolves around power and political dexterity. He has mastered the art of indirection; he flatters, yields to superiors, and asserts power over others in the most oblique and graceful manner. Learn and apply the laws of courtiership and there will be no limit to how far you can rise in the court.

LAW 25 page 191

RE-CREATE YOURSELF

Do not accept the roles that society foists on you. Re-create yourself by forging a new identity, one that commands attention and never bores the audience. Be the master of your own image rather than letting others define it for you. Incorporate dramatic devices into your public gestures and actions—your power will be enhanced and your character will seem larger than life.

LAW 26 page 200

KEEP YOUR HANDS CLEAN

You must seem a paragon of civility and efficiency: Your hands are never soiled by mistakes and nasty deeds. Maintain such a spotless appearance by using others as scapegoats and cat's-paws to disguise your involvement.

LAW 27 page 215

PLAY ON PEOPLE'S NEED TO BELIEVE TO CREATE A CULTLIKE FOLLOWING

People have an overwhelming desire to believe in something. Become the focal point of such desire by offering them a cause, a new faith to follow. Keep your words vague but full of promise; emphasize enthusiasm over rationality and clear thinking. Give your new disciples rituals to perform, ask them to make sacrifices on your behalf. In the absence of organized religion and grand causes, your new belief system will bring you untold power.

LAW 28 page 227

ENTER ACTION WITH BOLDNESS

If you are unsure of a course of action, do not attempt it. Your doubts and hesitations will infect your execution. Timidity is dangerous: Better to enter with boldness. Any mistakes you commit through audacity are easily corrected with more audacity. Everyone admires the bold; no one honors the timid.

LAW 29 page 236

PLAN ALL THE WAY TO THE END

The ending is everything. Plan all the way to it, taking into account all the possible consequences, obstacles, and twists of fortune that might reverse your hard work and give the glory to others. By planning to the end you will not be overwhelmed by circumstances and you will know when to stop. Gently guide fortune and help determine the future by thinking far ahead.

LAW 30 page 245

MAKE YOUR ACCOMPLISHMENTS SEEM EFFORTLESS

Your actions must seem natural and executed with ease. All the toil and practice that go into them, and also all the clever tricks, must be concealed. When you act, act effortlessly, as if you could do much more. Avoid the temptation of revealing how hard you work—it only raises questions. Teach no one your tricks or they will be used against you.

LAW 31 page 254

CONTROL THE OPTIONS: GET OTHERS TO PLAY WITH THE CARDS YOU DEAL

The best deceptions are the ones that seem to give the other person a choice: Your victims feel they are in control, but are actually your puppets. Give people options that come out in your favor whichever one they choose. Force them to make choices between the lesser of two evils, both of which serve your purpose. Put them on the horns of a dilemma: They are gored wherever they turn.

LAW 32 page 263

PLAY TO PEOPLE'S FANTASIES

The truth is often avoided because it is ugly and unpleasant. Never appeal to truth and reality unless you are prepared for the anger that comes from disenchantment. Life is so harsh and distressing that people who can manufacture romance or conjure up fantasy are like oases in the desert: Everyone flocks to them. There is great power in tapping into the fantasies of the masses.

LAW 33 page 271

DISCOVER EACH MAN'S THUMBSCREW

Everyone has a weakness, a gap in the castle wall. That weakness is usually an insecurity, an uncontrollable emotion or need; it can also be a small secret pleasure. Either way, once found, it is a thumbscrew you can turn to your advantage.

LAW 34 page 282

BE ROYAL IN YOUR OWN FASHION: ACT LIKE A KING TO BE TREATED LIKE ONE

The way you carry yourself will often determine how you are treated: In the long run, appearing vulgar or common will make people disrespect you. For a king respects himself and inspires the same sentiment in others. By acting regally and confident of your powers, you make yourself seem destined to wear a crown.

LAW 35 page 291

MASTER THE ART OF TIMING

Never seem to be in a hurry—hurrying betrays a lack of control over yourself, and over time. Always seem patient, as if you know that everything will come to you eventually. Become a detective of the right moment; sniff out the spirit of the times, the trends that will carry you to power. Learn to stand back when the time is not yet ripe, and to strike fiercely when it has reached fruition.

LAW 36 page 300

DISDAIN THINGS YOU CANNOT HAVE: IGNORING THEM IS THE BEST REVENGE

By acknowledging a petty problem you give it existence and credibility. The more attention you pay an enemy, the stronger you make him; and a small mistake is often made worse and more visible when you try to fix it. It is sometimes best to leave things alone. If there is something you want but cannot have, show contempt for it. The less interest you reveal, the more superior you seem.

LAW 37 page 309

CREATE COMPELLING SPECTACLES

Striking imagery and grand symbolic gestures create the aura of power—everyone responds to them. Stage spectacles for those around you, then, full of arresting visuals and radiant symbols that heighten your presence. Dazzled by appearances, no one will notice what you are really doing.

LAW 38 page 317

THINK AS YOU LIKE BUT BEHAVE LIKE OTHERS

If you make a show of going against the times, flaunting your unconventional ideas and unorthodox ways, people will think that you only want attention and that you look down upon them. They will find a way to punish you for making them feel inferior. It is far safer to blend in and nurture the common touch. Share your originality only with tolerant friends and those who are sure to appreciate your uniqueness.

LAW 39 page 325

STIR UP WATERS TO CATCH FISH

Anger and emotion are strategically counterproductive. You must always stay calm and objective. But if you can make your enemies angry while staying calm yourself, you gain a decided advantage. Put your enemies off-balance: Find the chink in their vanity through which you can rattle them and you hold the strings.

LAW 40 page 333

DESPISE THE FREE LUNCH

What is offered for free is dangerous—it usually involves either a trick or a hidden obligation. What has worth is worth paying for. By paying your own way you stay clear of gratitude, guilt, and deceit. It is also often wise to pay the full price—there is no cutting corners with excellence. Be lavish with your money and keep it circulating, for generosity is a sign and a magnet for power.

LAW 41 page 347

AVOID STEPPING INTO A GREAT MAN'S SHOES

What happens first always appears better and more original than what comes after. If you succeed a great man or have a famous parent, you will have to accomplish double their achievements to outshine them. Do not get lost in their shadow, or stuck in a past not of your own making: Establish your own name and identity by changing course. Slay the overbearing father, disparage his legacy, and gain power by shining in your own way.

LAW 42 page 358

STRIKE THE SHEPHERD AND THE SHEEP WILL SCATTER

Trouble can often be traced to a single strong individual—the stirrer, the arrogant underling, the poisoner of goodwill. If you allow such people room to operate, others will succumb to their influence. Do not wait for the troubles they cause to multiply, do not try to negotiate with them—they are irredeemable. Neutralize their influence by isolating or banishing them. Strike at the source of the trouble and the sheep will scatter.

LAW 43 page 367

WORK ON THE HEARTS AND MINDS OF OTHERS

Coercion creates a reaction that will eventually work against you. You must seduce others into wanting to move in your direction. A person you have seduced becomes your loyal pawn. And the way to seduce others is to operate on their individual psychologies and weaknesses. Soften up the resistant by working on their emotions, playing on what they hold dear and what they fear. Ignore the hearts and minds of others and they will grow to hate you.

LAW 44 page 376

DISARM AND INFURIATE WITH THE MIRROR EFFECT

The mirror reflects reality, but it is also the perfect tool for deception: When you mirror your enemies, doing exactly as they do, they cannot figure out your strategy. The Mirror Effect mocks and humiliates them, making them overreact. By holding up a mirror to their psyches, you seduce them with the illusion that you share their values; by holding up a mirror to their actions, you teach them a lesson. Few can resist the power of the Mirror Effect.

LAW 45 page 392

PREACH THE NEED FOR CHANGE, BUT NEVER REFORM TOO MUCH AT ONCE

Everyone understands the need for change in the abstract, but on the day-to-day level people are creatures of habit. Too much innovation is traumatic, and will lead to revolt. If you are new to a position of power, or an outsider trying to build a power base, make a show of respecting the old way of doing things. If change is necessary, make it feel like a gentle improvement on the past.

LAW 46 page 400

NEVER APPEAR TOO PERFECT

Appearing better than others is always dangerous, but most dangerous of all is to appear to have no faults or weaknesses. Envy creates silent enemies. It is smart to occasionally display defects, and admit to harmless vices, in order to deflect envy and appear more human and approachable. Only gods and the dead can seem perfect with impunity.

LAW 47 page 410

DO NOT GO PAST THE MARK YOU AIMED FOR;
IN VICTORY, LEARN WHEN TO STOP

The moment of victory is often the moment of greatest peril. In the heat of victory, arrogance and overconfidence can push you past the goal you had aimed for, and by going too far, you make more enemies than you defeat. Do not allow success to go to your head. There is no substitute for strategy and careful planning. Set a goal, and when you reach it, stop.

LAW 48 page 419

ASSUME FORMLESSNESS

By taking a shape, by having a visible plan, you open yourself to attack. Instead of taking a form for your enemy to grasp, keep yourself adaptable and on the move. Accept the fact that nothing is certain and no law is fixed. The best way to protect yourself is to be as fluid and formless as water; never bet on stability or lasting order. Everything changes.

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PREFACE

The feeling of having no power over people and events is generally unbearable to us—when we feel helpless we feel miserable. No one wants less power; everyone wants more. In the world today, however, it is dangerous to seem too power hungry, to be overt with your power moves. We have to seem fair and decent. So we need to be subtle—congenial yet cunning, democratic yet devious.

This game of constant duplicity most resembles the power dynamic that existed in the scheming world of the old aristocratic court. Throughout history, a court has always formed itself around the person in power—king, queen, emperor, leader. The courtiers who filled this court were in an especially delicate position: They had to serve their masters, but if they seemed to fawn, if they curried favor too obviously, the other courtiers around them would notice and would act against them. Attempts to win the master's favor, then, had to be subtle. And even skilled courtiers capable of such subtlety still had to protect themselves from their fellow courtiers, who at all moments were scheming to push them aside.

Meanwhile the court was supposed to represent the height of civilization and refinement. Violent or overt power moves were frowned upon; courtiers would work silently and secretly against any among them who used force. This was the courtier's dilemma: While appearing the very paragon of elegance, they had to outwit and thwart their own opponents in the subtlest of ways. The successful courtier learned over time to make all of his moves indirect; if he stabbed an opponent in the back, it was with a velvet glove on his hand and the sweetest of smiles on his face. Instead of using coercion or outright treachery, the perfect courtier got his way through seduction, charm, deception, and subtle strategy, always planning several moves ahead. Life in the court was a never-ending game that required constant vigilance and tactical thinking. It was civilized war.

Today we face a peculiarly similar paradox to that of the courtier: Everything must appear civilized, decent, democratic, and fair. But if we play by those rules too strictly, if we take them too literally, we are crushed by those around us who are not so foolish. As the great Renaissance diplomat and courtier Niccolò Machiavelli wrote, "Any man who tries to be good all the time is bound to come to ruin among the great number who are not good." The court imagined itself the pinnacle of refinement, but un-

Courts are, unquestionably, the seats of politeness and good breeding; were they not so, they would be the seats of slaughter and desolation. Those who now smile upon and embrace, would affront and stab, each other, if manners did not interpose. . . .

LORD CHESTERFIELD,
1694–1773

There is nothing very odd about lambs disliking birds of prey, but this is no reason for holding it against large birds of prey that they carry off lambs. And when the lambs whisper among themselves, "These birds of prey are evil, and does this not give us a right to say that whatever is the opposite of a bird of prey must be good?" there is nothing intrinsically wrong with such an argument—though the birds of prey will look somewhat quizzically and say, "We have nothing against these good lambs; in fact, we love them; nothing tastes better than a tender lamb."

FRIEDRICH NIETZSCHE,
1844–1900

derneath its glittering surface a cauldron of dark emotions—greed, envy, lust, hatred—boiled and simmered. Our world today similarly imagines itself the pinnacle of fairness, yet the same ugly emotions still stir within us, as they have forever. The game is the same. Outwardly, you must seem to respect the niceties, but inwardly, unless you are a fool, you learn quickly to be prudent, and to do as Napoleon advised: Place your iron hand inside a velvet glove. If, like the courtier of times gone by, you can master the arts of indirection, learning to seduce, charm, deceive, and subtly outmaneuver your opponents, you will attain the heights of power. You will be able to make people bend to your will without their realizing what you have done. And if they do not realize what you have done, they will neither resent nor resist you.

To some people the notion of consciously playing power games—no matter how indirect—seems evil, asocial, a relic of the past. They believe they can opt out of the game by behaving in ways that have nothing to do with power. You must beware of such people, for while they express such opinions outwardly, they are often among the most adept players at power. They utilize strategies that cleverly disguise the nature of the manipulation involved. These types, for example, will often display their weakness and lack of power as a kind of moral virtue. But true powerlessness, without any motive of self-interest, would not publicize its weakness to gain sympathy or respect. Making a show of one's weakness is actually a very effective strategy, subtle and deceptive, in the game of power (see Law 22, the Surrender Tactic).

Another strategy of the supposed nonplayer is to demand equality in every area of life. Everyone must be treated alike, whatever their status and strength. But if, to avoid the taint of power, you attempt to treat everyone equally and fairly, you will confront the problem that some people do certain things better than others. Treating everyone equally means ignoring their differences, elevating the less skillful and suppressing those who excel. Again, many of those who behave this way are actually deploying another power strategy, redistributing people's rewards in a way that they determine.

Yet another way of avoiding the game would be perfect honesty and straightforwardness, since one of the main techniques of those who seek power is deceit and secrecy. But being perfectly honest will inevitably hurt and insult a great many people, some of whom will choose to injure you in return. No one will see your honest statement as completely objective and free of some personal motivation. And they will be right: In truth, the use of honesty is indeed a power strategy, intended to convince people of one's noble, good-hearted, selfless character. It is a form of persuasion, even a subtle form of coercion.

Finally, those who claim to be nonplayers may affect an air of naïveté, to protect them from the accusation that they are after power. Beware again, however, for the appearance of naïveté can be an effective means of

deceit (see Law 21, Seem Dumber Than Your Mark). And even genuine naiveté is not free of the snares of power. Children may be naive in many ways, but they often act from an elemental need to gain control over those around them. Children suffer greatly from feeling powerless in the adult world, and they use any means available to get their way. Genuinely innocent people may still be playing for power, and are often horribly effective at the game, since they are not hindered by reflection. Once again, those who make a show or display of innocence are the least innocent of all.

You can recognize these supposed nonplayers by the way they flaunt their moral qualities, their piety, their exquisite sense of justice. But since all of us hunger for power, and almost all of our actions are aimed at gaining it, the nonplayers are merely throwing dust in our eyes, distracting us from their power plays with their air of moral superiority. If you observe them closely, you will see in fact that they are often the ones most skillful at indirect manipulation, even if some of them practice it unconsciously. And they greatly resent any publicizing of the tactics they use every day.

If the world is like a giant scheming court and we are trapped inside it, there is no use in trying to opt out of the game. That will only render you powerless, and powerlessness will make you miserable. Instead of struggling against the inevitable, instead of arguing and whining and feeling guilty, it is far better to excel at power. In fact, the better you are at dealing with power, the better friend, lover, husband, wife, and person you become. By following the route of the perfect courtier (see Law 24) you learn to make others feel better about themselves, becoming a source of pleasure to them. They will grow dependent on your abilities and desirous of your presence. By mastering the 48 laws in this book, you spare others the pain that comes from bungling with power—by playing with fire without knowing its properties. If the game of power is inescapable, better to be an artist than a denier or a bungler.

Learning the game of power requires a certain way of looking at the world, a shifting of perspective. It takes effort and years of practice, for much of the game may not come naturally. Certain basic skills are required, and once you master these skills you will be able to apply the laws of power more easily.

The most important of these skills, and power's crucial foundation, is the ability to master your emotions. An emotional response to a situation is the single greatest barrier to power, a mistake that will cost you a lot more than any temporary satisfaction you might gain by expressing your feelings. Emotions cloud reason, and if you cannot see the situation clearly, you cannot prepare for and respond to it with any degree of control.

Anger is the most destructive of emotional responses, for it clouds your vision the most. It also has a ripple effect that invariably makes situations less controllable and heightens your enemy's resolve. If you are trying to destroy an enemy who has hurt you, far better to keep him off-guard by feigning friendliness than showing your anger.

The only means to gain one's ends with people are force and cunning. Love also, they say; but that is to wait for sunshine, and life needs every moment.

JOHANN VON GOETHE,
1749–1832

The arrow shot by the archer may or may not kill a single person. But stratagems devised by a wise man can kill even babes in the womb.

KAUTILYA,
INDIAN PHILOSOPHER,
THIRD CENTURY B.C.

*I thought to myself
with what means, with
what deceptions, with
how many varied arts,
with what industry a
man sharpens his wits
to deceive another,
and through these
variations the world is
made more beautiful.*

FRANCESCO VETTORI,
CONTEMPORARY AND
FRIEND OF
MACHIAVELLI,
EARLY SIXTEENTH
CENTURY

*There are no princi-
ples; there are only
events. There is no
good and bad, there are
only circumstances.
The superior man
espouses events and
circumstances in order
to guide them. If there
were principles and
fixed laws, nations
would not change them
as we change our shirts
and a man can not be
expected to be wiser
than an entire nation.*

HONORÉ DE BALZAC,
1799–1850

Love and affection are also potentially destructive, in that they blind you to the often self-serving interests of those whom you least suspect of playing a power game. You cannot repress anger or love, or avoid feeling them, and you should not try. But you should be careful about how you express them, and most important, they should never influence your plans and strategies in any way.

Related to mastering your emotions is the ability to distance yourself from the present moment and think objectively about the past and future. Like Janus, the double-faced Roman deity and guardian of all gates and doorways, you must be able to look in both directions at once, the better to handle danger from wherever it comes. Such is the face you must create for yourself—one face looking continuously to the future and the other to the past.

For the future, the motto is, “No days unalert.” Nothing should catch you by surprise because you are constantly imagining problems before they arise. Instead of spending your time dreaming of your plan’s happy ending, you must work on calculating every possible permutation and pitfall that might emerge in it. The further you see, the more steps ahead you plan, the more powerful you become.

The other face of Janus looks constantly to the past—though not to remember past hurts or bear grudges. That would only curb your power. Half of the game is learning how to forget those events in the past that eat away at you and cloud your reason. The real purpose of the backward-glancing eye is to educate yourself constantly—you look at the past to learn from those who came before you. (The many historical examples in this book will greatly help that process.) Then, having looked to the past, you look closer at hand, to your own actions and those of your friends. This is the most vital school you can learn from, because it comes from personal experience.

You begin by examining the mistakes you have made in the past, the ones that have most grievously held you back. You analyze them in terms of the 48 laws of power, and you extract from them a lesson and an oath: “I shall never repeat such a mistake; I shall never fall into such a trap again.” If you can evaluate and observe yourself in this way, you can learn to break the patterns of the past—an immensely valuable skill.

Power requires the ability to play with appearances. To this end you must learn to wear many masks and keep a bag full of deceptive tricks. Deception and masquerade should not be seen as ugly or immoral. All human interaction requires deception on many levels, and in some ways what separates humans from animals is our ability to lie and deceive. In Greek myths, in India’s Mahabharata cycle, in the Middle Eastern epic of Gilgamesh, it is the privilege of the gods to use deceptive arts; a great man, Odysseus for instance, was judged by his ability to rival the craftiness of the gods, stealing some of their divine power by matching them in wits and deception. Deception is a developed art of civilization and the most potent weapon in the game of power.

You cannot succeed at deception unless you take a somewhat distanced approach to yourself—unless you can be many different people, wearing the mask that the day and the moment require. With such a flexible approach to all appearances, including your own, you lose a lot of the inward heaviness that holds people down. Make your face as malleable as the actor's, work to conceal your intentions from others, practice luring people into traps. Playing with appearances and mastering arts of deception are among the aesthetic pleasures of life. They are also key components in the acquisition of power.

If deception is the most potent weapon in your arsenal, then patience in all things is your crucial shield. Patience will protect you from making moronic blunders. Like mastering your emotions, patience is a skill—it does not come naturally. But nothing about power is natural; power is more godlike than anything in the natural world. And patience is the supreme virtue of the gods, who have nothing but time. Everything good will happen—the grass will grow again, if you give it time and see several steps into the future. Impatience, on the other hand, only makes you look weak. It is a principal impediment to power.

Power is essentially amoral and one of the most important skills to acquire is the ability to see circumstances rather than good or evil. Power is a game—this cannot be repeated too often—and in games you do not judge your opponents by their intentions but by the effect of their actions. You measure their strategy and their power by what you can see and feel. How often are someone's intentions made the issue only to cloud and deceive! What does it matter if another player, your friend or rival, intended good things and had only your interests at heart, if the effects of his action lead to so much ruin and confusion? It is only natural for people to cover up their actions with all kinds of justifications, always assuming that they have acted out of goodness. You must learn to inwardly laugh each time you hear this and never get caught up in gauging someone's intentions and actions through a set of moral judgments that are really an excuse for the accumulation of power.

It is a game. Your opponent sits opposite you. Both of you behave as gentlemen or ladies, observing the rules of the game and taking nothing personally. You play with a strategy and you observe your opponent's moves with as much calmness as you can muster. In the end, you will appreciate the politeness of those you are playing with more than their good and sweet intentions. Train your eye to follow the results of their moves, the outward circumstances, and do not be distracted by anything else.

Half of your mastery of power comes from what you do *not* do, what you do *not* allow yourself to get dragged into. For this skill you must learn to judge all things by what they cost you. As Nietzsche wrote, "The value of a thing sometimes lies not in what one attains with it, but in what one pays for it—what it *costs* us." Perhaps you will attain your goal, and a worthy goal at that, but at what price? Apply this standard to everything, including whether to collaborate with other people or come to their aid. In the end,

life is short, opportunities are few, and you have only so much energy to draw on. And in this sense time is as important a consideration as any other. Never waste valuable time, or mental peace of mind, on the affairs of others—that is too high a price to pay.

Power is a social game. To learn and master it, you must develop the ability to study and understand people. As the great seventeenth-century thinker and courtier Baltasar Gracián wrote: “Many people spend time studying the properties of animals or herbs; how much more important it would be to study those of people, with whom we must live or die!” To be a master player you must also be a master psychologist. You must recognize motivations and see through the cloud of dust with which people surround their actions. An understanding of people’s hidden motives is the single greatest piece of knowledge you can have in acquiring power. It opens up endless possibilities of deception, seduction, and manipulation.

People are of infinite complexity and you can spend a lifetime watching them without ever fully understanding them. So it is all the more important, then, to begin your education now. In doing so you must also keep one principle in mind: Never discriminate as to whom you study and whom you trust. Never trust anyone completely and study everyone, including friends and loved ones.

Finally, you must learn always to take the indirect route to power. Disguise your cunning. Like a billiard ball that caroms several times before it hits its target, your moves must be planned and developed in the least obvious way. By training yourself to be indirect, you can thrive in the modern court, appearing the paragon of decency while being the consummate manipulator.

Consider *The 48 Laws of Power* a kind of handbook on the arts of indirection. The laws are based on the writings of men and women who have studied and mastered the game of power. These writings span a period of more than three thousand years and were created in civilizations as disparate as ancient China and Renaissance Italy; yet they share common threads and themes, together hinting at an essence of power that has yet to be fully articulated. The 48 laws of power are the distillation of this accumulated wisdom, gathered from the writings of the most illustrious strategists (Sun-tzu, Clausewitz), statesmen (Bismarck, Talleyrand), courtiers (Castiglione, Gracián), seducers (Ninon de Lenclos, Casanova), and con artists (“Yellow Kid” Weil) in history.

The laws have a simple premise: Certain actions almost always increase one’s power (the observance of the law), while others decrease it and even ruin us (the transgression of the law). These transgressions and observances are illustrated by historical examples. The laws are timeless and definitive.

The 48 Laws of Power can be used in several ways. By reading the book straight through you can learn about power in general. Although several of the laws may seem not to pertain directly to your life, in time you will

probably find that all of them have some application, and that in fact they are interrelated. By getting an overview of the entire subject you will best be able to evaluate your own past actions and gain a greater degree of control over your immediate affairs. A thorough reading of the book will inspire thinking and reevaluation long after you finish it.

The book has also been designed for browsing and for examining the law that seems at that particular moment most pertinent to you. Say you are experiencing problems with a superior and cannot understand why your efforts have not led to more gratitude or a promotion. Several laws specifically address the master-underling relationship, and you are almost certainly transgressing one of them. By browsing the initial paragraphs for the 48 laws in the table of contents, you can identify the pertinent law.

Finally, the book can be browsed through and picked apart for entertainment, for an enjoyable ride through the foibles and great deeds of our predecessors in power. A warning, however, to those who use the book for this purpose: It might be better to turn back. Power is endlessly seductive and deceptive in its own way. It is a labyrinth—your mind becomes consumed with solving its infinite problems, and you soon realize how pleasantly lost you have become. In other words, it becomes most amusing by taking it seriously. Do not be frivolous with such a critical matter. The gods of power frown on the frivolous; they give ultimate satisfaction only to those who study and reflect, and punish those who skim the surfaces looking for a good time.

Any man who tries to be good all the time is bound to come to ruin among the great number who are not good. Hence a prince who wants to keep his authority must learn how not to be good, and use that knowledge, or refrain from using it, as necessity requires.

THE PRINCE, *Niccolò Machiavelli, 1469–1527*

LAW

I

NEVER OUTSHINE

THE MASTER

JUDGMENT

Always make those above you feel comfortably superior. In your desire to please and impress them, do not go too far in displaying your talents or you might accomplish the opposite—inspire fear and insecurity. Make your masters appear more brilliant than they are and you will attain the heights of power.

TRANSGRESSION OF THE LAW

Nicolas Fouquet, Louis XIV's finance minister in the first years of his reign, was a generous man who loved lavish parties, pretty women, and poetry. He also loved money, for he led an extravagant lifestyle. Fouquet was clever and very much indispensable to the king, so when the prime minister, Jules Mazarin, died, in 1661, the finance minister expected to be named the successor. Instead, the king decided to abolish the position. This and other signs made Fouquet suspect that he was falling out of favor, and so he decided to ingratiate himself with the king by staging the most spectacular party the world had ever seen. The party's ostensible purpose would be to commemorate the completion of Fouquet's château, Vaux-le-Vicomte, but its real function was to pay tribute to the king, the guest of honor.

The most brilliant nobility of Europe and some of the greatest minds of the time—La Fontaine, La Rochefoucauld, Madame de Sévigné—attended the party. Molière wrote a play for the occasion, in which he himself was to perform at the evening's conclusion. The party began with a lavish seven-course dinner, featuring foods from the Orient never before tasted in France, as well as new dishes created especially for the night. The meal was accompanied with music commissioned by Fouquet to honor the king.

After dinner there was a promenade through the château's gardens. The grounds and fountains of Vaux-le-Vicomte were to be the inspiration for Versailles.

Fouquet personally accompanied the young king through the geometrically aligned arrangements of shrubbery and flower beds. Arriving at the gardens' canals, they witnessed a fireworks display, which was followed by the performance of Molière's play. The party ran well into the night and everyone agreed it was the most amazing affair they had ever attended.

The next day, Fouquet was arrested by the king's head musketeer, D'Artagnan. Three months later he went on trial for stealing from the country's treasury. (Actually, most of the stealing he was accused of he had done on the king's behalf and with the king's permission.) Fouquet was found guilty and sent to the most isolated prison in France, high in the Pyrenees Mountains, where he spent the last twenty years of his life in solitary confinement.

Interpretation

Louis XIV, the Sun King, was a proud and arrogant man who wanted to be the center of attention at all times; he could not countenance being outdone in lavishness by anyone, and certainly not his finance minister. To succeed Fouquet, Louis chose Jean-Baptiste Colbert, a man famous for his parsimony and for giving the dullest parties in Paris. Colbert made sure that any money liberated from the treasury went straight into Louis's hands. With the money, Louis built a palace even more magnificent than Fouquet's—the glorious palace of Versailles. He used the same architects,

decorators, and garden designer. And at Versailles, Louis hosted parties even more extravagant than the one that cost Fouquet his freedom.

Let us examine the situation. The evening of the party, as Fouquet presented spectacle on spectacle to Louis, each more magnificent than the one before, he imagined the affair as demonstrating his loyalty and devotion to the king. Not only did he think the party would put him back in the king's favor, he thought it would show his good taste, his connections, and his popularity, making him indispensable to the king and demonstrating that he would make an excellent prime minister. Instead, however, each new spectacle, each appreciative smile bestowed by the guests on Fouquet, made it seem to Louis that his own friends and subjects were more charmed by the finance minister than by the king himself, and that Fouquet was actually flaunting his wealth and power. Rather than flattering Louis XIV, Fouquet's elaborate party offended the king's vanity. Louis would not admit this to anyone, of course—instead, he found a convenient excuse to rid himself of a man who had inadvertently made him feel insecure.

Such is the fate, in some form or other, of all those who unbalance the master's sense of self, poke holes in his vanity, or make him doubt his pre-eminence.

*When the evening began, Fouquet was at the top of the world.
By the time it had ended, he was at the bottom.*

Voltaire, 1694–1778

OBSERVANCE OF THE LAW

In the early 1600s, the Italian astronomer and mathematician Galileo found himself in a precarious position. He depended on the generosity of great rulers to support his research, and so, like all Renaissance scientists, he would sometimes make gifts of his inventions and discoveries to the leading patrons of the time. Once, for instance, he presented a military compass he had invented to the Duke of Gonzaga. Then he dedicated a book explaining the use of the compass to the Medicis. Both rulers were grateful, and through them Galileo was able to find more students to teach. No matter how great the discovery, however, his patrons usually paid him with gifts, not cash. This made for a life of constant insecurity and dependence. There must be an easier way, he thought.

Galileo hit on a new strategy in 1610, when he discovered the moons of Jupiter. Instead of dividing the discovery among his patrons—giving one the telescope he had used, dedicating a book to another, and so on—as he had done in the past, he decided to focus exclusively on the Medicis. He chose the Medicis for one reason: Shortly after Cosimo I had established the Medici dynasty, in 1540, he had made Jupiter, the mightiest of the gods, the Medici symbol—a symbol of a power that went beyond politics and banking, one linked to ancient Rome and its divinities.

Galileo turned his discovery of Jupiter's moons into a cosmic event

honoring the Medicis' greatness. Shortly after the discovery, he announced that "the bright stars [the moons of Jupiter] offered themselves in the heavens" to his telescope at the same time as Cosimo II's enthronement. He said that the number of the moons—four—harmonized with the number of the Medicis (Cosimo II had three brothers) and that the moons orbited Jupiter as these four sons revolved around Cosimo I, the dynasty's founder. More than coincidence, this showed that the heavens themselves reflected the ascendancy of the Medici family. After he dedicated the discovery to the Medicis, Galileo commissioned an emblem representing Jupiter sitting on a cloud with the four stars circling about him, and presented this to Cosimo II as a symbol of his link to the stars.

In 1610 Cosimo II made Galileo his official court philosopher and mathematician, with a full salary. For a scientist this was the coup of a lifetime. The days of begging for patronage were over.

Interpretation

In one stroke, Galileo gained more with his new strategy than he had in years of begging. The reason is simple: All masters want to appear more brilliant than other people.

They do not care about science or empirical truth or the latest invention; they care about their name and their glory. Galileo gave the Medicis infinitely more glory by linking their name with cosmic forces than he had by making them the patrons of some new scientific gadget or discovery.

Scientists are not spared the vagaries of court life and patronage. They too must serve masters who hold the purse strings. And their great intellectual powers can make the master feel insecure, as if he were only there to supply the funds—an ugly, ignoble job. The producer of a great work wants to feel he is more than just the provider of the financing. He wants to appear creative and powerful, and also more important than the work produced in his name. Instead of insecurity you must give him glory. Galileo did not challenge the intellectual authority of the Medicis with his discovery, or make them feel inferior in any way; by literally aligning them with the stars, he made them shine brilliantly among the courts of Italy. He did not outshine the master, he made the master outshine all others.

KEYS TO POWER

Everyone has insecurities. When you show yourself in the world and display your talents, you naturally stir up all kinds of resentment, envy, and other manifestations of insecurity. This is to be expected. You cannot spend your life worrying about the petty feelings of others. With those above you, however, you must take a different approach: When it comes to power, outshining the master is perhaps the worst mistake of all.

Do not fool yourself into thinking that life has changed much since the days of Louis XIV and the Medicis. Those who attain high standing in life are like kings and queens: They want to feel secure in their positions, and

superior to those around them in intelligence, wit, and charm. It is a deadly but common misperception to believe that by displaying and vaunting your gifts and talents, you are winning the master's affection. He may feign appreciation, but at his first opportunity he will replace you with someone less intelligent, less attractive, less threatening, just as Louis XIV replaced the sparkling Fouquet with the bland Colbert. And as with Louis, he will not admit the truth, but will find an excuse to rid himself of your presence.

This Law involves two rules that you must realize. First, you can inadvertently outshine a master simply by being yourself. There are masters who are more insecure than others, monstrously insecure; you may naturally outshine them by your charm and grace.

No one had more natural talents than Astorre Manfredi, prince of Faenza. The most handsome of all the young princes of Italy, he captivated his subjects with his generosity and open spirit.

In the year 1500, Cesare Borgia laid siege to Faenza. When the city surrendered, the citizens expected the worst from the cruel Borgia, who, however, decided to spare the town: He simply occupied its fortress, executed none of its citizens, and allowed Prince Manfredi, eighteen at the time, to remain with his court, in complete freedom.

A few weeks later, though, soldiers hauled Astorre Manfredi away to a Roman prison. A year after that, his body was fished out of the River Tiber, a stone tied around his neck. Borgia justified the horrible deed with some sort of trumped-up charge of treason and conspiracy, but the real problem was that he was notoriously vain and insecure. The young man was outshining him without even trying. Given Manfredi's natural talents, the prince's mere presence made Borgia seem less attractive and charismatic. The lesson is simple: If you cannot help being charming and superior, you must learn to avoid such monsters of vanity. Either that, or find a way to mute your good qualities when in the company of a Cesare Borgia.

Second, never imagine that because the master loves you, you can do anything you want. Entire books could be written about favorites who fell out of favor by taking their status for granted, for daring to outshine. In late-sixteenth-century Japan, the favorite of Emperor Hideyoshi was a man called Sen no Rikyu. The premier artist of the tea ceremony, which had become an obsession with the nobility, he was one of Hideyoshi's most trusted advisers, had his own apartment in the palace, and was honored throughout Japan. Yet in 1591, Hideyoshi had him arrested and sentenced to death. Rikyu took his own life, instead. The cause for his sudden change of fortune was discovered later: It seems that Rikyu, former peasant and later court favorite, had had a wooden statue made of himself wearing sandals (a sign of nobility) and posing loftily. He had had this statue placed in the most important temple inside the palace gates, in clear sight of the royalty who often would pass by. To Hideyoshi this signified that Rikyu had no sense of limits. Presuming that he had the same rights as those of the highest nobility, he had forgotten that his position depended on the emperor, and had come to believe that he had earned it on his own. This was

an unforgivable miscalculation of his own importance and he paid for it with his life. Remember the following: Never take your position for granted and never let any favors you receive go to your head.

Knowing the dangers of outshining your master, you can turn this Law to your advantage. First you must flatter and puff up your master. Overt flattery can be effective but has its limits; it is too direct and obvious, and looks bad to other courtiers. Discreet flattery is much more powerful. If you are more intelligent than your master, for example, seem the opposite: Make him appear more intelligent than you. Act naive. Make it seem that you need his expertise. Commit harmless mistakes that will not hurt you in the long run but will give you the chance to ask for his help. Masters adore such requests. A master who cannot bestow on you the gifts of his experience may direct rancor and ill will at you instead.

If your ideas are more creative than your master's, ascribe them to him, in as public a manner as possible. Make it clear that *your* advice is merely an echo of *his* advice.

If you surpass your master in wit, it is okay to play the role of the court jester, but do not make him appear cold and surly by comparison. Tone down your humor if necessary, and find ways to make him seem the dispenser of amusement and good cheer. If you are naturally more sociable and generous than your master, be careful not to be the cloud that blocks his radiance from others. He must appear as the sun around which everyone revolves, radiating power and brilliance, the center of attention. If you are thrust into the position of entertaining him, a display of your limited means may win you his sympathy. Any attempt to impress him with your grace and generosity can prove fatal: Learn from Fouquet or pay the price.

In all of these cases it is not a weakness to disguise your strengths if in the end they lead to power. By letting others outshine you, you remain in control, instead of being a victim of their insecurity. This will all come in handy the day you decide to rise above your inferior status. If, like Galileo, you can make your master shine even more in the eyes of others, then you are a godsend and you will be instantly promoted.

Image:

The Stars in the
Sky. There can be only
one sun at a time. Never
obscure the sunlight, or
rival the sun's brilliance;
rather, fade into the sky and
find ways to heighten
the master star's
intensity.

Authority: Avoid outshining the master. All superiority is odious, but the superiority of a subject over his prince is not only stupid, it is fatal. This is a lesson that the stars in the sky teach us—they may be related to the sun, and just as brilliant, but they never appear in her company. (Baltasar Gracián, 1601–1658)

REVERSAL

You cannot worry about upsetting every person you come across, but you must be selectively cruel. If your superior is a falling star, there is nothing to fear from outshining him. Do not be merciful—your master had no such scruples in his own cold-blooded climb to the top. Gauge his strength. If he is weak, discreetly hasten his downfall: Outdo, outcharm, outsmart him at key moments. If he is *very* weak and ready to fall, let nature take its course. Do not risk outshining a feeble superior—it might appear cruel or spiteful. But if your master is firm in his position, yet you know yourself to be the more capable, bide your time and be patient. It is the natural course of things that power eventually fades and weakens. Your master will fall someday, and if you play it right, you will outlive and someday outshine him.

LAW

2

NEVER PUT TOO MUCH TRUST IN FRIENDS, LEARN HOW TO USE ENEMIES

JUDGMENT

Be wary of friends—they will betray you more quickly, for they are easily aroused to envy. They also become spoiled and tyrannical. But hire a former enemy and he will be more loyal than a friend, because he has more to prove. In fact, you have more to fear from friends than from enemies. If you have no enemies, find a way to make them.

TRANSGRESSION OF THE LAW

In the mid-ninth century A.D., a young man named Michael III assumed the throne of the Byzantine Empire. His mother, the Empress Theodora, had been banished to a nunnery, and her lover, Theoctistus, had been murdered; at the head of the conspiracy to depose Theodora and enthrone Michael had been Michael's uncle, Bardas, a man of intelligence and ambition. Michael was now a young, inexperienced ruler, surrounded by intriguers, murderers, and profligates. In this time of peril he needed someone he could trust as his councillor, and his thoughts turned to Basilus, his best friend. Basilus had no experience whatsoever in government and politics—in fact, he was the head of the royal stables—but he had proven his love and gratitude time and again.

They had met a few years before, when Michael had been visiting the stables just as a wild horse got loose. Basilus, a young groom from peasant Macedonian stock, had saved Michael's life. The groom's strength and courage had impressed Michael, who immediately raised Basilus from the obscurity of being a horse trainer to the position of head of the stables. He loaded his friend with gifts and favors and they became inseparable. Basilus was sent to the finest school in Byzantium, and the crude peasant became a cultured and sophisticated courtier.

Now Michael was emperor, and in need of someone loyal. Who could he better trust with the post of chamberlain and chief councillor than a young man who owed him everything?

Basilus could be trained for the job and Michael loved him like a brother. Ignoring the advice of those who recommended the much more qualified Bardas, Michael chose his friend.

Basilus learned well and was soon advising the emperor on all matters of state. The only problem seemed to be money—Basilus never had enough. Exposure to the splendor of Byzantine court life made him avaricious for the perks of power. Michael doubled, then tripled his salary, ennobled him, and married him off to his own mistress, Eudoxia Ingerina. Keeping such a trusted friend and adviser satisfied was worth any price. But more trouble was to come. Bardas was now head of the army, and Basilus convinced Michael that the man was hopelessly ambitious. Under the illusion that he could control his nephew, Bardas had conspired to put him on the throne, and he could conspire again, this time to get rid of Michael and assume the crown himself. Basilus poured poison into Michael's ear until the emperor agreed to have his uncle murdered. During a great horse race, Basilus closed in on Bardas in the crowd and stabbed him to death. Soon after, Basilus asked that he replace Bardas as head of the army, where he could keep control of the realm and quell rebellion. This was granted.

Now Basilus's power and wealth only grew, and a few years later Michael, in financial straits from his own extravagance, asked him to pay back some of the money he had borrowed over the years. To Michael's shock and astonishment, Basilus refused, with a look of such impudence

*To have a good enemy,
choose a friend: He
knows where to strike.*

DIANE DE POITIERS,
1499–1566, MISTRESS OF
HENRI II OF FRANCE

*Every time I bestow a
vacant office I make a
hundred discontented
persons and one
ingrate.*

LOUIS XIV, 1638–1715

*Thus for my own part
I have more than once
been deceived by the
person I loved most
and of whose love,
above everyone else's,
I have been most confi-
dent. So that I believe
that it may be right to
love and serve one
person above all others,
according to merit and
worth, but never to
trust so much in this
tempting trap of friend-
ship as to have cause to
repent of it later on.*

BALDASSARE
CASTIGLIONE,
1478–1529

THE
SNAKE, THE
FARMER, AND THE
HERON

A snake chased by hunters asked a farmer to save its life. To hide it from its pursuers, the farmer squatted and let the snake crawl into his belly. But when the danger had passed and the farmer asked the snake to come out, the snake refused. It was warm and safe inside. On his way home, the man saw a heron and went up to him and whispered what had happened. The heron told him to squat and strain to eject the snake. When the snake snuck its head out, the heron caught it, pulled it out, and killed it. The farmer was worried that the snake's poison might still be inside him, and the heron told him that the cure for snake poison was to cook and eat six white fowl. "You're a white fowl," said the farmer. "You'll do for a start." He grabbed the heron, put it in a bag, and carried it home, where he hung it up while he told his wife what had happened. "I'm surprised at you," said the wife. "The bird does you a kindness, rids you of the evil in your belly, saves your life in fact, yet you catch it and talk of killing it." She immediately released the heron, and it flew away. But on its way, it gouged out her eyes.

M o r a l :

*When you
see water
flowing
uphill, it
means that*

someone is repaying a kindness.

AFRICAN FOLK TALE

that the emperor suddenly realized his predicament: The former stable boy had more money, more allies in the army and senate, and in the end more power than the emperor himself. A few weeks later, after a night of heavy drinking, Michael awoke to find himself surrounded by soldiers. Basilius watched as they stabbed the emperor to death. Then, after proclaiming himself emperor, he rode his horse through the streets of Byzantium, brandishing the head of his former benefactor and best friend at the end of a long pike.

Interpretation

Michael III staked his future on the sense of gratitude he thought Basilius must feel for him. Surely Basilius would serve him best; he owed the emperor his wealth, his education, and his position. Then, once Basilius was in power, anything he needed it was best to give to him, strengthening the bonds between the two men. It was only on the fateful day when the emperor saw that impudent smile on Basilius's face that he realized his deadly mistake.

He had created a monster. He had allowed a man to see power up close—a man who then wanted more, who asked for anything and got it, who felt encumbered by the charity he had received and simply did what many people do in such a situation: They forget the favors they have received and imagine they have earned their success by their own merits.

At Michael's moment of realization, he could still have saved his own life, but friendship and love blind every man to their interests. Nobody believes a friend can betray. And Michael went on disbelieving until the day his head ended up on a pike.

Lord, protect me from my friends; I can take care of my enemies.

Voltaire, 1694–1778

OBSERVANCE OF THE LAW

For several centuries after the fall of the Han Dynasty (A.D. 222), Chinese history followed the same pattern of violent and bloody coups, one after the other. Army men would plot to kill a weak emperor, then would replace him on the Dragon Throne with a strong general. The general would start a new dynasty and crown himself emperor; to ensure his own survival he would kill off his fellow generals. A few years later, however, the pattern would resume: New generals would rise up and assassinate him or his sons in their turn. To be emperor of China was to be alone, surrounded by a pack of enemies—it was the least powerful, least secure position in the realm.

In A.D. 959, General Chao K'uang-yin became Emperor Sung. He knew the odds, the probability that within a year or two he would be murdered; how could he break the pattern? Soon after becoming emperor, Sung ordered a banquet to celebrate the new dynasty, and invited the most powerful commanders in the army. After they had drunk much wine, he

dismissed the guards and everybody else except the generals, who now feared he would murder them in one fell swoop. Instead, he addressed them: "The whole day is spent in fear, and I am unhappy both at the table and in my bed. For which one of you does not dream of ascending the throne? I do not doubt your allegiance, but if by some chance your subordinates, seeking wealth and position, were to force the emperor's yellow robe upon you in turn, how could you refuse it?" Drunk and fearing for their lives, the generals proclaimed their innocence and their loyalty. But Sung had other ideas: "The best way to pass one's days is in peaceful enjoyment of riches and honor. If you are willing to give up your commands, I am ready to provide you with fine estates and beautiful dwellings where you may take your pleasure with singers and girls as your companions."

The astonished generals realized that instead of a life of anxiety and struggle Sung was offering them riches and security. The next day, all of the generals tendered their resignations, and they retired as nobles to the estates that Sung bestowed on them.

In one stroke, Sung turned a pack of "friendly" wolves, who would likely have betrayed him, into a group of docile lambs, far from all power.

Over the next few years Sung continued his campaign to secure his rule. In A.D. 971, King Liu of the Southern Han finally surrendered to him after years of rebellion. To Liu's astonishment, Sung gave him a rank in the imperial court and invited him to the palace to seal their newfound friendship with wine. As King Liu took the glass that Sung offered him, he hesitated, fearing it contained poison. "Your subject's crimes certainly merit death," he cried out, "but I beg Your Majesty to spare your subject's life. Indeed I dare not drink this wine." Emperor Sung laughed, took the glass from Liu, and swallowed it himself. There was no poison. From then on Liu became his most trusted and loyal friend.

At the time, China had splintered into many smaller kingdoms. When Ch'ien Shu, the king of one of these, was defeated, Sung's ministers advised the emperor to lock this rebel up. They presented documents proving that he was still conspiring to kill Sung. When Ch'ien Shu came to visit the emperor, however, instead of locking him up, Sung honored him. He also gave him a package, which he told the former king to open when he was halfway home. Ch'ien Shu opened the bundle on his return journey and saw that it contained all the papers documenting his conspiracy. He realized that Sung knew of his murderous plans, yet had spared him nonetheless. This generosity won him over, and he too became one of Sung's most loyal vassals.

Interpretation

A Chinese proverb compares friends to the jaws and teeth of a dangerous animal: If you are not careful, you will find them chewing you up. Emperor Sung knew the jaws he was passing between when he assumed the throne: His "friends" in the army would chew him up like meat, and if he somehow survived, his "friends" in the government would have him for supper.

There are many who think therefore that a wise prince ought, when he has the chance, to foment astutely some enmity, so that by suppressing it he will augment his greatness. Princes, and especially new ones, have found more faith and more usefulness in those men, whom at the beginning of their power they regarded with suspicion, than in those they at first confided in. Pandolfo Petrucci, prince of Siena, governed his state more by those whom he suspected than by others.

NICCOLÒ MACHIAVELLI,
1469–1527

A brahman, a great expert in Veda who has become a great archer as well, offers his services to his good friend, who is now the king. The brahman cries out when he sees the king, "Recognize me, your friend!" The king answers him with contempt and then explains: "Yes, we were friends before, but our friendship was based on what power we had. . . . I was friends with you, good brahman, because it served my purpose. No pauper is friend to the rich, no fool to the wise, no coward to the

*brave. An old friend—
who needs him? It is
two men of equal
wealth and equal birth
who contract friend-
ship and marriage, not
a rich man and a
pauper. . . . An old
friend—who needs
him?*

THE MAHABHARATA,
c. THIRD CENTURY B.C.

*Pick up a bee from
kindness, and learn the
limitations of kindness.*

SUFI PROVERB

*Men are more ready to
repay an injury than a
benefit, because grati-
tude is a burden and
revenge a pleasure.*

TACITUS, c. A.D. 55–120

Emperor Sung would have no truck with “friends”—he bribed his fellow generals with splendid estates and kept them far away. This was a much better way to emasculate them than killing them, which would only have led other generals to seek vengeance. And Sung would have nothing to do with “friendly” ministers. More often than not, they would end up drinking his famous cup of poisoned wine.

Instead of relying on friends, Sung used his enemies, one after the other, transforming them into far more reliable subjects. While a friend expects more and more favors, and seethes with jealousy, these former enemies expected nothing and got everything. A man suddenly spared the guillotine is a grateful man indeed, and will go to the ends of the earth for the man who has pardoned him. In time, these former enemies became Sung’s most trusted friends.

And Sung was finally able to break the pattern of coups, violence, and civil war—the Sung Dynasty ruled China for more than three hundred years.

In a speech Abraham Lincoln delivered at the height of the Civil War, he referred to the Southerners as fellow human beings who were in error. An elderly lady chastised him for not calling them irreconcilable enemies who must be destroyed. “Why, madam,” Lincoln replied, “do I not destroy my enemies when I make them my friends?”

KEYS TO POWER

It is natural to want to employ your friends when you find yourself in times of need. The world is a harsh place, and your friends soften the harshness. Besides, you know them. Why depend on a stranger when you have a friend at hand?

The problem is that you often do not know your friends as well as you imagine. Friends often agree on things in order to avoid an argument. They cover up their unpleasant qualities so as to not offend each other. They laugh extra hard at each other’s jokes. Since honesty rarely strengthens friendship, you may never know how a friend truly feels. Friends will say that they love your poetry, adore your music, envy your taste in clothes—maybe they mean it, often they do not.

When you decide to hire a friend, you gradually discover the qualities he or she has kept hidden. Strangely enough, it is your act of kindness that unbalances everything. People want to feel they deserve their good fortune. The receipt of a favor can become oppressive: It means you have been chosen because you are a friend, not necessarily because you are deserving. There is almost a touch of condescension in the act of hiring friends that secretly afflicts them. The injury will come out slowly: A little more honesty, flashes of resentment and envy here and there, and before you know it your friendship fades. The more favors and gifts you supply to revive the friendship, the less gratitude you receive.

Ingratitude has a long and deep history. It has demonstrated its powers

for so many centuries, that it is truly amazing that people continue to underestimate them. Better to be wary. If you never expect gratitude from a friend, you will be pleasantly surprised when they do prove grateful.

The problem with using or hiring friends is that it will inevitably limit your power. The friend is rarely the one who is most able to help you; and in the end, skill and competence are far more important than friendly feelings. (Michael III had a man right under his nose who would have steered him right and kept him alive: That man was Bardas.)

All working situations require a kind of distance between people. You are trying to work, not make friends; friendliness (real or false) only obscures that fact. The key to power, then, is the ability to judge who is best able to further your interests in all situations. Keep friends for friendship, but work with the skilled and competent.

Your enemies, on the other hand, are an untapped gold mine that you must learn to exploit. When Talleyrand, Napoleon's foreign minister, decided in 1807 that his boss was leading France to ruin, and the time had come to turn against him, he understood the dangers of conspiring against the emperor; he needed a partner, a confederate—what friend could he trust in such a project? He chose Joseph Fouché, head of the secret police, his most hated enemy, a man who had even tried to have him assassinated. He knew that their former hatred would create an opportunity for an emotional reconciliation. He knew that Fouché would expect nothing from him, and in fact would work to prove that he was worthy of Talleyrand's choice; a person who has something to prove will move mountains for you. Finally, he knew that his relationship with Fouché would be based on mutual self-interest, and would not be contaminated by personal feeling. The selection proved perfect; although the conspirators did not succeed in toppling Napoleon, the union of such powerful but unlikely partners generated much interest in the cause; opposition to the emperor slowly began to spread. And from then on, Talleyrand and Fouché had a fruitful working relationship. Whenever you can, bury the hatchet with an enemy, and make a point of putting him in your service.

As Lincoln said, you destroy an enemy when you make a friend of him. In 1971, during the Vietnam War, Henry Kissinger was the target of an unsuccessful kidnapping attempt, a conspiracy involving, among others, the renowned antiwar activist priests the Berrigan brothers, four more Catholic priests, and four nuns. In private, without informing the Secret Service or the Justice Department, Kissinger arranged a Saturday-morning meeting with three of the alleged kidnapers. Explaining to his guests that he would have most American soldiers out of Vietnam by mid-1972, he completely charmed them. They gave him some "Kidnap Kissinger" buttons and one of them remained a friend of his for years, visiting him on several occasions. This was not just a onetime ploy: Kissinger made a policy of working with those who disagreed with him. Colleagues commented that he seemed to get along better with his enemies than with his friends.

Without enemies around us, we grow lazy. An enemy at our heels sharpens our wits, keeping us focused and alert. It is sometimes better,

PROFITING BY OUR
ENEMIES

King Hiero chanced upon a time, speaking with one of his enemies, to be told in a reproachful manner that he had stinking breath. Whereupon the good king, being somewhat dismayed in himself, as soon as he returned home chided his wife, "How does it happen that you never told me of this problem?" The woman, being a simple, chaste, and harmless dame, said, "Sir, I had thought all men's breath had smelled so." Thus it is plain that faults that are evident to the senses, gross and corporal, or otherwise notorious to the world, we know by our enemies sooner than by our friends and familiars.

PLUTARCH,
c. A.D. 46–120

then, to use enemies as enemies rather than transforming them into friends or allies.

Mao Tse-tung saw conflict as key in his approach to power. In 1937 the Japanese invaded China, interrupting the civil war between Mao's Communists and their enemy, the Nationalists.

Fearing that the Japanese would wipe them out, some Communist leaders advocated leaving the Nationalists to fight the Japanese, and using the time to recuperate. Mao disagreed: The Japanese could not possibly defeat and occupy a vast country like China for long. Once they left, the Communists would have grown rusty if they had been out of combat for several years, and would be ill prepared to reopen their struggle with the Nationalists. To fight a formidable foe like the Japanese, in fact, would be the perfect training for the Communists' ragtag army. Mao's plan was adopted, and it worked: By the time the Japanese finally retreated, the Communists had gained the fighting experience that helped them defeat the Nationalists.

Years later, a Japanese visitor tried to apologize to Mao for his country's invasion of China. Mao interrupted, "Should I not thank you instead?" Without a worthy opponent, he explained, a man or group cannot grow stronger.

Mao's strategy of constant conflict has several key components. First, be certain that in the long run you will emerge victorious. Never pick a fight with someone you are not sure you can defeat, as Mao knew the Japanese would be defeated in time. Second, if you have no apparent enemies, you must sometimes set up a convenient target, even turning a friend into an enemy. Mao used this tactic time and again in politics. Third, use such enemies to define your cause more clearly to the public, even framing it as a struggle of good against evil. Mao actually encouraged China's disagreements with the Soviet Union and the United States; without clear-cut enemies, he believed, his people would lose any sense of what Chinese Communism meant. A sharply defined enemy is a far stronger argument for your side than all the words you could possibly put together.

Never let the presence of enemies upset or distress you—you are far better off with a declared opponent or two than not knowing where your real enemies lie. The man of power welcomes conflict, using enemies to enhance his reputation as a surefooted fighter who can be relied upon in times of uncertainty.

Image: The Jaws of Ingratitude.
Knowing what would happen
if you put a finger in
the mouth of a lion,
you would stay
clear of it.
With friends
you will have
no such caution, and
if you hire them, they will
eat you alive with ingratitude.

A u t h o r i t y :
Know how to use
enemies for your own
profit. You must learn to grab a
sword not by its blade, which would
cut you, but by the handle, which allows
you to defend yourself. The wise man
profits more from his enemies,
than a fool from his friends.
(Baltasar Gracián,
1601–1658)

REVERSAL

Although it is generally best not to mix work with friendship, there are times when a friend can be used to greater effect than an enemy. A man of power, for example, often has dirty work that has to be done, but for the sake of appearances it is generally preferable to have other people do it for him; friends often do this the best, since their affection for him makes them willing to take chances. Also, if your plans go awry for some reason, you can use a friend as a convenient scapegoat. This “fall of the favorite” was a trick often used by kings and sovereigns: They would let their closest friend at court take the fall for a mistake, since the public would not believe that they would deliberately sacrifice a friend for such a purpose. Of course, after you play that card, you have lost your friend forever. It is best, then, to reserve the scapegoat role for someone who is close to you but not too close.

Finally, the problem about working with friends is that it confuses the boundaries and distances that working requires. But if both partners in the arrangement understand the dangers involved, a friend often can be employed to great effect. You must never let your guard down in such a venture, however; always be on the lookout for any signs of emotional disturbance such as envy and ingratitude. Nothing is stable in the realm of power, and even the closest of friends can be transformed into the worst of enemies.

LAW

3

CONCEAL YOUR INTENTIONS

JUDGMENT

Keep people off-balance and in the dark by never revealing the purpose behind your actions. If they have no clue what you are up to, they cannot prepare a defense. Guide them far enough down the wrong path, envelop them in enough smoke, and by the time they realize your intentions, it will be too late.

PART I: USE DECOYED OBJECTS OF DESIRE AND RED HERRINGS TO THROW PEOPLE OFF THE SCENT

If at any point in the deception you practice people have the slightest suspicion as to your intentions, all is lost. Do not give them the chance to sense what you are up to: Throw them off the scent by dragging red herrings across the path. Use false sincerity, send ambiguous signals, set up misleading objects of desire. Unable to distinguish the genuine from the false, they cannot pick out your real goal.

TRANSGRESSION OF THE LAW

Over several weeks, Ninon de Lenclos, the most infamous courtesan of seventeenth-century France, listened patiently as the Marquis de Sevigné explained his struggles in pursuing a beautiful but difficult young countess. Ninon was sixty-two at the time, and more than experienced in matters of love; the marquis was a lad of twenty-two, handsome, dashing, but hopelessly inexperienced in romance. At first Ninon was amused to hear the marquis talk about his mistakes, but finally she had had enough. Unable to bear ineptitude in any realm, least of all in seducing a woman, she decided to take the young man under her wing. First, he had to understand that this was war, and that the beautiful countess was a citadel to which he had to lay siege as carefully as any general. Every step had to be planned and executed with the utmost attention to detail and nuance.

Instructing the marquis to start over, Ninon told him to approach the countess with a bit of distance, an air of nonchalance. The next time the two were alone together, she said, he would confide in the countess as would a friend but not a potential lover. This was to throw her off the scent. The countess was no longer to take his interest in her for granted—perhaps he was only interested in friendship.

Ninon planned ahead. Once the countess was confused, it would be time to make her jealous. At the next encounter, at a major fête in Paris, the marquis would show up with a beautiful young woman at his side. This beautiful young woman had equally beautiful friends, so that wherever the countess would now see the marquis, he would be surrounded by the most stunning young women in Paris. Not only would the countess be seething with jealousy, she would come to see the marquis as someone who was desired by others. It was hard for Ninon to make the marquis understand, but she patiently explained that a woman who is interested in a man wants to see that other women are interested in him, too. Not only does that give him instant value, it makes it all the more satisfying to snatch him from their clutches.

Once the countess was jealous but intrigued, it would be time to beguile her. On Ninon's instructions, the marquis would fail to show up at affairs where the countess expected to see him. Then, suddenly, he would appear at salons he had never frequented before, but that the countess at-

tended often. She would be unable to predict his moves. All of this would push her into the state of emotional confusion that is a prerequisite for successful seduction.

These moves were executed, and took several weeks. Ninon monitored the marquis's progress: Through her network of spies, she heard how the countess would laugh a little harder at his witticisms, listen more closely to his stories. She heard that the countess was suddenly asking questions about him. Her friends told her that at social affairs the countess would often look up at the marquis, following his steps. Ninon felt certain that the young woman was falling under his spell. It was a matter of weeks now, maybe a month or two, but if all went smoothly, the citadel would fall.

A few days later the marquis was at the countess's home. They were alone. Suddenly he was a different man: This time acting on his own impulse, rather than following Ninon's instructions, he took the countess's hands and told her he was in love with her. The young woman seemed confused, a reaction he did not expect. She became polite, then excused herself. For the rest of the evening she avoided his eyes, was not there to say good-night to him. The next few times he visited he was told she was not at home. When she finally admitted him again, the two felt awkward and uncomfortable with each other. The spell was broken.

Interpretation

Ninon de Lenclos knew everything about the art of love. The greatest writers, thinkers, and politicians of the time had been her lovers—men like La Rochefoucauld, Molière, and Richelieu. Seduction was a game to her, to be practiced with skill. As she got older, and her reputation grew, the most important families in France would send their sons to her to be instructed in matters of love.

Ninon knew that men and women are very different, but when it comes to seduction they feel the same: Deep down inside, they often sense when they are being seduced, but they give in because they enjoy the feeling of being led along. It is a pleasure to let go, and to allow the other person to detour you into a strange country. Everything in seduction, however, depends on suggestion. You cannot announce your intentions or reveal them directly in words. Instead you must throw your targets off the scent. To surrender to your guidance they must be appropriately confused. You have to scramble your signals—appear interested in another man or woman (the decoy), then hint at being interested in the target, then feign indifference, on and on. Such patterns not only confuse, they excite.

Imagine this story from the countess's perspective: After a few of the marquis's moves, she sensed the marquis was playing some sort of game, but the game delighted her. She did not know where he was leading her, but so much the better. His moves intrigued her, each of them keeping her waiting for the next one—she even enjoyed her jealousy and confusion, for sometimes any emotion is better than the boredom of security. Perhaps the marquis had ulterior motives; most men do. But she was willing to wait and

see, and probably if she had been made to wait long enough, what he was up to would not have mattered.

The moment the marquis uttered that fatal word “love,” however, all was changed. This was no longer a game with moves, it was an artless show of passion. His intention was revealed: He *was* seducing her. This put everything he had done in a new light. All that before had been charming now seemed ugly and conniving; the countess felt embarrassed and used. A door closed that would never open again.

*Do not be held a cheat, even though it is impossible to live today without being one.
Let your greatest cunning lie in covering up what looks like cunning.*

Baltasar Gracián, 1601–1658

OBSERVANCE OF THE LAW

In 1850 the young Otto von Bismarck, then a thirty-five-year-old deputy in the Prussian parliament, was at a turning point in his career. The issues of the day were the unification of the many states (including Prussia) into which Germany was then divided, and a war against Austria, the powerful neighbor to the south that hoped to keep the Germans weak and at odds, even threatening to intervene if they tried to unite. Prince William, next in line to be Prussia’s king, was in favor of going to war, and the parliament rallied to the cause, prepared to back any mobilization of troops. The only ones to oppose war were the present king, Frederick William IV, and his ministers, who preferred to appease the powerful Austrians.

Throughout his career, Bismarck had been a loyal, even passionate supporter of Prussian might and power. He dreamed of German unification, of going to war against Austria and humiliating the country that for so long had kept Germany divided. A former soldier, he saw warfare as a glorious business.

This, after all, was the man who years later would say, “The great questions of the time will be decided, not by speeches and resolutions, but by iron and blood.”

Passionate patriot and lover of military glory, Bismarck nevertheless gave a speech in parliament at the height of the war fever that astonished all who heard it. “Woe unto the statesman,” he said, “who makes war without a reason that will still be valid when the war is over! After the war, you will all look differently at these questions. Will you then have the courage to turn to the peasant contemplating the ashes of his farm, to the man who has been crippled, to the father who has lost his children?” Not only did Bismarck go on to talk of the madness of this war, but, strangest of all, he praised Austria and defended her actions. This went against everything he had stood for. The consequences were immediate. Bismarck was against the war—what could this possibly mean? Other deputies were confused, and several of them changed their votes. Eventually the king and his ministers won out, and war was averted.

A few weeks after Bismarck’s infamous speech, the king, grateful that

he had spoken for peace, made him a cabinet minister. A few years later he became the Prussian premier. In this role he eventually led his country and a peace-loving king into a war against Austria, crushing the former empire and establishing a mighty German state, with Prussia at its head.

Interpretation

At the time of his speech in 1850, Bismarck made several calculations. First, he sensed that the Prussian military, which had not kept pace with other European armies, was unready for war—that Austria, in fact, might very well win, a disastrous result for the future. Second, if the war were lost and Bismarck had supported it, his career would be gravely jeopardized. The king and his conservative ministers wanted peace; Bismarck wanted power. The answer was to throw people off the scent by supporting a cause he detested, saying things he would laugh at if said by another. A whole country was fooled. It was because of Bismarck's speech that the king made him a minister, a position from which he quickly rose to be prime minister, attaining the power to strengthen the Prussian military and accomplish what he had wanted all along: the humiliation of Austria and the unification of Germany under Prussia's leadership.

Bismarck was certainly one of the cleverest statesman who ever lived, a master of strategy and deception. No one suspected what he was up to in this case. Had he announced his real intentions, arguing that it was better to wait now and fight later, he would not have won the argument, since most Prussians wanted war at that moment and mistakenly believed that their army was superior to the Austrians. Had he played up to the king, asking to be made a minister in exchange for supporting peace, he would not have succeeded either: The king would have distrusted his ambition and doubted his sincerity.

By being completely insincere and sending misleading signals, however, he deceived everyone, concealed his purpose, and attained everything he wanted. Such is the power of hiding your intentions.

KEYS TO POWER

Most people are open books. They say what they feel, blurt out their opinions at every opportunity, and constantly reveal their plans and intentions. They do this for several reasons. First, it is easy and natural to always want to talk about one's feelings and plans for the future. It takes effort to control your tongue and monitor what you reveal. Second, many believe that by being honest and open they are winning people's hearts and showing their good nature. They are greatly deluded. Honesty is actually a blunt instrument, which bloodies more than it cuts. Your honesty is likely to offend people; it is much more prudent to tailor your words, telling people what they want to hear rather than the coarse and ugly truth of what you feel or think. More important, by being unabashedly open you make yourself so predictable and familiar that it is almost impossible to respect or fear you, and power will not accrue to a person who cannot inspire such emotions.

If you yearn for power, quickly lay honesty aside, and train yourself in the art of concealing your intentions. Master the art and you will always have the upper hand. Basic to an ability to conceal one's intentions is a simple truth about human nature: Our first instinct is to always trust appearances. We cannot go around doubting the reality of what we see and hear—constantly imagining that appearances concealed something else would exhaust and terrify us. This fact makes it relatively easy to conceal one's intentions. Simply dangle an object you seem to desire, a goal you seem to aim for, in front of people's eyes and they will take the appearance for reality. Once their eyes focus on the decoy, they will fail to notice what you are really up to. In seduction, set up conflicting signals, such as desire and indifference, and you not only throw them off the scent, you inflame their desire to possess you.

A tactic that is often effective in setting up a red herring is to appear to support an idea or cause that is actually contrary to your own sentiments. (Bismarck used this to great effect in his speech in 1850.) Most people will believe you have experienced a change of heart, since it is so unusual to play so lightly with something as emotional as one's opinions and values. The same applies for any decoyed object of desire: Seem to want something in which you are actually not at all interested and your enemies will be thrown off the scent, making all kinds of errors in their calculations.

During the War of the Spanish Succession in 1711, the Duke of Marlborough, head of the English army, wanted to destroy a key French fort, because it protected a vital thoroughfare into France. Yet he knew that if he destroyed it, the French would realize what he wanted—to advance down that road. Instead, then, he merely captured the fort, and garrisoned it with some of his troops, making it appear as if he wanted it for some purpose of his own. The French attacked the fort and the duke let them recapture it. Once they had it back, though, they destroyed it, figuring that the duke had wanted it for some important reason. Now that the fort was gone, the road was unprotected, and Marlborough could easily march into France.

Use this tactic in the following manner: Hide your intentions not by closing up (with the risk of appearing secretive, and making people suspicious) but by talking endlessly about your desires and goals—just not your real ones. You will kill three birds with one stone: You appear friendly, open, and trusting; you conceal your intentions; and you send your rivals on time-consuming wild-goose chases.

Another powerful tool in throwing people off the scent is false sincerity. People easily mistake sincerity for honesty. Remember—their first instinct is to trust appearances, and since they value honesty and *want* to believe in the honesty of those around them, they will rarely doubt you or see through your act. Seeming to believe what you say gives your words great weight. This is how Iago deceived and destroyed Othello: Given the depth of his emotions, the apparent sincerity of his concerns about Desdemona's supposed infidelity, how could Othello distrust him? This is also how the great con artist Yellow Kid Weil pulled the wool over suckers' eyes: Seeming to believe so deeply in the decoyed object he was dangling

in front of them (a phony stock, a touted racehorse), he made its reality hard to doubt. It is important, of course, not to go too far in this area. Sincerity is a tricky tool: Appear overpassionate and you raise suspicions. Be measured and believable or your ruse will seem the put-on that it is.

To make your false sincerity an effective weapon in concealing your intentions, espouse a belief in honesty and forthrightness as important social values. Do this as publicly as possible. Emphasize your position on this subject by occasionally divulging some heartfelt thought—though only one that is actually meaningless or irrelevant, of course. Napoleon's minister Talleyrand was a master at taking people into his confidence by revealing some apparent secret. This feigned confidence—a decoy—would then elicit a real confidence on the other person's part.

Remember: The best deceivers do everything they can to cloak their roguish qualities. They cultivate an air of honesty in one area to disguise their dishonesty in others. Honesty is merely another decoy in their arsenal of weapons.

PART II: USE SMOKE SCREENS TO DISGUISE YOUR ACTIONS

Deception is always the best strategy, but the best deceptions require a screen of smoke to distract people's attention from your real purpose. The bland exterior—like the unreadable poker face—is often the perfect smoke screen, hiding your intentions behind the comfortable and familiar. If you lead the sucker down a familiar path, he won't catch on when you lead him into a trap.

OBSERVANCE OF THE LAW I

In 1910, a Mr. Sam Geezil of Chicago sold his warehouse business for close to \$1 million. He settled down to semiretirement and the managing of his many properties, but deep inside he itched for the old days of deal-making. One day a young man named Joseph Weil visited his office, wanting to buy an apartment he had up for sale. Geezil explained the terms: The price was \$8,000, but he only required a down payment of \$2,000. Weil said he would sleep on it, but he came back the following day and offered to pay the full \$8,000 in cash, if Geezil could wait a couple of days, until a deal Weil was working on came through. Even in semiretirement, a clever businessman like Geezil was curious as to how Weil would be able to come up with so much cash (roughly \$150,000 today) so quickly. Weil seemed reluctant to say, and quickly changed the subject, but Geezil was persistent. Finally, after assurances of confidentiality, Weil told Geezil the following story.

Weil's uncle was the secretary to a coterie of multimillionaire financiers. These wealthy gentlemen had purchased a hunting lodge in Michigan ten years ago, at a cheap price. They had not used the lodge for a few years, so they had decided to sell it and had asked Weil's uncle to get whatever he could for it. For reasons—good reasons—of his own, the uncle had been nursing a grudge against the millionaires for years; this was his chance to get back at them. He would sell the property for \$35,000 to a set-up man (whom it was Weil's job to find). The financiers were too wealthy to worry about this low price. The set-up man would then turn around and sell the property again for its real price, around \$155,000. The uncle, Weil, and the third man would split the profits from this second sale. It was all legal and for a good cause—the uncle's just retribution.

Geezil had heard enough: He wanted to be the set-up buyer. Weil was reluctant to involve him, but Geezil would not back down: The idea of a large profit, plus a little adventure, had him champing at the bit. Weil explained that Geezil would have to put up the \$35,000 in cash to bring the deal off. Geezil, a millionaire, said he could get the money with a snap of his fingers. Weil finally relented and agreed to arrange a meeting between the uncle, Geezil, and the financiers, in the town of Galesburg, Illinois.

On the train ride to Galesburg, Geezil met the uncle—an impressive

JEHU, KING OF ISRAEL,
EIGNS WORSHIP OF
THE IDOL BA'AL

Then Jehu assembled all the people, and said to them, "Ahab served Ba'al a little; but Jehu will serve him much more. Now therefore call to me all the prophets of Ba'al, all his worshippers and all his priests; let none be missing, for I have a great sacrifice to offer to Ba'al; whoever is missing shall not live." But Jehu did it with cunning in order to destroy the worshippers of Ba'al. And Jehu ordered, "Sanctify a solemn assembly for Ba'al." So they proclaimed it. And Jehu sent throughout all Israel; and all the worshippers of Ba'al came, so that there was not a man left who did not come. And they entered the house of Ba'al, and the house of Ba'al was filled from one end to the other. . . . Then Jehu went into the house of Ba'al . . . and he said to the worshippers of Ba'al, "Search, and see that there is no servant of the LORD here

among you, but only the worshippers of Ba'al." Then he went in to offer sacrifices and burnt offerings.

Now Jehu had stationed eighty men outside, and said, "The man who allows any of those whom I give into your hands to escape shall forfeit his life." So as soon as he had made an end of offering the burnt offering, Jehu said to the guard and to the officers, "Go in and slay them; let not a man escape."

So when they put them to the sword, the guard and the officers cast them out and went into the inner room of the house of Ba'al and they brought out the pillar that was in the house of Ba'al and burned it. And they demolished the pillar of Ba'al and demolished the house of Ba'al, and made it a latrine to this day. Thus Jehu wiped out Ba'al from Israel.

OLD TESTAMENT,
2 KINGS 10:18–28

man, with whom he avidly discussed business. Weil also brought along a companion, a somewhat paunchy man named George Gross. Weil explained to Geezil that he himself was a boxing trainer, that Gross was one of the promising prizefighters he trained, and that he had asked Gross to come along to make sure the fighter stayed in shape. For a promising fighter, Gross was unimpressive looking—he had gray hair and a beer belly—but Geezil was so excited about the deal that he didn't really think about the man's flabby appearance.

Once in Galesburg, Weil and his uncle went to fetch the financiers while Geezil waited in a hotel room with Gross, who promptly put on his boxing trunks. As Geezil half watched, Gross began to shadowbox. Distracted as he was, Geezil ignored how badly the boxer wheezed after a few minutes of exercise, although his style seemed real enough. An hour later, Weil and his uncle reappeared with the financiers, an impressive, intimidating group of men, all wearing fancy suits. The meeting went well and the financiers agreed to sell the lodge to Geezil, who had already had the \$35,000 wired to a local bank.

This minor business now settled, the financiers sat back in their chairs and began to banter about high finance, throwing out the name "J. P. Morgan" as if they knew the man. Finally one of them noticed the boxer in the corner of the room. Weil explained what he was doing there. The financier countered that he too had a boxer in his entourage, whom he named. Weil laughed brazenly and exclaimed that his man could easily knock out their man. Conversation escalated into argument. In the heat of passion, Weil challenged the men to a bet. The financiers eagerly agreed and left to get their man ready for a fight the next day.

As soon as they had left, the uncle yelled at Weil, right in front of Geezil: They did not have enough money to bet with, and once the financiers discovered this, the uncle would be fired. Weil apologized for getting him in this mess, but he had a plan: He knew the other boxer well, and with a little bribe, they could fix the fight. But where would the money come from for the bet? the uncle replied. Without it they were as good as dead. Finally Geezil had heard enough. Unwilling to jeopardize *his* deal with any ill will, he offered his own \$35,000 cash for part of the bet. Even if he lost that, he would wire for more money and still make a profit on the sale of the lodge. The uncle and nephew thanked him. With their own \$15,000 and Geezil's \$35,000 they would manage to have enough for the bet. That evening, as Geezil watched the two boxers rehearse the fix in the hotel room, his mind reeled at the killing he was going to make from both the boxing match and the sale of the lodge.

The fight took place in a gym the next day. Weil handled the cash, which was placed for security in a locked box. Everything was proceeding as planned in the hotel room. The financiers were looking glum at how badly their fighter was doing, and Geezil was dreaming about the easy money he was about to make. Then, suddenly, a wild swing by the financier's fighter hit Gross hard in the face, knocking him down. When he hit the canvas, blood spurted from his mouth. He coughed, then lay still.

One of the financiers, a former doctor, checked his pulse; he was dead. The millionaires panicked: Everyone had to get out before the police arrived—they could all be charged with murder.

Terrified, Geezil hightailed it out of the gym and back to Chicago, leaving behind his \$35,000 which he was only too glad to forget, for it seemed a small price to pay to avoid being implicated in a crime. He never wanted to see Weil or any of the others again.

After Geezil scurried out, Gross stood up, under his own steam. The blood that had spurted from his mouth came from a ball filled with chicken blood and hot water that he had hidden in his cheek. The whole affair had been masterminded by Weil, better known as “the Yellow Kid,” one of the most creative con artists in history. Weil split the \$35,000 with the financiers and the boxers (all fellow con artists)—a nice little profit for a few days’ work.

Interpretation

The Yellow Kid had staked out Geezil as the perfect sucker long before he set up the con. He knew the boxing-match scam would be the perfect ruse to separate Geezil from his money quickly and definitively. But he also knew that if he had begun by trying to interest Geezil in the boxing match, he would have failed miserably. He had to conceal his intentions and switch attention, create a smoke screen—in this case the sale of the lodge.

On the train ride and in the hotel room Geezil’s mind had been completely occupied with the pending deal, the easy money, the chance to hobnob with wealthy men. He had failed to notice that Gross was out of shape and middle-aged at best. Such is the distracting power of a smoke screen. Engrossed in the business deal, Geezil’s attention was easily diverted to the boxing match, but only at a point when it was already too late for him to notice the details that would have given Gross away. The match, after all, now depended on a bribe rather than on the boxer’s physical condition. And Geezil was so distracted at the end by the illusion of the boxer’s death that he completely forgot about his money.

Learn from the Yellow Kid: The familiar, inconspicuous front is the perfect smoke screen. Approach your mark with an idea that seems ordinary enough—a business deal, financial intrigue. The sucker’s mind is distracted, his suspicions allayed. That is when you gently guide him onto the second path, the slippery slope down which he slides helplessly into your trap.

OBSERVANCE OF THE LAW II

In the mid-1920s, the powerful warlords of Ethiopia were coming to the realization that a young man of the nobility named Haile Selassie, also known as Ras Tafari, was outcompeting them all and nearing the point where he could proclaim himself their leader, unifying the country for the first time in decades. Most of his rivals could not understand how this wispy, quiet, mild-mannered man had been able to take control. Yet in

SNEAK ACROSS THE
OCEAN IN BROAD
DAYLIGHT

This means to create a front that eventually becomes imbued with an atmosphere or impression of familiarity, within which the strategist may maneuver unseen while all eyes are trained to see obvious familiarities.

“THE THIRTY-SIX STRATEGIES,” QUOTED IN THE JAPANESE ART OF WAR, THOMAS CLEARY, 1991

1927, Selassie was able to summon the warlords, one at a time, to come to Addis Ababa to declare their loyalty and recognize him as leader.

Some hurried, some hesitated, but only one, Dejazmach Balcha of Sidamo, dared defy Selassie totally. A blustery man, Balcha was a great warrior, and he considered the new leader weak and unworthy. He pointedly stayed away from the capital. Finally Selassie, in his gentle but stern way, commanded Balcha to come. The warlord decided to obey, but in doing so he would turn the tables on this pretender to the Ethiopian throne: He would come to Addis Ababa at his own speed, and with an army of 10,000 men, a force large enough to defend himself, perhaps even start a civil war. Stationing this formidable force in a valley three miles from the capital, he waited, as a king would. Selassie would have to come to him.

Selassie did indeed send emissaries, asking Balcha to attend an afternoon banquet in his honor. But Balcha, no fool, knew history—he knew that previous kings and lords of Ethiopia had used banquets as a trap. Once he was there and full of drink, Selassie would have him arrested or murdered. To signal his understanding of the situation, he agreed to come to the banquet, but only if he could bring his personal bodyguard—600 of his best soldiers, all armed and ready to defend him and themselves. To Balcha's surprise, Selassie answered with the utmost politeness that he would be honored to play host to such warriors.

On the way to the banquet, Balcha warned his soldiers not to get drunk and to be on their guard. When they arrived at the palace, Selassie was his charming best. He deferred to Balcha, treated him as if he desperately needed his approval and cooperation. But Balcha refused to be charmed, and he warned Selassie that if he did not return to his camp by nightfall, his army had orders to attack the capital. Selassie reacted as if hurt by his mistrust. Over the meal, when it came time for the traditional singing of songs in honor of Ethiopia's leaders, he made a point of allowing only songs honoring the warlord of Sidamo. It seemed to Balcha that Selassie was scared, intimidated by this great warrior who could not be outwitted. Sensing the change, Balcha believed that he would be the one to call the shots in the days to come.

At the end of the afternoon, Balcha and his soldiers began their march back to camp amidst cheers and gun salutes. Looking back to the capital over his shoulder, he planned his strategy—how his own soldiers would march through the capital in triumph within weeks, and Selassie would be put in his place, his place being either prison or death. When Balcha came in sight of his camp, however, he saw that something was terribly wrong. Where before there had been colorful tents stretching as far as the eye could see, now there was nothing, only smoke from doused fires. What devil's magic was this?

A witness told Balcha what had happened. During the banquet, a large army, commanded by an ally of Selassie's, had stolen up on Balcha's encampment by a side route he had not seen. This army had not come to fight, however: Knowing that Balcha would have heard a noisy battle and

hurried back with his 600-man bodyguard, Selassie had armed his own troops with baskets of gold and cash. They had surrounded Balcha's army and proceeded to purchase every last one of their weapons. Those who refused were easily intimidated. Within a few hours, Balcha's entire force had been disarmed and scattered in all directions.

Realizing his danger, Balcha decided to march south with his 600 soldiers to regroup, but the same army that had disarmed his soldiers blocked his way. The other way out was to march on the capital, but Selassie had set a large army to defend it. Like a chess player, he had predicted Balcha's moves, and had checkmated him. For the first time in his life, Balcha surrendered. To repent his sins of pride and ambition, he agreed to enter a monastery.

Interpretation

Throughout Selassie's long reign, no one could quite figure him out. Ethiopians like their leaders fierce, but Selassie, who wore the front of a gentle, peace-loving man, lasted longer than any of them. Never angry or impatient, he lured his victims with sweet smiles, lulling them with charm and obsequiousness before he attacked. In the case of Balcha, Selassie played on the man's wariness, his suspicion that the banquet was a trap—which in fact it was, but not the one he expected. Selassie's way of allaying Balcha's fears—letting him bring his bodyguard to the banquet, giving him top billing there, making him feel in control—created a thick smoke screen, concealing the real action three miles away.

Remember: The paranoid and wary are often the easiest to deceive. Win their trust in one area and you have a smoke screen that blinds their view in another, letting you creep up and level them with a devastating blow. A helpful or apparently honest gesture, or one that implies the other person's superiority—these are perfect diversionary devices.

Properly set up, the smoke screen is a weapon of great power. It enabled the gentle Selassie to totally destroy his enemy, without firing a single bullet.

*Do not underestimate the power of Tafari. He creeps
like a mouse but he has jaws like a lion.
Balcha of Sidamo's last words before entering the monastery*

KEYS TO POWER

If you believe that deceivers are colorful folk who mislead with elaborate lies and tall tales, you are greatly mistaken. The best deceivers utilize a bland and inconspicuous front that calls no attention to themselves. They know that extravagant words and gestures immediately raise suspicion. Instead, they envelop their mark in the familiar, the banal, the harmless. In Yellow Kid Weil's dealings with Sam Geezil, the familiar was a business deal. In the Ethiopian case, it was Selassie's misleading obsequiousness—exactly what Balcha would have expected from a weaker warlord.

Once you have lulled your suckers' attention with the familiar, they will not notice the deception being perpetrated behind their backs. This derives from a simple truth: people can only focus on one thing at a time. It is really too difficult for them to imagine that the bland and harmless person they are dealing with is simultaneously setting up something else. The grayer and more uniform the smoke in your smoke screen, the better it conceals your intentions. In the decoy and red herring devices discussed in Part I, you actively distract people; in the smoke screen, you lull your victims, drawing them into your web. Because it is so hypnotic, this is often the best way of concealing your intentions.

The simplest form of smoke screen is facial expression. Behind a bland, unreadable exterior, all sorts of mayhem can be planned, without detection. This is a weapon that the most powerful men in history have learned to perfect. It was said that no one could read Franklin D. Roosevelt's face. Baron James Rothschild made a lifelong practice of disguising his real thoughts behind bland smiles and nondescript looks. Stendhal wrote of Talleyrand, "Never was a face less of a barometer." Henry Kissinger would bore his opponents around the negotiating table to tears with his monotonous voice, his blank look, his endless recitations of details; then, as their eyes glazed over, he would suddenly hit them with a list of bold terms. Caught off-guard, they would be easily intimidated. As one poker manual explains it, "While playing his hand, the good player is seldom an actor. Instead he practices a bland behavior that minimizes readable patterns, frustrates and confuses opponents, permits greater concentration."

An adaptable concept, the smoke screen can be practiced on a number of levels, all playing on the psychological principles of distraction and misdirection. One of the most effective smoke screens is the *noble gesture*. People want to believe apparently noble gestures are genuine, for the belief is pleasant. They rarely notice how deceptive these gestures can be.

The art dealer Joseph Duveen was once confronted with a terrible problem. The millionaires who had paid so dearly for Duveen's paintings were running out of wall space, and with inheritance taxes getting ever higher, it seemed unlikely that they would keep buying. The solution was the National Gallery of Art in Washington, D.C., which Duveen helped create in 1937 by getting Andrew Mellon to donate his collection to it. The National Gallery was the perfect front for Duveen. In one gesture, his clients avoided taxes, cleared wall space for new purchases, and reduced the number of paintings on the market, maintaining the upward pressure on their prices. All this while the donors created the appearance of being public benefactors.

Another effective smoke screen is the *pattern*, the establishment of a series of actions that seduce the victim into believing you will continue in the same way. The pattern plays on the psychology of anticipation: Our behavior conforms to patterns, or so we like to think.

In 1878 the American robber baron Jay Gould created a company that began to threaten the monopoly of the telegraph company Western Union. The directors of Western Union decided to buy Gould's company up—

they had to spend a hefty sum, but they figured they had managed to rid themselves of an irritating competitor. A few months later, though, Gould was it at again, complaining he had been treated unfairly. He started up a second company to compete with Western Union and its new acquisition. The same thing happened again: Western Union bought him out to shut him up. Soon the pattern began for the third time, but now Gould went for the jugular: He suddenly staged a bloody takeover struggle and managed to gain complete control of Western Union. He had established a pattern that had tricked the company's directors into thinking his goal was to be bought out at a handsome rate. Once they paid him off, they relaxed and failed to notice that he was actually playing for higher stakes. The pattern is powerful in that it deceives the other person into expecting the opposite of what you are really doing.

Another psychological weakness on which to construct a smoke screen is the tendency to mistake appearances for reality—the feeling that if someone seems to belong to your group, their belonging must be real. This habit makes the *seamless blend* a very effective front. The trick is simple: You simply blend in with those around you. The better you blend, the less suspicious you become. During the Cold War of the 1950s and '60s, as is now notorious, a slew of British civil servants passed secrets to the Soviets. They went undetected for years because they were apparently decent chaps, had gone to all the right schools, and fit the old-boy network perfectly. Blending in is the perfect smoke screen for spying. The better you do it, the better you can conceal your intentions.

Remember: It takes patience and humility to dull your brilliant colors, to put on the mask of the inconspicuous. Do not despair at having to wear such a bland mask—it is often your unreadability that draws people to you and makes you appear a person of power.

Image: A Sheep's Skin.
A sheep never marauds,
a sheep never deceives,
a sheep is magnificently
dumb and docile. With a
sheepskin on his back,
a fox can pass right
into the chicken coop.

Authority: Have you ever heard of a skillful general, who intends to surprise a citadel, announcing his plan to his enemy? Conceal your purpose and hide your progress; do not disclose the extent of your designs until they cannot be opposed, until the combat is over. Win the victory before you declare the war. In a word, imitate those warlike people whose designs are not known except by the ravaged country through which they have passed. (Ninon de Lenclos, 1623–1706)

REVERSAL

No smoke screen, red herring, false sincerity, or any other diversionary device will succeed in concealing your intentions if you already have an established reputation for deception. And as you get older and achieve success, it often becomes increasingly difficult to disguise your cunning. Everyone knows you practice deception; persist in playing naive and you run the risk of seeming the rankest hypocrite, which will severely limit your room to maneuver. In such cases it is better to own up, to appear the honest rogue, or, better, the repentant rogue. Not only will you be admired for your frankness, but, most wonderful and strange of all, you will be able to continue your stratagems.

As P. T. Barnum, the nineteenth-century king of humbuggery, grew older, he learned to embrace his reputation as a grand deceiver. At one point he organized a buffalo hunt in New Jersey, complete with Indians and a few imported buffalo. He publicized the hunt as genuine, but it came off as so completely fake that the crowd, instead of getting angry and asking for their money back, was greatly amused. They knew Barnum pulled tricks all the time; that was the secret of his success, and they loved him for it. Learning a lesson from this affair, Barnum stopped concealing all of his devices, even revealing his deceptions in a tell-all autobiography. As Kierkegaard wrote, "The world wants to be deceived."

Finally, although it is wiser to divert attention from your purposes by presenting a bland, familiar exterior, there are times when the colorful, conspicuous gesture is the right diversionary tactic. The great charlatan mountebanks of seventeenth- and eighteenth-century Europe used humor and entertainment to deceive their audiences. Dazzled by a great show, the public would not notice the charlatans' real intentions. Thus the star charlatan himself would appear in town in a night-black coach drawn by black horses. Clowns, tightrope walkers, and star entertainers would accompany him, pulling people in to his demonstrations of elixirs and quack potions. The charlatan made entertainment seem like the business of the day; the business of the day was actually the sale of the elixirs and quack potions.

Spectacle and entertainment, clearly, are excellent devices to conceal your intentions, but they cannot be used indefinitely. The public grows tired and suspicious, and eventually catches on to the trick. And indeed the charlatans had to move quickly from town to town, before word spread that the potions were useless and the entertainment a trick. Powerful people with bland exteriors, on the other hand—the Talleyrands, the Rothschilds, the Selassies—can practice their deceptions in the same place throughout their lifetimes. Their act never wears thin, and rarely causes suspicion. The colorful smoke screen should be used cautiously, then, and only when the occasion is right.

LAW

4

ALWAYS SAY LESS THAN NECESSARY

JUDGMENT

When you are trying to impress people with words, the more you say, the more common you appear, and the less in control. Even if you are saying something banal, it will seem original if you make it vague, open-ended, and sphinxlike. Powerful people impress and intimidate by saying less. The more you say, the more likely you are to say something foolish.

Down on his luck, [the screenwriter] Michael Arlen went to New York in 1944. To drown his sorrows he paid a visit to the famous restaurant "21." In the lobby, he ran into Sam Goldwyn, who offered the somewhat impractical advice that he should buy racehorses. At the bar Arlen met Louis B. Mayer, an old acquaintance, who asked him what were his plans for the future. "I was just talking to Sam Goldwyn . . ." began Arlen. "How much did he offer you?" interrupted Mayer. "Not enough," he replied evasively. "Would you take fifteen thousand for thirty weeks?" asked Mayer. No hesitation this time. "Yes," said Arlen.

THE LITTLE, BROWN
BOOK OF ANECDOTES,
CLIFTON FADIMAN,
ED., 1985

One oft-told tale about Kissinger . . . involved a report that Winston Lord had worked on for days. After giving it to Kissinger, he got it back with the notation, "Is this the best you can do?" Lord rewrote and polished and finally resubmitted it; back it came with the same curt question. After redrafting it one more time—and once again getting the same

TRANSGRESSION OF THE LAW

Gnaeus Marcius, also known as Coriolanus, was a great military hero of ancient Rome. In the first half of the fifth century B.C. he won many important battles, saving the city from calamity time and time again. Because he spent most of his time on the battlefield, few Romans knew him personally, making him something of a legendary figure.

In 454 B.C., Coriolanus decided it was time to exploit his reputation and enter politics. He stood for election to the high rank of consul. Candidates for this position traditionally made a public address early in the race, and when Coriolanus came before the people, he began by displaying the dozens of scars he had accumulated over seventeen years of fighting for Rome. Few in the crowd really heard the lengthy speech that followed; those scars, proof of his valor and patriotism, moved the people to tears. Coriolanus's election seemed certain.

When the polling day arrived, however, Coriolanus made an entry into the forum escorted by the entire senate and by the city's patricians, the aristocracy. The common people who saw this were disturbed by such a blustering show of confidence on election day.

And then Coriolanus spoke again, mostly addressing the wealthy citizens who had accompanied him. His words were arrogant and insolent. Claiming certain victory in the vote, he boasted of his battlefield exploits, made sour jokes that appealed only to the patricians, voiced angry accusations against his opponents, and speculated on the riches he would bring to Rome. This time the people listened: They had not realized that this legendary soldier was also a common braggart.

News of Coriolanus's second speech spread quickly through Rome, and the people turned out in great numbers to make sure he was not elected. Defeated, Coriolanus returned to the battlefield, bitter and vowing revenge on the common folk who had voted against him. Some weeks later a large shipment of grain arrived in Rome. The senate was ready to distribute this food to the people, for free, but just as they were preparing to vote on the question Coriolanus appeared on the scene and took the senate floor. The distribution, he argued, would have a harmful effect on the city as a whole. Several senators appeared won over, and the vote on the distribution fell into doubt. Coriolanus did not stop there: He went on to condemn the concept of democracy itself. He advocated getting rid of the people's representatives—the tribunes—and turning over the governing of the city to the patricians.

When word of Coriolanus's latest speech reached the people, their anger knew no bounds. The tribunes were sent to the senate to demand that Coriolanus appear before them. He refused. Riots broke out all over the city. The senate, fearing the people's wrath, finally voted in favor of the grain distribution. The tribunes were appeased, but the people still demanded that Coriolanus speak to them and apologize. If he repented, and agreed to keep his opinions to himself, he would be allowed to return to the battlefield.

Coriolanus did appear one last time before the people, who listened to

him in rapt silence. He started slowly and softly, but as the speech went on, he became more and more blunt. Yet again he hurled insults! His tone was arrogant, his expression disdainful. The more he spoke, the angrier the people became. Finally they shouted him down and silenced him.

The tribunes conferred, condemned Coriolanus to death, and ordered the magistrates to take him at once to the top of the Tarpeian rock and throw him over. The delighted crowd seconded the decision. The patri- cians, however, managed to intervene, and the sentence was commuted to a lifelong banishment. When the people found out that Rome's great mili- tary hero would never return to the city, they celebrated in the streets. In fact no one had ever seen such a celebration, not even after the defeat of a foreign enemy.

Interpretation

Before his entrance into politics, the name of Coriolanus evoked awe.

His battlefield accomplishments showed him as a man of great brav- ery. Since the citizens knew little about him, all kinds of legends became at- tached to his name. The moment he appeared before the Roman citizens, however, and spoke his mind, all that grandeur and mystery vanished. He bragged and blustered like a common soldier. He insulted and slandered people, as if he felt threatened and insecure. Suddenly he was not at all what the people had imagined. The discrepancy between the legend and the reality proved immensely disappointing to those who wanted to be- lieve in their hero. The more Coriolanus said, the less powerful he ap- peared—a person who cannot control his words shows that he cannot control himself, and is unworthy of respect.

Had Coriolanus said less, the people would never have had cause to be offended by him, would never have known his true feelings. He would have maintained his powerful aura, would certainly have been elected con- sul, and would have been able to accomplish his antidemocratic goals. But the human tongue is a beast that few can master. It strains constantly to break out of its cage, and if it is not tamed, it will run wild and cause you grief. Power cannot accrue to those who squander their treasure of words.

Oysters open completely when the moon is full; and when the crab sees one it throws a piece of stone or seaweed into it and the oyster cannot close again so that it serves the crab for meat. Such is the fate of him who opens his mouth too much and thereby puts himself at the mercy of the listener.

Leonardo da Vinci, 1452–1519

OBSERVANCE OF THE LAW

In the court of Louis XIV, nobles and ministers would spend days and nights debating issues of state. They would confer, argue, make and break alliances, and argue again, until finally the critical moment arrived: Two of them would be chosen to represent the different sides to Louis himself, who would decide what should be done. After these persons were chosen,

question from Kissinger—Lord snapped, "Damn it, yes, it's the best I can do." To which Kissinger replied: "Fine, then I guess I'll read it this time."

KISSINGER,
WALTER ISAACSON,
1992

The King [Louis XIV] maintains the most impenetrable secrecy about affairs of State. The ministers attend council meetings, but he confides his plans to them only when he has reflected at length upon them and has come to a definite decision. I wish you might see the King. His expres- sion is inscrutable; his eyes like those of a fox. He never discusses State affairs except with his ministers in Coun- cil. When he speaks to courtiers he refers only to their respective prerogatives or duties. Even the most frivo- lous of his utterances has the air of being the pronouncement of an oracle.

PRIM: VISCONTI,
QUOTED IN
LOUIS XIV,
LOUIS BERTRAND,
1928

Undutiful words of a subject do often take deeper root than the memory of ill deeds. . . . The late Earl of Essex told Queen Elizabeth that her conditions were as crooked as her carcass; but it cost him his head, which his insurrection had not cost him but for that speech.

SIR WALTER RALEIGH,
1554–1618

everyone would argue some more: How should the issues be phrased? What would appeal to Louis, what would annoy him? At what time of day should the representatives approach him, and in what part of the Versailles palace? What expression should they have on their faces?

Finally, after all this was settled, the fateful moment would finally arrive. The two men would approach Louis—always a delicate matter—and when they finally had his ear, they would talk about the issue at hand, spelling out the options in detail.

Louis would listen in silence, a most enigmatic look on his face. Finally, when each had finished his presentation and had asked for the king's opinion, he would look at them both and say, "I shall see." Then he would walk away.

The ministers and courtiers would never hear another word on this subject from the king—they would simply see the result, weeks later, when he would come to a decision and act. He would never bother to consult them on the matter again.

Interpretation

Louis XIV was a man of very few words. His most famous remark is "*L'état, c'est moi*" ("I am the state"); nothing could be more pithy yet more eloquent. His infamous "I shall see" was one of several extremely short phrases that he would apply to all manner of requests.

Louis was not always this way; as a young man he was known for talking at length, delighting in his own eloquence. His later taciturnity was self-imposed, an act, a mask he used to keep everybody below him off-balance. No one knew exactly where he stood, or could predict his reactions. No one could try to deceive him by saying what they thought he wanted to hear, because no one *knew* what he wanted to hear. As they talked on and on to the silent Louis, they revealed more and more about themselves, information he would later use against them to great effect.

In the end, Louis's silence kept those around him terrified and under his thumb. It was one of the foundations of his power. As Saint-Simon wrote, "No one knew as well as he how to sell his words, his smile, even his glances. Everything in him was valuable because he created differences, and his majesty was enhanced by the sparseness of his words."

It is even more damaging for a minister to say foolish things than to do them.

Cardinal de Retz, 1613–1679

KEYS TO POWER

Power is in many ways a game of appearances, and when you say less than necessary, you inevitably appear greater and more powerful than you are. Your silence will make other people uncomfortable. Humans are machines of interpretation and explanation; they have to know what you are thinking. When you carefully control what you reveal, they cannot pierce your intentions or your meaning.

Your short answers and silences will put them on the defensive, and they will jump in, nervously filling the silence with all kinds of comments that will reveal valuable information about them and their weaknesses. They will leave a meeting with you feeling as if they had been robbed, and they will go home and ponder your every word. This extra attention to your brief comments will only add to your power.

Saying less than necessary is not for kings and statesmen only. In most areas of life, the less you say, the more profound and mysterious you appear. As a young man, the artist Andy Warhol had the revelation that it was generally impossible to get people to do what you wanted them to do by talking to them. They would turn against you, subvert your wishes, disobey you out of sheer perversity. He once told a friend, "I learned that you actually have more power when you shut up."

In his later life Warhol employed this strategy with great success. His interviews were exercises in oracular speech: He would say something vague and ambiguous, and the interviewer would twist in circles trying to figure it out, imagining there was something profound behind his often meaningless phrases. Warhol rarely talked about his work; he let others do the interpreting. He claimed to have learned this technique from that master of enigma Marcel Duchamp, another twentieth-century artist who realized early on that the less he said about his work, the more people talked about it. And the more they talked, the more valuable his work became.

By saying less than necessary you create the appearance of meaning and power. Also, the less you say, the less risk you run of saying something foolish, even dangerous. In 1825 a new czar, Nicholas I, ascended the throne of Russia. A rebellion immediately broke out, led by liberals demanding that the country modernize—that its industries and civil structures catch up with the rest of Europe. Brutally crushing this rebellion (the Decembrist Uprising), Nicholas I sentenced one of its leaders, Kondraty Ryleyev, to death. On the day of the execution Ryleyev stood on the gallows, the noose around his neck. The trapdoor opened—but as Ryleyev dangled, the rope broke, dashing him to the ground. At the time, events like this were considered signs of providence or heavenly will, and a man saved from execution this way was usually pardoned. As Ryleyev got to his feet, bruised and dirtied but believing his neck had been saved, he called out to the crowd, "You see, in Russia they don't know how to do anything properly, not even how to make rope!"

A messenger immediately went to the Winter Palace with news of the failed hanging. Vexed by this disappointing turnabout, Nicholas I nevertheless began to sign the pardon. But then: "Did Ryleyev say anything after this miracle?" the czar asked the messenger. "Sire," the messenger replied, "he said that in Russia they don't even know how to make rope."

"In that case," said the Czar, "let us prove the contrary," and he tore up the pardon. The next day Ryleyev was hanged again. This time the rope did not break.

Learn the lesson: Once the words are out, you cannot take them back. Keep them under control. Be particularly careful with sarcasm: The mo-

mentary satisfaction you gain with your biting words will be outweighed by the price you pay.

I m a g e :
The Oracle at Delphi.
When visitors consulted the
Oracle, the priestess would utter
a few enigmatic words that seemed
full of meaning and import. No one
disobeyed the words of the Oracle—
they held power over life and death.

Authority: Never start moving your own lips and teeth before the subordinates do. The longer I keep quiet, the sooner others move their lips and teeth. As they move their lips and teeth, I can thereby understand their real intentions. . . . If the sovereign is not mysterious, the ministers will find opportunity to take and take. (Han-fei-tzu, Chinese philosopher, third century B.C.)

REVERSAL

There are times when it is unwise to be silent. Silence can arouse suspicion and even insecurity, especially in your superiors; a vague or ambiguous comment can open you up to interpretations you had not bargained for. Silence and saying less than necessary must be practiced with caution, then, and in the right situations. It is occasionally wiser to imitate the court jester, who plays the fool but knows he is smarter than the king. He talks and talks and entertains, and no one suspects that he is more than just a fool.

Also, words can sometimes act as a kind of smoke screen for any deception you might practice. By bending your listener's ear with talk, you can distract and mesmerize them; the more you talk, in fact, the less suspicious of you they become. The verbose are not perceived as sly and manipulative but as helpless and unsophisticated. This is the reverse of the silent policy employed by the powerful: By talking more, and making yourself appear weaker and less intelligent than your mark, you can practice deception with greater ease.

LAW

5

SO MUCH DEPENDS ON REPUTATION—GUARD IT WITH YOUR LIFE

JUDGMENT

Reputation is the cornerstone of power. Through reputation alone you can intimidate and win; once it slips, however, you are vulnerable, and will be attacked on all sides. Make your reputation unassailable. Always be alert to potential attacks and thwart them before they happen. Meanwhile, learn to destroy your enemies by opening holes in their own reputations. Then stand aside and let public opinion hang them.

THE ANIMALS
STRICKEN WITH THE
PLAGUE

*A frightful epidemic
sent
To earth by Heaven
intent to vent
Its fury on a sinful
world, to call
It by its rightful name,
the pestilence,
That Acheron-filling
vial of virulence
Had fallen on every
animal.
Not all were dead, but
all lay near to dying,
And none was any
longer trying
To find new fuel to feed
life's flickering fires.
No foods excited their
desires;
No more did wolves
and foxes rove
In search of harmless,
helpless prey;
And dove would not
consort with dove,
For love and joy had
flown away.
The Lion assumed the
chair to say: "Dear
friends,
I doubt not it's for
heaven's high ends
That on us sinners we
must fall.
Let him of us who's
sinned the most
Fall victim to the
avenging heavenly
host,
And may he win salva-
tion for us all;
For history teaches us
that in these crises
We must make
sacrifices.
Undeceived and stern-
eyed, let's inspect
Our conscience. As I
recollect,
To put my greedy
appetite to sleep,
I've banqueted on*

OBSERVANCE OF THE LAW I

During China's War of the Three Kingdoms (A.D. 207–265), the great general Chuko Liang, leading the forces of the Shu Kingdom, dispatched his vast army to a distant camp while he rested in a small town with a handful of soldiers. Suddenly sentinels hurried in with the alarming news that an enemy force of over 150,000 troops under Sima Yi was approaching. With only a hundred men to defend him, Chuko Liang's situation was hopeless. The enemy would finally capture this renowned leader.

Without lamenting his fate, or wasting time trying to figure out how he had been caught, Liang ordered his troops to take down their flags, throw open the city gates, and hide. He himself then took a seat on the most visible part of the city's wall, wearing a Taoist robe. He lit some incense, strummed his lute, and began to chant. Minutes later he could see the vast enemy army approaching, an endless phalanx of soldiers. Pretending not to notice them, he continued to sing and play the lute.

Soon the army stood at the town gates. At its head was Sima Yi, who instantly recognized the man on the wall.

Even so, as his soldiers itched to enter the unguarded town through its open gates, Sima Yi hesitated, held them back, and studied Liang on the wall. Then, he ordered an immediate and speedy retreat.

Interpretation

Chuko Liang was commonly known as the "Sleeping Dragon." His exploits in the War of the Three Kingdoms were legendary. Once a man claiming to be a disaffected enemy lieutenant came to his camp, offering help and information. Liang instantly recognized the situation as a setup; this man was a false deserter, and should be beheaded. At the last minute, though, as the ax was about to fall, Liang stopped the execution and offered to spare the man's life if he agreed to become a double agent. Grateful and terrified, the man agreed, and began supplying false information to the enemy. Liang won battle after battle.

On another occasion Liang stole a military seal and created false documents dispatching his enemy's troops to distant locations. Once the troops had dispersed, he was able to capture three cities, so that he controlled an entire corridor of the enemy's kingdom. He also once tricked the enemy into believing one of its best generals was a traitor, forcing the man to escape and join forces with Liang. The Sleeping Dragon carefully cultivated his reputation of being the cleverest man in China, one who always had a trick up his sleeve. As powerful as any weapon, this reputation struck fear into his enemy.

Sima Yi had fought against Chuko Liang dozens of times and knew him well. When he came on the empty city, with Liang praying on the wall, he was stunned. The Taoist robes, the chanting, the incense—this had to be a game of intimidation. The man was obviously taunting him, daring him to walk into a trap. The game was so obvious that for one moment it crossed Yi's mind that Liang actually *was* alone, and desperate. But so great was his fear of Liang that he dared not risk finding out. Such is the

power of reputation. It can put a vast army on the defensive, even force them into retreat, without a single arrow being fired.

For, as Cicero says, even those who argue against fame still want the books they write against it to bear their name in the title and hope to become famous for despising it. Everything else is subject to barter: we will let our friends have our goods and our lives if need be; but a case of sharing our fame and making someone else the gift of our reputation is hardly to be found.

Montaigne, 1533–1592

OBSERVANCE OF THE LAW II

In 1841 the young P. T. Barnum, trying to establish his reputation as America's premier showman, decided to purchase the American Museum in Manhattan and turn it into a collection of curiosities that would secure his fame. The problem was that he had no money. The museum's asking price was \$15,000, but Barnum was able to put together a proposal that appealed to the institution's owners even though it replaced cash up front with dozens of guarantees and references. The owners came to a verbal agreement with Barnum, but at the last minute, the principal partner changed his mind, and the museum and its collection were sold to the directors of Peale's Museum. Barnum was infuriated, but the partner explained that business was business—the museum had been sold to Peale's because Peale's had a reputation and Barnum had none.

Barnum immediately decided that if he had no reputation to bank on, his only recourse was to ruin the reputation of Peale's. Accordingly he launched a letter-writing campaign in the newspapers, calling the owners a bunch of "broken-down bank directors" who had no idea how to run a museum or entertain people. He warned the public against buying Peale's stock, since the business's purchase of another museum would invariably spread its resources thin. The campaign was effective, the stock plummeted, and with no more confidence in Peale's track record and reputation, the owners of the American Museum reneged on their deal and sold the whole thing to Barnum.

It took years for Peale's to recover, and they never forgot what Barnum had done. Mr. Peale himself decided to attack Barnum by building a reputation for "high-brow entertainment," promoting his museum's programs as more scientific than those of his vulgar competitor. Mesmerism (hypnotism) was one of Peale's "scientific" attractions, and for a while it drew big crowds and was quite successful. To fight back, Barnum decided to attack Peale's reputation yet again.

Barnum organized a rival mesmeric performance in which he himself apparently put a little girl into a trance. Once she seemed to have fallen deeply under, he tried to hypnotize members of the audience—but no matter how hard he tried, none of the spectators fell under his spell, and many of them began to laugh. A frustrated Barnum finally announced that to prove the little girl's trance was real, he would cut off one of her fingers

*many a sheep
Who'd injured me in
no respect,
And even in my time
been known to try
Shepherd pie.
If need be, then, I'll die.
Yet I suspect
That others also ought
to own their sins.
It's only fair that all
should do their best
To single out the
guiltiest."*

*"Sire, you're too good
a king," the Fox begins;
"Such scruples are too
delicate. My word,
To eat sheep, that
profane and vulgar
herd,
That's sin? Nay, Sire,
enough for such a crew
To be devoured by
such as you;
While of the shepherds
we may say
That they deserved the
worst they got,
Theirs being the lot
that over us beasts plot
A flimsy dream-
begotten sway."
Thus spake the Fox,
and toady cheers rose
high,
While none dared cast
too cold an eye
On Tiger's, Bear's, and
other eminences'
Most unpardonable
offences.
Each, of never mind
what currish breed,
Was really a saint, they
all agreed.
Then came the Ass, to
say: "I do recall
How once I crossed an
abbey-mead
Where hunger, grass in
plenty, and withal,
I have no doubt, some
imp of greed,
Assailed me, and I
shaved a tongue's-
breadth wide
Where frankly I'd no
right to any grass."*

*All forthwith fell full
 cry upon the Ass:
 A Wolf of some book-
 learning testified
 That that curst beast
 must suffer their
 despite,
 That gallskinned
 author of their piteous
 plight.
 They judged him fit
 for nought but
 gallows-bait:
 How vile, another's
 grass to sequestrate!
 His death alone could
 expiate
 A crime so heinous, as
 full well he learns.
 The court, as you're of
 great or poor estate,
 Will paint you either
 white or black by turns.*

THE BEST FABLES
 OF LA FONTAINE,
 JEAN DE LA FONTAINE,
 1621–1695

without her noticing. But as he sharpened the knife, the little girl's eyes popped open and she ran away, to the audience's delight. He repeated this and other parodies for several weeks. Soon no one could take Peale's show seriously, and attendance went way down. Within a few weeks, the show closed. Over the next few years Barnum established a reputation for audacity and consummate showmanship that lasted his whole life. Peale's reputation, on the other hand, never recovered.

Interpretation

Barnum used two different tactics to ruin Peale's reputation. The first was simple: He sowed doubts about the museum's stability and solvency. Doubt is a powerful weapon: Once you let it out of the bag with insidious rumors, your opponents are in a horrible dilemma. On the one hand they can deny the rumors, even prove that you have slandered them. But a layer of suspicion will remain: Why are they defending themselves so desperately? Maybe the rumor has some truth to it? If, on the other hand, they take the high road and ignore you, the doubts, unrefuted, will be even stronger. If done correctly, the sowing of rumors can so infuriate and unsettle your rivals that in defending themselves they will make numerous mistakes. This is the perfect weapon for those who have no reputation of their own to work from.

Once Barnum did have a reputation of his own, he used the second, gentler tactic, the fake hypnotism demonstration: He ridiculed his rivals' reputation. This too was extremely successful. Once you have a solid base of respect, ridiculing your opponent both puts him on the defensive and draws more attention to you, enhancing your own reputation. Outright slander and insult are too strong at this point; they are ugly, and may hurt you more than help you. But gentle barbs and mockery suggest that you have a strong enough sense of your own worth to enjoy a good laugh at your rival's expense. A humorous front can make you out as a harmless entertainer while poking holes in the reputation of your rival.

It is easier to cope with a bad conscience than with a bad reputation.

Friedrich Nietzsche, 1844–1900

KEYS TO POWER

The people around us, even our closest friends, will always to some extent remain mysterious and unfathomable. Their characters have secret recesses that they never reveal. The unknowableness of other people could prove disturbing if we thought about it long enough, since it would make it impossible for us really to judge other people. So we prefer to ignore this fact, and to judge people on their appearances, on what is most visible to our eyes—clothes, gestures, words, actions. In the social realm, appearances are the barometer of almost all of our judgments, and you must never be misled into believing otherwise. One false slip, one awkward or sudden change in your appearance, can prove disastrous.

This is the reason for the supreme importance of making and maintaining a reputation that is of your own creation.

That reputation will protect you in the dangerous game of appearances, distracting the probing eyes of others from knowing what you are really like, and giving you a degree of control over how the world judges you—a powerful position to be in. Reputation has a power like magic: With one stroke of its wand, it can double your strength. It can also send people scurrying away from you. Whether the exact same deeds appear brilliant or dreadful can depend entirely on the reputation of the doer.

In the ancient Chinese court of the Wei kingdom there was a man named Mi Tzu-hsia who had a reputation for supreme civility and graciousness. He became the ruler's favorite. It was a law in Wei that "whoever rides secretly in the ruler's coach shall have his feet cut off," but when Mi Tzu-hsia's mother fell ill, he used the royal coach to visit her, pretending that the ruler had given him permission. When the ruler found out, he said, "How dutiful is Mi Tzu-hsia! For his mother's sake he even forgot that he was committing a crime making him liable to lose his feet!"

Another time the two of them took a stroll in an orchard. Mi Tzu-hsia began eating a peach that he could not finish, and he gave the ruler the other half to eat. The ruler remarked, "You love me so much that you would even forget your own saliva taste and let me eat the rest of the peach!"

Later, however, envious fellow courtiers, spreading word that Mi Tzu-hsia was actually devious and arrogant, succeeded in damaging his reputation; the ruler came to see his actions in a new light. "This fellow once rode in my coach under pretense of my order," he told the courtiers angrily, "and another time he gave me a half-eaten peach." For the same actions that had charmed the ruler when he was the favorite, Mi Tzu-hsia now had to suffer the penalties. The fate of his feet depended solely on the strength of his reputation.

In the beginning, you must work to establish a reputation for one outstanding quality, whether generosity or honesty or cunning. This quality sets you apart and gets other people to talk about you. You then make your reputation known to as many people as possible (subtly, though; take care to build slowly, and with a firm foundation), and watch as it spreads like wildfire.

A solid reputation increases your presence and exaggerates your strengths without your having to spend much energy. It can also create an aura around you that will instill respect, even fear. In the fighting in the North African desert during World War II, the German general Erwin Rommel had a reputation for cunning and for deceptive maneuvering that struck terror into everyone who faced him. Even when his forces were depleted, and when British tanks outnumbered his by five to one, entire cities would be evacuated at the news of his approach.

As they say, your reputation inevitably precedes you, and if it inspires respect, a lot of your work is done for you before you arrive on the scene, or utter a single word.

Your success seems destined by your past triumphs. Much of the suc-

cess of Henry Kissinger's shuttle diplomacy rested on his reputation for ironing out differences; no one wanted to be seen as so unreasonable that Kissinger could not sway him. A peace treaty seemed a fait accompli as soon as Kissinger's name became involved in the negotiations.

Make your reputation simple and base it on one sterling quality. This single quality—efficiency, say, or seductiveness—becomes a kind of calling card that announces your presence and places others under a spell. A reputation for honesty will allow you to practice all manner of deception. Casanova used his reputation as a great seducer to pave the way for his future conquests; women who had heard of his powers became immensely curious, and wanted to discover for themselves what had made him so romantically successful.

Perhaps you have already stained your reputation, so that you are prevented from establishing a new one. In such cases it is wise to associate with someone whose image counteracts your own, using their good name to whitewash and elevate yours. It is hard, for example, to erase a reputation for dishonesty by yourself; but a paragon of honesty can help. When P. T. Barnum wanted to clean up a reputation for promoting vulgar entertainment, he brought the singer Jenny Lind over from Europe. She had a stellar, high-class reputation, and the American tour Barnum sponsored for her greatly enhanced his own image. Similarly the great robber barons of nineteenth-century America were long unable to rid themselves of a reputation for cruelty and mean-spiritedness. Only when they began collecting art, so that the names of Morgan and Frick became permanently associated with those of da Vinci and Rembrandt, were they able to soften their unpleasant image.

Reputation is a treasure to be carefully collected and hoarded. Especially when you are first establishing it, you must protect it strictly, anticipating all attacks on it. Once it is solid, do not let yourself get angry or defensive at the slanderous comments of your enemies—that reveals insecurity, not confidence in your reputation. Take the high road instead, and never appear desperate in your self-defense. On the other hand, an attack on another man's reputation is a potent weapon, particularly when you have less power than he does. He has much more to lose in such a battle, and your own thus-far-small reputation gives him a small target when he tries to return your fire. Barnum used such campaigns to great effect in his early career. But this tactic must be practiced with skill; you must not seem to engage in petty vengeance. If you do not break your enemy's reputation cleverly, you will inadvertently ruin your own.

Thomas Edison, considered the inventor who harnessed electricity, believed that a workable system would have to be based on direct current (DC). When the Serbian scientist Nikola Tesla appeared to have succeeded in creating a system based on alternating current (AC), Edison was furious. He determined to ruin Tesla's reputation, by making the public believe that the AC system was inherently unsafe, and Tesla irresponsible in promoting it.

To this end he captured all kinds of household pets and electrocuted

them to death with an AC current. When this wasn't enough, in 1890 he got New York State prison authorities to organize the world's first execution by electrocution, using an AC current. But Edison's electrocution experiments had all been with small creatures; the charge was too weak, and the man was only half killed. In perhaps the country's cruelest state-authorized execution, the procedure had to be repeated. It was an awful spectacle.

Although, in the long run, it is Edison's name that has survived, at the time his campaign damaged his own reputation more than Tesla's. He backed off. The lesson is simple—never go too far in attacks like these, for that will draw more attention to your own vengefulness than to the person you are slandering. When your own reputation is solid, use subtler tactics, such as satire and ridicule, to weaken your opponent while making you out as a charming rogue. The mighty lion toys with the mouse that crosses his path—any other reaction would mar his fearsome reputation.

Image:
A Mine Full of
Diamonds and Rubies.
You dug for it, you found it,
and your wealth is now assured.
Guard it with your life. Robbers and thieves
will appear from all sides. Never take your wealth
for granted, and constantly renew it—time
will diminish the jewels' luster,
and bury them from sight.

Authority: Therefore I should wish our courtier to bolster up his inherent worth with skill and cunning, and ensure that whenever he has to go where he is a stranger, he is preceded by a good reputation. . . . For the fame which appears to rest on the opinions of many fosters a certain unshakable belief in a man's worth which is then easily strengthened in minds already thus disposed and prepared. (Baldassare Castiglione, 1478–1529)

REVERSAL

There is no possible Reversal. Reputation is critical; there are no exceptions to this law. Perhaps, not caring what others think of you, you gain a reputation for insolence and arrogance, but that can be a valuable image in itself—Oscar Wilde used it to great advantage. Since we must live in society and must depend on the opinions of others, there is nothing to be gained by neglecting your reputation. By not caring how you are perceived, you let others decide this for you. Be the master of your fate, and also of your reputation.

LAW

6

COURT ATTENTION AT ALL COST

JUDGMENT

Everything is judged by its appearance; what is unseen counts for nothing. Never let yourself get lost in the crowd, then, or buried in oblivion. Stand out. Be conspicuous, at all cost. Make yourself a magnet of attention by appearing larger, more colorful, more mysterious than the bland and timid masses.

PART I: SURROUND YOUR NAME WITH THE SENSATIONAL AND SCANDALOUS

Draw attention to yourself by creating an unforgettable, even controversial image. Court scandal. Do anything to make yourself seem larger than life and shine more brightly than those around you. Make no distinction between kinds of attention—notoriety of any sort will bring you power. Better to be slandered and attacked than ignored.

OBSERVANCE OF THE LAW

P. T. Barnum, America's premier nineteenth-century showman, started his career as an assistant to the owner of a circus, Aaron Turner. In 1836 the circus stopped in Annapolis, Maryland, for a series of performances. On the morning of opening day, Barnum took a stroll through town, wearing a new black suit. People started to follow him. Someone in the gathering crowd shouted out that he was the Reverend Ephraim K. Avery, infamous as a man acquitted of the charge of murder but still believed guilty by most Americans. The angry mob tore off Barnum's suit and was ready to lynch him. After desperate appeals, Barnum finally convinced them to follow him to the circus, where he could verify his identity.

Once there, old Turner confirmed that this was all a practical joke—he himself had spread the rumor that Barnum was Avery. The crowd dispersed, but Barnum, who had nearly been killed, was not amused. He wanted to know what could have induced his boss to play such a trick. "My dear Mr. Barnum," Turner replied, "it was all for our good. Remember, all we need to ensure success is notoriety." And indeed everyone in town was talking about the joke, and the circus was packed that night and every night it stayed in Annapolis. Barnum had learned a lesson he would never forget.

Barnum's first big venture of his own was the American Museum—a collection of curiosities, located in New York. One day a beggar approached Barnum in the street. Instead of giving him money, Barnum decided to employ him. Taking him back to the museum, he gave the man five bricks and told him to make a slow circuit of several blocks. At certain points he was to lay down a brick on the sidewalk, always keeping one brick in hand. On the return journey he was to replace each brick on the street with the one he held. Meanwhile he was to remain serious of countenance and to answer no questions. Once back at the museum, he was to enter, walk around inside, then leave through the back door and make the same bricklaying circuit again.

On the man's first walk through the streets, several hundred people watched his mysterious movements. By his fourth circuit, onlookers swarmed around him, debating what he was doing. Every time he entered the museum he was followed by people who bought tickets to keep watching him. Many of them were distracted by the museum's collections, and stayed inside. By the end of the first day, the brick man had drawn over a

THE WASP AND THE PRINCE

A wasp named Pin Tail was long in quest of some deed that would make him forever famous. So one day he entered the king's palace and stung the little prince, who was in bed. The prince awoke with loud cries. The king and his courtiers rushed in to see what had happened. The prince was yelling as the wasp stung him again and again. The courtiers tried to catch the wasp, and each in turn was stung. The whole royal household rushed in, the news soon spread, and people flocked to the palace. The city was in an uproar, all business suspended. Said the wasp to itself, before it expired from its efforts, "A name without fame is like fire without flame. There is nothing like attracting notice at any cost."

INDIAN FABLE

*Even when I'm railed
at, I get my quota of
renown.*

PIETRO ARETINO,
1492–1556

THE COURT ARTIST

A work that was voluntarily presented to a prince was bound to seem in some way special. The artist himself might also try to attract the attention of the court through his behaviour. In Vasari's judgment Sodoma was "well known both for his personal eccentricities and for his reputation as a good painter." Because Pope Leo X "found pleasure in such strange, hare-brained individuals," he made Sodoma a knight, causing the artist to go completely out of his mind. Van Mander found it odd that the products of Cornelis Ketel's experiments in mouth and foot painting were bought by notable persons "because of their oddity," yet Ketel was only adding a variation to similar experiments by Titian, Ugo da Carpi and Palma Giovane, who, according to Boschini painted with their fingers "because they wished to imitate the method used by the Supreme Creator." Van Mander

thousand people into the museum. A few days later the police ordered him to cease and desist from his walks—the crowds were blocking traffic. The bricklaying stopped but thousands of New Yorkers had entered the museum, and many of those had become P. T. Barnum converts.

Barnum would put a band of musicians on a balcony overlooking the street, beneath a huge banner proclaiming FREE MUSIC FOR THE MILLIONS. What generosity, New Yorkers thought, and they flocked to hear the free concerts. But Barnum took pains to hire the worst musicians he could find, and soon after the band struck up, people would hurry to buy tickets to the museum, where they would be out of earshot of the band's noise, and of the booing of the crowd.

One of the first oddities Barnum toured around the country was Joice Heth, a woman he claimed was 161 years old, and whom he advertised as a slave who had once been George Washington's nurse. After several months the crowds began to dwindle, so Barnum sent an anonymous letter to the papers, claiming that Heth was a clever fraud. "Joice Heth," he wrote, "is not a human being but an automaton, made up of whalebone, india-rubber, and numberless springs." Those who had not bothered to see her before were immediately curious, and those who had already seen her paid to see her again, to find out whether the rumor that she was a robot was true.

In 1842, Barnum purchased the carcass of what was purported to be a mermaid. This creature resembled a monkey with the body of a fish, but the head and body were perfectly joined—it was truly a wonder. After some research Barnum discovered that the creature had been expertly put together in Japan, where the hoax had caused quite a stir.

He nevertheless planted articles in newspapers around the country claiming the capture of a mermaid in the Fiji Islands. He also sent the papers woodcut prints of paintings showing mermaids. By the time he showed the specimen in his museum, a national debate had been sparked over the existence of these mythical creatures. A few months before Barnum's campaign, no one had cared or even known about mermaids; now everyone was talking about them as if they were real. Crowds flocked in record numbers to see the Fiji Mermaid, and to hear debates on the subject.

A few years later, Barnum toured Europe with General Tom Thumb, a five-year-old dwarf from Connecticut whom Barnum claimed was an eleven-year-old English boy, and whom he had trained to do many remarkable acts. During this tour Barnum's name attracted such attention that Queen Victoria, that paragon of sobriety, requested a private audience with him and his talented dwarf at Buckingham Palace. The English press may have ridiculed Barnum, but Victoria was royally entertained by him, and respected him ever after.

Interpretation

Barnum understood the fundamental truth about attracting attention: Once people's eyes are on you, you have a special legitimacy. For Barnum,

creating interest meant creating a crowd; as he later wrote, “Every crowd has a silver lining.” And crowds tend to act in conjunction. If one person stops to see your beggarman laying bricks in the street, more will do the same. They will gather like dust bunnies. Then, given a gentle push, they will enter your museum or watch your show. To create a crowd you have to do something different and odd. Any kind of curiosity will serve the purpose, for crowds are magnetically attracted by the unusual and inexplicable. And once you have their attention, never let it go. If it veers toward other people, it does so at your expense. Barnum would ruthlessly suck attention from his competitors, knowing what a valuable commodity it is.

At the beginning of your rise to the top, then, spend all your energy on attracting attention. Most important: The *quality* of the attention is irrelevant. No matter how badly his shows were reviewed, or how slanderously personal were the attacks on his hoaxes, Barnum would never complain. If a newspaper critic reviled him particularly badly, in fact, he made sure to invite the man to an opening and to give him the best seat in the house. He would even write anonymous attacks on his own work, just to keep his name in the papers. From Barnum’s vantage, attention—whether negative or positive—was the main ingredient of his success. The worst fate in the world for a man who yearns fame, glory, and, of course, power is to be ignored.

If the courtier happens to engage in arms in some public spectacle such as jousting . . . he will ensure that the horse he has is beautifully caparisoned, that he himself is suitably attired, with appropriate mottoes and ingenious devices to attract the eyes of the onlookers in his direction as surely as the lodestone attracts iron.

Baldassare Castiglione, 1478–1529

reports that Gossaert attracted the attention of Emperor Charles V by wearing a fantastic paper costume. In doing so he was adopting the tactics used by Dinocrates, who, in order to gain access to Alexander the Great, is said to have appeared disguised as the naked Hercules when the monarch was sitting in judgment.

THE COURT ARTIST,
MARTIN WARNKE,
1993

KEYS TO POWER

Burning more brightly than those around you is a skill that no one is born with. You have to *learn* to attract attention, “as surely as the lodestone attracts iron.” At the start of your career, you must attach your name and reputation to a quality, an image, that sets you apart from other people. This image can be something like a characteristic style of dress, or a personality quirk that amuses people and gets talked about. Once the image is established, you have an appearance, a place in the sky for your star.

It is a common mistake to imagine that this peculiar appearance of yours should not be controversial, that to be attacked is somehow bad. Nothing could be further from the truth. To avoid being a flash in the pan, and having your notoriety eclipsed by another, you must not discriminate between different types of attention; in the end, every kind will work in your favor. Barnum, we have seen, welcomed personal attacks and felt no need to defend himself. He deliberately courted the image of being a humbug.

The court of Louis XIV contained many talented writers, artists, great beauties, and men and women of impeccable virtue, but no one was more talked about than the singular Duc de Lauzun. The duke was short, almost dwarfish, and he was prone to the most insolent kinds of behavior—he slept with the king’s mistress, and openly insulted not only other courtiers but the king himself. Louis, however, was so beguiled by the duke’s eccentricities that he could not bear his absences from the court. It was simple: The strangeness of the duke’s character attracted attention. Once people were enthralled by him, they wanted him around at any cost.

Society craves larger-than-life figures, people who stand above the general mediocrity. Never be afraid, then, of the qualities that set you apart and draw attention to you. Court controversy, even scandal. It is better to be attacked, even slandered, than ignored. All professions are ruled by this law, and all professionals must have a bit of the showman about them.

The great scientist Thomas Edison knew that to raise money he had to remain in the public eye at any cost. Almost as important as the inventions themselves was how he presented them to the public and courted attention.

Edison would design visually dazzling experiments to display his discoveries with electricity. He would talk of future inventions that seemed fantastic at the time—robots, and machines that could photograph thought—and that he had no intention of wasting his energy on, but that made the public talk about him. He did everything he could to make sure that he received more attention than his great rival Nikola Tesla, who may actually have been more brilliant than he was but whose name was far less known. In 1915, it was rumored that Edison and Tesla would be joint recipients of that year’s Nobel Prize in physics. The prize was eventually given to a pair of English physicists; only later was it discovered that the prize committee had actually approached Edison, but he had turned them down, refusing to share the prize with Tesla. By that time his fame was more secure than Tesla’s, and he thought it better to refuse the honor than to allow his rival the attention that would have come even from sharing the prize.

If you find yourself in a lowly position that offers little opportunity for you to draw attention, an effective trick is to attack the most visible, most famous, most powerful person you can find. When Pietro Aretino, a young Roman servant boy of the early sixteenth century, wanted to get attention as a writer of verses, he decided to publish a series of satirical poems ridiculing the pope and his affection for a pet elephant. The attack put Aretino in the public eye immediately. A slanderous attack on a person in a position of power would have a similar effect. Remember, however, to use such tactics sparingly after you have the public’s attention, when the act can wear thin.

Once in the limelight you must constantly renew it by adapting and varying your method of courting attention. If you don’t, the public will grow tired, will take you for granted, and will move on to a newer star. The game requires constant vigilance and creativity. Pablo Picasso never allowed himself to fade into the background; if his name became too at-

tached to a particular style, he would deliberately upset the public with a new series of paintings that went against all expectations. Better to create something ugly and disturbing, he believed, than to let viewers grow too familiar with his work. Understand: People feel superior to the person whose actions they can predict. If you show them who is in control by playing *against* their expectations, you both gain their respect and tighten your hold on their fleeting attention.

I m a g e :

The Limelight. The actor who steps into this brilliant light attains a heightened presence. All eyes are on him. There is room for only one actor at a time in the limelight's narrow beam; do whatever it takes to make yourself its focus. Make your gestures so large, amusing, and scandalous that the light stays on you while the other actors are left in the shadows.

Authority: Be ostentatious and be seen. . . . What is not seen is as though it did not exist. . . . It was light that first caused all creation to shine forth. Display fills up many blanks, covers up deficiencies, and gives everything a second life, especially when it is backed by genuine merit. (Baltasar Gracián, 1601–1658)

PART II: CREATE AN AIR OF MYSTERY

In a world growing increasingly banal and familiar, what seems enigmatic instantly draws attention. Never make it too clear what you are doing or about to do. Do not show all your cards. An air of mystery heightens your presence; it also creates anticipation—everyone will be watching you to see what happens next. Use mystery to beguile, seduce, even frighten.

OBSERVANCE OF THE LAW

Beginning in 1905, rumors started to spread throughout Paris of a young Oriental girl who danced in a private home, wrapped in veils that she gradually discarded. A local journalist who had seen her dancing reported that “a woman from the Far East had come to Europe laden with perfume and jewels, to introduce some of the richness of the Oriental colour and life into the satiated society of European cities.” Soon everyone knew the dancer’s name: Mata Hari.

Early that year, in the winter, small and select audiences would gather in a salon filled with Indian statues and other relics while an orchestra played music inspired by Hindu and Javanese melodies. After keeping the audience waiting and wondering, Mata Hari would suddenly appear, in a startling costume: a white cotton brassiere covered with Indian-type jewels; jeweled bands at the waist supporting a sarong that revealed as much as it concealed; bracelets up the arms. Then Mata Hari would dance, in a style no one in France had seen before, her whole body swaying as if she were in a trance. She told her excited and curious audience that her dances told stories from Indian mythology and Javanese folktales. Soon the cream of Paris, and ambassadors from far-off lands, were competing for invitations to the salon, where it was rumored that Mata Hari was actually performing sacred dances in the nude.

The public wanted to know more about her. She told journalists that she was actually Dutch in origin, but had grown up on the island of Java. She would also talk about time spent in India, how she had learned sacred Hindu dances there, and how Indian women “can shoot straight, ride horseback, and are capable of doing logarithms and talk philosophy.” By the summer of 1905, although few Parisians had actually seen Mata Hari dance, her name was on everyone’s lips.

As Mata Hari gave more interviews, the story of her origins kept changing: She had grown up in India, her grandmother was the daughter of a Javanese princess, she had lived on the island of Sumatra where she had spent her time “horseback riding, gun in hand, and risking her life.” No one knew anything certain about her, but journalists did not mind these changes in her biography. They compared her to an Indian goddess, a creature from the pages of Baudelaire—whatever their imagination wanted to see in this mysterious woman from the East.

In August of 1905, Mata Hari performed for the first time in public.

Crowds thronging to see her on opening night caused a riot. She had now become a cult figure, spawning many imitations. One reviewer wrote, "Mata Hari personifies all the poetry of India, its mysticism, its voluptuousness, its hypnotizing charm." Another noted, "If India possesses such unexpected treasures, then all Frenchmen will emigrate to the shores of the Ganges."

Soon the fame of Mata Hari and her sacred Indian dances spread beyond Paris. She was invited to Berlin, Vienna, Milan. Over the next few years she performed throughout Europe, mixed with the highest social circles, and earned an income that gave her an independence rarely enjoyed by a woman of the period. Then, near the end of World War I, she was arrested in France, tried, convicted, and finally executed as a German spy. Only during the trial did the truth come out: Mata Hari was not from Java or India, had not grown up in the Orient, did not have a drop of Eastern blood in her body. Her real name was Margaretha Zelle, and she came from the stolid northern province of Friesland, Holland.

Interpretation

When Margaretha Zelle arrived in Paris, in 1904, she had half a franc in her pocket. She was one of the thousands of beautiful young girls who flocked to Paris every year, taking work as artists' models, nightclub dancers, or vaudeville performers at the Folies Bergère. After a few years they would inevitably be replaced by younger girls, and would often end up on the streets, turning to prostitution, or else returning to the town they came from, older and chastened.

Zelle had higher ambitions. She had no dance experience and had never performed in the theater, but as a young girl she had traveled with her family and had witnessed local dances in Java and Sumatra. Zelle clearly understood that what was important in her act was not the dance itself, or even her face or figure, but her ability to create an air of mystery about herself. The mystery she created lay not just in her dancing, or her costumes, or the stories she would tell, or her endless lies about her origins; it lay in an atmosphere enveloping everything she did. There was nothing you could say for sure about her—she was always changing, always surprising her audience with new costumes, new dances, new stories. This air of mystery left the public always wanting to know more, always wondering about her next move. Mata Hari was no more beautiful than many of the other young girls who came to Paris, and she was not a particularly good dancer. What separated her from the mass, what attracted and held the public's attention and made her famous and wealthy, was her mystery. People are enthralled by mystery; because it invites constant interpretation, they never tire of it. The mysterious cannot be grasped. And what cannot be seized and consumed creates power.

KEYS TO POWER

In the past, the world was filled with the terrifying and unknowable—diseases, disasters, capricious despots, the mystery of death itself. What we could not understand we reimagined as myths and spirits. Over the centuries, though, we have managed, through science and reason, to illuminate the darkness; what was mysterious and forbidding has grown familiar and comfortable. Yet this light has a price: in a world that is ever more banal, that has had its mystery and myth squeezed out of it, we secretly crave enigmas, people or things that cannot be instantly interpreted, seized, and consumed.

That is the power of the mysterious: It invites layers of interpretation, excites our imagination, seduces us into believing that it conceals something marvelous. The world has become so familiar and its inhabitants so predictable that what wraps itself in mystery will almost always draw the limelight to it and make us watch it.

Do not imagine that to create an air of mystery you have to be grand and awe-inspiring. Mystery that is woven into your day-to-day demeanor, and is subtle, has that much more power to fascinate and attract attention. Remember: Most people are upfront, can be read like an open book, take little care to control their words or image, and are hopelessly predictable. By simply holding back, keeping silent, occasionally uttering ambiguous phrases, deliberately appearing inconsistent, and acting odd in the subtlest of ways, you will emanate an aura of mystery. The people around you will then magnify that aura by constantly trying to interpret you.

Both artists and con artists understand the vital link between being mysterious and attracting interest. Count Victor Lustig, the aristocrat of swindlers, played the game to perfection. He was always doing things that were different, or seemed to make no sense. He would show up at the best hotels in a limo driven by a Japanese chauffeur; no one had ever seen a Japanese chauffeur before, so this seemed exotic and strange. Lustig would dress in the most expensive clothing, but always with something—a medal, a flower, an armband—out of place, at least in conventional terms. This was seen not as tasteless but as odd and intriguing. In hotels he would be seen receiving telegrams at all hours, one after the other, brought to him by his Japanese chauffeur—telegrams he would tear up with utter nonchalance. (In fact they were fakes, completely blank.) He would sit alone in the dining room, reading a large and impressive-looking book, smiling at people yet remaining aloof. Within a few days, of course, the entire hotel would be abuzz with interest in this strange man.

All this attention allowed Lustig to lure suckers in with ease. They would beg for his confidence and his company. Everyone wanted to be seen with this mysterious aristocrat. And in the presence of this distracting enigma, they wouldn't even notice that they were being robbed blind.

An air of mystery can make the mediocre appear intelligent and profound. It made Mata Hari, a woman of average appearance and intelligence, seem like a goddess, and her dancing divinely inspired. An air of

mystery about an artist makes his or her artwork immediately more intriguing, a trick Marcel Duchamp played to great effect. It is all very easy to do—say little about your work, tease and titillate with alluring, even contradictory comments, then stand back and let others try to make sense of it all.

Mysterious people put others in a kind of inferior position—that of trying to figure them out. To degrees that they can control, they also elicit the fear surrounding anything uncertain or unknown. All great leaders know that an aura of mystery draws attention to them and creates an intimidating presence. Mao Tse-tung, for example, cleverly cultivated an enigmatic image; he had no worries about seeming inconsistent or contradicting himself—the very contradictoriness of his actions and words meant that he always had the upper hand. No one, not even his own wife, ever felt they understood him, and he therefore seemed larger than life. This also meant that the public paid constant attention to him, ever anxious to witness his next move.

If your social position prevents you from completely wrapping your actions in mystery, you must at least learn to make yourself less obvious. Every now and then, act in a way that does not mesh with other people's perception of you. This way you keep those around you on the defensive, eliciting the kind of attention that makes you powerful. Done right, the creation of enigma can also draw the kind of attention that strikes terror into your enemy.

During the Second Punic War (219–202 B.C.), the great Carthaginian general Hannibal was wreaking havoc in his march on Rome. Hannibal was known for his cleverness and duplicity.

Under his leadership Carthage's army, though smaller than those of the Romans, had constantly outmaneuvered them. On one occasion, though, Hannibal's scouts made a horrible blunder, leading his troops into a marshy terrain with the sea at their back. The Roman army blocked the mountain passes that led inland, and its general, Fabius, was ecstatic—at last he had Hannibal trapped. Posting his best sentries on the passes, he worked on a plan to destroy Hannibal's forces. But in the middle of the night, the sentries looked down to see a mysterious sight: A huge procession of lights was heading up the mountain. Thousands and thousands of lights. If this was Hannibal's army, it had suddenly grown a hundredfold.

The sentries argued heatedly about what this could mean: Reinforcements from the sea? Troops that had been hidden in the area? Ghosts? No explanation made sense.

As they watched, fires broke out all over the mountain, and a horrible noise drifted up to them from below, like the blowing of a million horns. Demons, they thought. The sentries, the bravest and most sensible in the Roman army, fled their posts in a panic.

By the next day, Hannibal had escaped from the marshland. What was his trick? Had he really conjured up demons? Actually what he had done was order bundles of twigs to be fastened to the horns of the thousands of oxen that traveled with his troops as beasts of burden. The twigs were then

lit, giving the impression of the torches of a vast army heading up the mountain. When the flames burned down to the oxen's skin, they stampeded in all directions, bellowing like mad and setting fires all over the mountainside. The key to this device's success was not the torches, the fires, or the noises in themselves, however, but the fact that Hannibal had created a puzzle that captivated the sentries' attention and gradually terrified them. From the mountaintop there was no way to explain this bizarre sight. If the sentries could have explained it they would have stayed at their posts.

If you find yourself trapped, cornered, and on the defensive in some situation, try a simple experiment: Do something that cannot be easily explained or interpreted. Choose a simple action, but carry it out in a way that unsettles your opponent, a way with many possible interpretations, making your intentions obscure. Don't just be unpredictable (although this tactic too can be successful—see Law 17); like Hannibal, create a scene that cannot be read. There will seem to be no method to your madness, no rhyme or reason, no single explanation. If you do this right, you will inspire fear and trembling and the sentries will abandon their posts. Call it the “feigned madness of Hamlet” tactic, for Hamlet uses it to great effect in Shakespeare's play, frightening his stepfather Claudius through the mystery of his behavior. The mysterious makes your forces seem larger, your power more terrifying.

Image: The Dance of
the Veils—the veils
envelop the dancer.
What they reveal
causes excitement.
What they conceal
heightens interest. The
essence of mystery.

Authority: If you do not declare yourself immediately, you arouse expectation. . . . Mix a little mystery with everything, and the very mystery stirs up veneration. And when you explain, be not too explicit. . . . In this manner you imitate the Divine way when you cause men to wonder and watch. (Baltasar Gracián, 1601–1658)

REVERSAL

In the beginning of your rise to the top, you must attract attention at all cost, but as you rise higher you must constantly adapt. Never wear the public out with the same tactic. An air of mystery works wonders for those who need to develop an aura of power and get themselves noticed, but it must seem measured and under control. Mata Hari went too far with her fabrications; although the accusation that she was a spy was false, at the time it was a reasonable presumption because all her lies made her seem suspicious and nefarious. Do not let your air of mystery be slowly transformed into a reputation for deceit. The mystery you create must seem a game, playful and unthreatening. Recognize when it goes too far, and pull back.

There are times when the need for attention must be deferred, and when scandal and notoriety are the last things you want to create. The attention you attract must never offend or challenge the reputation of those above you—not, at any rate, if they are secure. You will seem not only paltry but desperate by comparison. There is an art to knowing when to draw notice and when to withdraw.

Lola Montez was one of the great practitioners of the art of attracting attention. She managed to rise from a middle-class Irish background to being the lover of Franz Liszt and then the mistress and political adviser of King Ludwig of Bavaria. In her later years, though, she lost her sense of proportion.

In London in 1850 there was to be a performance of Shakespeare's *Macbeth* featuring the greatest actor of the time, Charles John Kean. Everyone of consequence in English society was to be there; it was rumored that even Queen Victoria and Prince Albert were to make a public appearance. The custom of the period demanded that everyone be seated before the queen arrived. So the audience got there a little early, and when the queen entered her royal box, they observed the convention of standing up and applauding her. The royal couple waited, then bowed. Everyone sat down and the lights were dimmed. Then, suddenly, all eyes turned to a box opposite Queen Victoria's: A woman appeared from the shadows, taking her seat later than the queen. It was Lola Montez. She wore a diamond tiara on her dark hair and a long fur coat over her shoulders. People whispered in amazement as the ermine cloak was dropped to reveal a low-necked gown of crimson velvet. By turning their heads, the audience could see that the royal couple deliberately avoided looking at Lola's box. They followed Victoria's example, and for the rest of the evening Lola Montez was ignored. After that evening no one in fashionable society dared to be seen with her. All her magnetic powers were reversed. People would flee her sight. Her future in England was finished.

Never appear overly greedy for attention, then, for it signals insecurity, and insecurity drives power away. Understand that there are times when it is not in your interest to be the center of attention. When in the presence of a king or queen, for instance, or the equivalent thereof, bow and retreat to the shadows; never compete.

LAW

7

GET OTHERS TO DO THE
WORK FOR YOU, BUT
ALWAYS TAKE THE CREDIT

JUDGMENT

Use the wisdom, knowledge, and legwork of other people to further your own cause. Not only will such assistance save you valuable time and energy, it will give you a godlike aura of efficiency and speed. In the end your helpers will be forgotten and you will be remembered. Never do yourself what others can do for you.

TRANSGRESSION AND OBSERVANCE OF THE LAW

In 1883 a young Serbian scientist named Nikola Tesla was working for the European division of the Continental Edison Company. He was a brilliant inventor, and Charles Batchelor, a plant manager and a personal friend of Thomas Edison, persuaded him he should seek his fortune in America, giving him a letter of introduction to Edison himself. So began a life of woe and tribulation that lasted until Tesla's death.

When Tesla met Edison in New York, the famous inventor hired him on the spot. Tesla worked eighteen-hour days, finding ways to improve the primitive Edison dynamos. Finally he offered to redesign them completely. To Edison this seemed a monumental task that could last years without paying off, but he told Tesla, "There's fifty thousand dollars in it for you—if you can do it." Tesla labored day and night on the project and after only a year he produced a greatly improved version of the dynamo, complete with automatic controls. He went to Edison to break the good news and receive his \$50,000. Edison was pleased with the improvement, for which he and his company would take credit, but when it came to the issue of the money he told the young Serb, "Tesla, you don't understand our American humor!" and offered a small raise instead.

Tesla's obsession was to create an alternating-current system (AC) of electricity. Edison believed in the direct-current system (DC), and not only refused to support Tesla's research but later did all he could to sabotage him. Tesla turned to the great Pittsburgh magnate George Westinghouse, who had started his own electricity company. Westinghouse completely funded Tesla's research and offered him a generous royalty agreement on future profits. The AC system Tesla developed is still the standard today—but after patents were filed in his name, other scientists came forward to take credit for the invention, claiming that they had laid the groundwork for him. His name was lost in the shuffle, and the public came to associate the invention with Westinghouse himself.

A year later, Westinghouse was caught in a takeover bid from J. Pierpont Morgan, who made him rescind the generous royalty contract he had signed with Tesla. Westinghouse explained to the scientist that his company would not survive if it had to pay him his full royalties; he persuaded Tesla to accept a buyout of his patents for \$216,000—a large sum, no doubt, but far less than the \$12 million they were worth at the time. The financiers had divested Tesla of the riches, the patents, and essentially the credit for the greatest invention of his career.

The name of Guglielmo Marconi is forever linked with the invention of radio. But few know that in producing his invention—he broadcast a signal across the English Channel in 1899—Marconi made use of a patent Tesla had filed in 1897, and that his work depended on Tesla's research. Once again Tesla received no money and no credit. Tesla invented an induction motor as well as the AC power system, and he is the real "father of radio." Yet none of these discoveries bear his name. As an old man, he lived in poverty.

THE TORTOISE, THE ELEPHANT, AND THE HIPPOPOTAMUS

One day the tortoise met the elephant, who trumpeted, "Out of my way, you weakling—I might step on you!" The tortoise was not afraid and stayed where he was, so the elephant stepped on him, but could not crush him. "Do not boast, Mr. Elephant, I am as strong as you are!" said the tortoise, but the elephant just laughed. So the tortoise asked him to come to his hill the next morning.

The next day, before sunrise, the tortoise ran down the hill to the river, where he met the hippopotamus, who was just on his way back into the water after his nocturnal feeding. "Mr Hippo! Shall we have a tug-of-war? I bet I'm as strong as you are!" said the tortoise. The hippopotamus laughed at this ridiculous idea, but agreed. The tortoise produced a long rope and told the hippo to hold it in his mouth until the tortoise shouted "Hey!" Then the tortoise ran back up the hill where he found the elephant, who was getting impatient. He gave the elephant the other end of the rope and said, "When I say 'Hey!' pull, and you'll see which of us is the strongest." Then he ran halfway back down the

hill, to a place where he
couldn't be seen, and
shouted, "Hey!" The
elephant and the
hippopotamus pulled
and pulled, but neither
could budge the
other—they were of
equal strength. They
both agreed that the
tortoise was as strong
as they were.
Never do what others
can do for you. The tor-
toise let others do the
work for him while he
got the credit.

ZAIREAN FABLE

To be sure, if the hunter
relies on the security of
the carriage, utilizes the
legs of the six horses,
and makes Wang Liang
hold their reins, then he
will not tire himself
and will find it easy to
overtake swift animals.
Now supposing he
discarded the advan-
tage of the carriage,
gave up the useful legs
of the horses and the
skill of Wang Liang,
and alighted to run
after the animals, then
even though his legs
were as quick as Lou
Chi's, he would not be
in time to overtake the
animals. In fact, if good
horses and strong
carriages are taken into
use, then mere bond-
men and bondwomen
will be good enough to
catch the animals.

HAN-FEI-TZU,
CHINESE PHILOSOPHER,
THIRD CENTURY B.C.

In 1917, during his later impoverished years, Tesla was told he was to receive the Edison Medal of the American Institute of Electrical Engineers. He turned the medal down. "You propose," he said, "to honor me with a medal which I could pin upon my coat and strut for a vain hour before the members of your Institute. You would decorate my body and continue to let starve, for failure to supply recognition, my mind and its creative products, which have supplied the foundation upon which the major portion of your Institute exists."

Interpretation

Many harbor the illusion that science, dealing with facts as it does, is beyond the petty rivalries that trouble the rest of the world. Nikola Tesla was one of those. He believed science had nothing to do with politics, and claimed not to care for fame and riches. As he grew older, though, this ruined his scientific work. Not associated with any particular discovery, he could attract no investors to his many ideas. While he pondered great inventions for the future, others stole the patents he had already developed and got the glory for themselves.

He wanted to do everything on his own, but merely exhausted and impoverished himself in the process.

Edison was Tesla's polar opposite. He wasn't actually much of a scientific thinker or inventor; he once said that he had no need to be a mathematician because he could always hire one. That was Edison's main method. He was really a businessman and publicist, spotting the trends and the opportunities that were out there, then hiring the best in the field to do the work for him. If he had to he would steal from his competitors. Yet his name is much better known than Tesla's, and is associated with more inventions.

The lesson is twofold: First, the credit for an invention or creation is as important, if not more important, than the invention itself. You must secure the credit for yourself and keep others from stealing it away, or from piggybacking on your hard work. To accomplish this you must always be vigilant and ruthless, keeping your creation quiet until you can be sure there are no vultures circling overhead. Second, learn to take advantage of other people's work to further your own cause. Time is precious and life is short. If you try to do it all on your own, you run yourself ragged, waste energy, and burn yourself out. It is far better to conserve your forces, pounce on the work others have done, and find a way to make it your own.

Everybody steals in commerce and industry.

I've stolen a lot myself.

But I know how to steal.

Thomas Edison, 1847–1931

KEYS TO POWER

The world of power has the dynamics of the jungle: There are those who live by hunting and killing, and there are also vast numbers of creatures (hyenas, vultures) who live off the hunting of others. These latter, less imaginative types are often incapable of doing the work that is essential for the creation of power. They understand early on, though, that if they wait long enough, they can always find another animal to do the work for them. Do not be naive: At this very moment, while you are slaving away on some project, there are vultures circling above trying to figure out a way to survive and even thrive off your creativity. It is useless to complain about this, or to wear yourself ragged with bitterness, as Tesla did. Better to protect yourself and join the game. Once you have established a power base, become a vulture yourself, and save yourself a lot of time and energy.

Of the two poles of this game, one can be illustrated by the example of the explorer Vasco Núñez de Balboa. Balboa had an obsession—the discovery of El Dorado, a legendary city of vast riches.

Early in the sixteenth century, after countless hardships and brushes with death, he found evidence of a great and wealthy empire to the south of Mexico, in present-day Peru. By conquering this empire, the Incan, and seizing its gold, he would make himself the next Cortés. The problem was that even as he made this discovery, word of it spread among hundreds of other conquistadors. He did not understand that half the game was keeping it quiet, and carefully watching those around him. A few years after he discovered the location of the Incan empire, a soldier in his own army, Francisco Pizarro, helped to get him beheaded for treason. Pizarro went on to take what Balboa had spent so many years trying to find.

The other pole is that of the artist Peter Paul Rubens, who, late in his career, found himself deluged with requests for paintings. He created a system: In his large studio he employed dozens of outstanding painters, one specializing in robes, another in backgrounds, and so on. He created a vast production line in which a large number of canvases would be worked on at the same time. When an important client visited the studio, Rubens would shoo his hired painters out for the day. While the client watched from a balcony, Rubens would work at an incredible pace, with unbelievable energy. The client would leave in awe of this prodigious man, who could paint so many masterpieces in so short a time.

This is the essence of the Law: Learn to get others to do the work for you while you take the credit, and you appear to be of godlike strength and power. If you think it important to do all the work yourself, you will never get far, and you will suffer the fate of the Balboas and Teslas of the world. Find people with the skills and creativity you lack. Either hire them, while putting your own name on top of theirs, or find a way to take their work and make it your own. Their creativity thus becomes yours, and you seem a genius to the world.

There is another application of this law that does not require the parasitic use of your contemporaries' labor: Use the past, a vast storehouse of

THE BLIND HEN

A hen who had lost her sight, and was accustomed to scratching up the earth in search of food, although blind, still continued to scratch away most diligently. Of what use was it to the industrious fool? Another sharp-sighted hen who spared her tender feet never moved from her side, and enjoyed, without scratching, the fruit of the other's labor. For as often as the blind hen scratched up a barley-corn, her watchful companion devoured it.

FABLES,
GOTTHOLD LESSING,
1729–1781

knowledge and wisdom. Isaac Newton called this “standing on the shoulders of giants.” He meant that in making his discoveries he had built on the achievements of others. A great part of his aura of genius, he knew, was attributable to his shrewd ability to make the most of the insights of ancient, medieval, and Renaissance scientists. Shakespeare borrowed plots, characterizations, and even dialogue from Plutarch, among other writers, for he knew that nobody surpassed Plutarch in the writing of subtle psychology and witty quotes. How many later writers have in their turn borrowed from—*plagiarized*—Shakespeare?

We all know how few of today’s politicians write their own speeches. Their own words would not win them a single vote; their eloquence and wit, whatever there is of it, they owe to a speech writer. Other people do the work, they take the credit. The upside of this is that it is a kind of power that is available to everyone. Learn to use the knowledge of the past and you will look like a genius, even when you are really just a clever borrower.

Writers who have delved into human nature, ancient masters of strategy, historians of human stupidity and folly, kings and queens who have learned the hard way how to handle the burdens of power—their knowledge is gathering dust, waiting for you to come and stand on their shoulders. Their wit can be your wit, their skill can be your skill, and they will never come around to tell people how unoriginal you really are. You can slog through life, making endless mistakes, wasting time and energy trying to do things from your own experience. Or you can use the armies of the past. As Bismarck once said, “Fools say that they learn by experience. I prefer to profit by others’ experience.”

Image: The Vulture. Of all the creatures in the jungle, he has it the easiest. The hard work of others becomes his work; their failure to survive becomes his nourishment. Keep an eye on the Vulture—while you are hard at work, he is circling above. Do not fight him, join him.

Authority: There is much to be known, life is short, and life is not life without knowledge. It is therefore an excellent device to acquire knowledge from everybody. Thus, by the sweat of another’s brow, you win the reputation of being an oracle. (Baltasar Gracián, 1601–1658)

REVERSAL

There are times when taking the credit for work that others have done is not the wise course: If your power is not firmly enough established, you will seem to be pushing people out of the limelight. To be a brilliant exploiter of talent your position must be unshakable, or you will be accused of deception.

Be sure you know when letting other people share the credit serves your purpose. It is especially important to not be greedy when you have a master above you. President Richard Nixon's historic visit to the People's Republic of China was originally his idea, but it might never have come off but for the deft diplomacy of Henry Kissinger. Nor would it have been as successful without Kissinger's skills. Still, when the time came to take credit, Kissinger adroitly let Nixon take the lion's share. Knowing that the truth would come out later, he was careful not to jeopardize his standing in the short term by hogging the limelight. Kissinger played the game expertly: He took credit for the work of those below him while graciously giving credit for his own labors to those above. That is the way to play the game.

LAW

8

MAKE OTHER PEOPLE
COME TO YOU—
USE BAIT IF NECESSARY

JUDGMENT

When you force the other person to act, you are the one in control. It is always better to make your opponent come to you, abandoning his own plans in the process. Lure him with fabulous gains—then attack. You hold the cards.

OBSERVANCE OF THE LAW

At the Congress of Vienna in 1814, the major powers of Europe gathered to carve up the remains of Napoleon's fallen Empire. The city was full of gaiety and the balls were the most splendid in memory. Hovering over the proceedings, however, was the shadow of Napoleon himself. Instead of being executed or exiled far away, he had been sent to the island of Elba, not far from the coast of Italy.

Even imprisoned on an island, a man as bold and creative as Napoleon Bonaparte made everyone nervous. The Austrians plotted to kill him on Elba, but decided it was too risky. Alexander I, Russia's temperamental czar, heightened the anxiety by throwing a fit during the congress when a part of Poland was denied him: "Beware, I shall loose the monster!" he threatened. Everyone knew he meant Napoleon. Of all the statesmen gathered in Vienna, only Talleyrand, Napoleon's former foreign minister, seemed calm and unconcerned. It was as if he knew something the others did not.

Meanwhile, on the island of Elba, Napoleon's life was a mockery of his previous glory. As Elba's "king," he had been allowed to form a court—there was a cook, a wardrobe mistress, an official pianist, and a handful of courtiers. All this was designed to humiliate Napoleon, and it seemed to work.

That winter, however, there occurred a series of events so strange and dramatic they might have been scripted in a play. Elba was surrounded by British ships, their cannons covering all possible exit points. Yet somehow, in broad daylight on 26 February 1815, a ship with nine hundred men on board picked up Napoleon and put to sea. The English gave chase but the ship got away. This almost impossible escape astonished the public throughout Europe, and terrified the statesmen at the Congress of Vienna.

Although it would have been safer to leave Europe, Napoleon not only chose to return to France, he raised the odds by marching on Paris with a tiny army, in hopes of recapturing the throne. His strategy worked—people of all classes threw themselves at his feet. An army under Marshal Ney sped from Paris to arrest him, but when the soldiers saw their beloved former leader, they changed sides. Napoleon was declared emperor again. Volunteers swelled the ranks of his new army. Delirium swept the country. In Paris, crowds went wild. The king who had replaced Napoleon fled the country.

For the next hundred days, Napoleon ruled France. Soon, however, the giddiness subsided. France was bankrupt, its resources nearly exhausted, and there was little Napoleon could do about this. At the Battle of Waterloo, in June of that year, he was finally defeated for good. This time his enemies had learned their lesson: They exiled him to the barren island of Saint Helena, off the west coast of Africa. There he had no more hope of escape.

Interpretation

Only years later did the facts of Napoleon's dramatic escape from Elba come to light. Before he decided to attempt this bold move, visitors to his court had told him that he was more popular in France than ever, and that

the country would embrace him again. One of these visitors was Austria's General Koller, who convinced Napoleon that if he escaped, the European powers, England included, would welcome him back into power. Napoleon was tipped off that the English would let him go, and indeed his escape occurred in the middle of the afternoon, in full view of English spyglasses.

What Napoleon did not know was that there was a man behind it all, pulling the strings, and that this man was his former minister, Talleyrand. And Talleyrand was doing all this not to bring back the glory days but to crush Napoleon once and for all. Considering the emperor's ambition unsettling to Europe's stability, he had turned against him long ago. When Napoleon was exiled to Elba, Talleyrand had protested. Napoleon should be sent farther away, he argued, or Europe would never have peace. But no one listened.

Instead of pushing his opinion, Talleyrand bided his time. Working quietly, he eventually won over Castlereagh and Metternich, the foreign ministers of England and Austria.

Together these men baited Napoleon into escaping. Even Koller's visit, to whisper the promise of glory in the exile's ear, was part of the plan. Like a master cardplayer, Talleyrand figured everything out in advance. He knew Napoleon would fall into the trap he had set. He also foresaw that Napoleon would lead the country into a war, which, given France's weakened condition, could only last a few months. One diplomat in Vienna, who understood that Talleyrand was behind it all, said, "He has set the house ablaze in order to save it from the plague."

*When I have laid bait for deer,
I don't shoot at the first doe that comes to sniff,
but wait until the whole herd has gathered round.*

Otto von Bismarck, 1815–1898

KEYS TO POWER

How many times has this scenario played itself out in history: An aggressive leader initiates a series of bold moves that begin by bringing him much power. Slowly, however, his power reaches a peak, and soon everything turns against him. His numerous enemies band together; trying to maintain his power, he exhausts himself going in this direction and that, and inevitably he collapses. The reason for this pattern is that the aggressive person is rarely in full control. He cannot see more than a couple of moves ahead, cannot see the consequences of this bold move or that one. Because he is constantly being forced to react to the moves of his ever-growing host of enemies, and to the unforeseen consequences of his own rash actions, his aggressive energy is turned against him.

In the realm of power, you must ask yourself, what is the point of chasing here and there, trying to solve problems and defeat my enemies, if I never feel in control? Why am I always having to react to events instead of directing them? The answer is simple: Your idea of power is wrong. You

have mistaken aggressive action for effective action. And most often the most effective action is to stay back, keep calm, and let others be frustrated by the traps you lay for them, playing for long-term power rather than quick victory.

Remember: The essence of power is the ability to keep the initiative, to get others to react to *your* moves, to keep your opponent and those around you on the defensive. When you make other people come to you, you suddenly become the one controlling the situation. And the one who has control has power. Two things must happen to place you in this position: You yourself must learn to master your emotions, and never to be influenced by anger; meanwhile, however, you must play on people's natural tendency to react angrily when pushed and baited. In the long run, the ability to make others come to you is a weapon far more powerful than any tool of aggression.

Study how Talleyrand, the master of the art, performed this delicate trick. First, he overcame the urge to try to convince his fellow statesmen that they needed to banish Napoleon far away. It is only natural to want to persuade people by pleading your case, imposing your will with words. But this often turns against you. Few of Talleyrand's contemporaries believed Napoleon was still a threat, so that if he had spent a lot of energy trying to convince them, he would only have made himself look foolish. Instead, he held his tongue and his emotions in check. Most important of all, he laid Napoleon a sweet and irresistible trap. He knew the man's weakness, his impetuosity, his need for glory and the love of the masses, and he played all this to perfection. When Napoleon went for the bait, there was no danger that he might succeed and turn the tables on Talleyrand, who better than anyone knew France's depleted state. And even had Napoleon been able to overcome these difficulties, the likelihood of his success would have been greater were he able to choose his time and place of action. By setting the proper trap, Talleyrand took the time and place into his own hands.

All of us have only so much energy, and there is a moment when our energies are at their peak. When you make the other person come to you, he wears himself out, wasting his energy on the trip. In the year 1905, Russia and Japan were at war. The Japanese had only recently begun to modernize their warships, so that the Russians had a stronger navy, but by spreading false information the Japanese marshal Togo Heihachiro baited the Russians into leaving their docks in the Baltic Sea, making them believe they could wipe out the Japanese fleet in one swift attack. The Russian fleet could not reach Japan by the quickest route—through the Strait of Gibraltar and then the Suez Canal into the Indian Ocean—because these were controlled by the British, and Japan was an ally of Great Britain. They had to go around the Cape of Good Hope, at the southern tip of Africa, adding over more than six thousand miles to the voyage. Once the fleet passed the Cape, the Japanese spread another false story: They were sailing to launch a counterattack. So the Russians made the entire journey to Japan on combat alert. By the time they arrived, their seamen were tense, exhausted, and overworked, while the Japanese had been waiting at their ease. Despite the

odds and their lack of experience in modern naval warfare, the Japanese crushed the Russians.

One added benefit of making the opponent come to you, as the Japanese discovered with the Russians, is that it forces him to operate in your territory. Being on hostile ground will make him nervous and often he will rush his actions and make mistakes. For negotiations or meetings, it is always wise to lure others into your territory, or the territory of your choice. You have your bearings, while they see nothing familiar and are subtly placed on the defensive.

Manipulation is a dangerous game. Once someone suspects he is being manipulated, it becomes harder and harder to control him. But when you make your opponent come to you, you create the illusion that he is controlling the situation. He does not feel the strings that pull him, just as Napoleon imagined that he himself was the master of his daring escape and return to power.

Everything depends on the sweetness of your bait. If your trap is attractive enough, the turbulence of your enemies' emotions and desires will blind them to reality. The greedier they become, the more they can be led around.

The great nineteenth-century robber baron Daniel Drew was a master at playing the stock market. When he wanted a particular stock to be bought or sold, driving prices up or down, he rarely resorted to the direct approach. One of his tricks was to hurry through an exclusive club near Wall Street, obviously on his way to the stock exchange, and to pull out his customary red bandanna to wipe his perspiring brow. A slip of paper would fall from this bandanna that he would pretend not to notice. The club's members were always trying to foresee Drew's moves, and they would pounce on the paper, which invariably seemed to contain an inside tip on a stock. Word would spread, and members would buy or sell the stock in droves, playing perfectly into Drew's hands.

If you can get other people to dig their own graves, why sweat yourself? Pickpockets work this to perfection. The key to picking a pocket is knowing which pocket contains the wallet. Experienced pickpockets often ply their trade in train stations and other places where there is a clearly marked sign reading BEWARE OF PICKPOCKETS. Passersby seeing the sign invariably feel for their wallet to make sure it is still there. For the watching pickpockets, this is like shooting fish in a barrel. Pickpockets have even been known to place their own BEWARE OF PICKPOCKETS signs to ensure their success.

When you are making people come to you, it is sometimes better to let them know you are forcing their hand. You give up deception for overt manipulation. The psychological ramifications are profound: The person who makes others come to him appears powerful, and demands respect.

Filippo Brunelleschi, the great Renaissance artist and architect, was a great practitioner of the art of making others come to him as a sign of his power. On one occasion he had been engaged to repair the dome of the Santa Maria del Fiore cathedral in Florence. The commission was impor-

tant and prestigious. But when the city officials hired a second man, Lorenzo Ghiberti, to work with Brunelleschi, the great artist brooded in secret. He knew that Ghiberti had gotten the job through his connections, and that he would do none of the work and get half the credit. At a critical moment of the construction, then, Brunelleschi suddenly developed a mysterious illness. He had to stop work, but pointed out to city officials that they had hired Ghiberti, who should have been able to continue the work on his own. Soon it became clear that Ghiberti was useless and the officials came begging to Brunelleschi. He ignored them, insisting that Ghiberti should finish the project, until finally they realized the problem: They fired Ghiberti.

By some miracle, Brunelleschi recovered within days. He did not have to throw a tantrum or make a fool of himself; he simply practiced the art of “making others come to you.”

If on one occasion you make it a point of dignity that others must come to you and you succeed, they will continue to do so even after you stop trying.

Image: The Honeyed Bear Trap. The bear hunter does not chase his prey; a bear that knows it is hunted is nearly impossible to catch and is ferocious if cornered. Instead, the hunter lays traps baited with honey. He does not exhaust himself and risk his life in pursuit. He baits, then waits.

Authority: Good warriors make others come to them, and do not go to others. This is the principle of emptiness and fullness of others and self. When you induce opponents to come to you, then their force is always empty; as long as you do not go to them, your force is always full. Attacking emptiness with fullness is like throwing stones on eggs. (Zhang Yu, eleventh-century commentator on *The Art of War*)

REVERSAL

Although it is generally the wiser policy to make others exhaust themselves chasing you, there are opposite cases where striking suddenly and aggressively at the enemy so demoralizes him that his energies sink. Instead of making others come to you, you go to them, force the issue, take the lead. Fast attack can be an awesome weapon, for it forces the other person to react without the time to think or plan. With no time to think, people make errors of judgment, and are thrown on the defensive. This tactic is the obverse of waiting and baiting, but it serves the same function: You make your enemy respond on your terms.

Men like Cesare Borgia and Napoleon used the element of speed to intimidate and control. A rapid and unforeseen move is terrifying and demoralizing. You must choose your tactics depending on the situation. If you have time on your side, and know that you and your enemies are at least at equal strength, then deplete their strength by making them come to you. If time is against you—your enemies are weaker, and waiting will only give them the chance to recover—give them no such chance. Strike quickly and they have nowhere to go. As the boxer Joe Louis put it, “He can run, but he can’t hide.”

WIN THROUGH YOUR
ACTIONS, NEVER
THROUGH ARGUMENT

JUDGMENT

Any momentary triumph you think you have gained through argument is really a Pyrrhic victory: The resentment and ill will you stir up is stronger and lasts longer than any momentary change of opinion. It is much more powerful to get others to agree with you through your actions, without saying a word. Demonstrate, do not explicate.

THE SULTAN AND
THE VIZIER

A vizier had served his master for some thirty years and was known and admired for his loyalty, truthfulness, and devotion to God. His honesty, however, had made him many enemies in the court, who spread stories of his duplicity and perfidy. They worked on the sultan day in and day out until he too came to distrust the innocent vizier and finally ordered the man who had served him so well to be put to death. In this realm, those condemned to death were tied up and thrown into the pen where the sultan kept his fiercest hunting dogs. The dogs would promptly tear the victim to pieces. Before being thrown to the dogs, however, the vizier asked for one last request. "I would like ten days' respite," he said, "so that I can pay my debts, collect any money due to me, return items that people have put in my care, and share out my goods among the members of my family and my children and appoint a guardian for them." After receiving a guarantee that the vizier would not try to escape, the sultan granted this request. The vizier hurried home, collected one hundred gold pieces, then paid a visit to the huntsman who looked after the sultan's

TRANSGRESSION OF THE LAW

In 131 B.C., the Roman consul Publius Crassus Dives Mucianus, laying siege to the Greek town of Pergamus, found himself in need of a battering ram to force through the town's walls. He had seen a couple of hefty ship's masts in a shipyard in Athens a few days before, and he ordered that the larger of these be sent to him immediately. The military engineer in Athens who received the order felt certain that the consul really wanted the smaller of the masts. He argued endlessly with the soldiers who delivered the request: The smaller mast, he told them, was much better suited to the task. And indeed it would be easier to transport.

The soldiers warned the engineer that their master was not a man to argue with, but he insisted that the smaller mast would be the only one that would work with a machine that he was constructing to go with it. He drew diagram after diagram, and went so far as to say that he was the expert and they had no clue what they were talking about. The soldiers knew their leader and at last convinced the engineer that it would be better to swallow his expertise and obey.

After they left, though, the engineer thought about it some more. What was the point, he asked himself, in obeying an order that would lead to failure? And so he sent the smaller mast, confident that the consul would see how much more effective it was and reward him justly.

When the smaller mast arrived, Mucianus asked his soldiers for an explanation. They described to him how the engineer had argued endlessly for the smaller mast, but had finally promised to send the larger one. Mucianus went into a rage. He could not concentrate on the siege, or consider the importance of breaching the walls before the town received reinforcements. All he could think about was the impudent engineer, whom he ordered to be brought to him immediately.

Arriving a few days later, the engineer gladly explained to the consul, one more time, the reasons for the smaller mast. He went on and on, using the same arguments he had made with the soldiers. He said it was wise to listen to experts in these matters, and if the attack was only tried with the battering ram he had sent, the consul would not regret it. Mucianus let him finish, then had him stripped naked before the soldiers and flogged and scourged with rods until he died.

Interpretation

The engineer, whose name has not been recorded by history, had spent his life designing masts and pillars, and was respected as the finest engineer in a city that had excelled in the science. He knew that he was right. A smaller ram would allow more speed and carry more force. Larger is not necessarily better. Of course the consul would see his logic, and would eventually understand that science is neutral and reason superior. How could the consul possibly persist in his ignorance if the engineer showed him detailed diagrams and explained the theories behind his advice?

The military engineer was the quintessence of the Arguer, a type found everywhere among us. The Arguer does not understand that words

are never neutral, and that by arguing with a superior he impugns the intelligence of one more powerful than he. He also has no awareness of the person he is dealing with. Since each man believes that he is right, and words will rarely convince him otherwise, the arguer's reasoning falls on deaf ears. When cornered, he only argues more, digging his own grave. Once he has made the other person feel insecure and inferior in his beliefs, the eloquence of Socrates could not save the situation.

It is not simply a question of avoiding an argument with those who stand above you. We all believe we are masters in the realm of opinions and reasoning. You must be careful, then: Learn to demonstrate the correctness of your ideas indirectly.

OBSERVANCE OF THE LAW

In 1502, in Florence, Italy, an enormous block of marble stood in the works department of the church of Santa Maria del Fiore. It had once been a magnificent piece of raw stone, but an unskillful sculptor had mistakenly bored a hole through it where there should have been a figure's legs, generally mutilating it. Piero Soderini, Florence's mayor, had contemplated trying to save the block by commissioning Leonardo da Vinci to work on it, or some other master, but had given up, since everyone agreed that the stone had been ruined. So, despite the money that had been wasted on it, it gathered dust in the dark halls of the church.

This was where things stood until some Florentine friends of the great Michelangelo decided to write to the artist, then living in Rome. He alone, they said, could do something with the marble, which was still magnificent raw material. Michelangelo traveled to Florence, examined the stone, and came to the conclusion that he could in fact carve a fine figure from it, by adapting the pose to the way the rock had been mutilated. Soderini argued that this was a waste of time—nobody could salvage such a disaster—but he finally agreed to let the artist work on it. Michelangelo decided he would depict a young David, sling in hand.

Weeks later, as Michelangelo was putting the final touches on the statue, Soderini entered the studio. Fancying himself a bit of a connoisseur, he studied the huge work, and told Michelangelo that while he thought it was magnificent, the nose, he judged, was too big. Michelangelo realized that Soderini was standing in a place right under the giant figure and did not have the proper perspective. Without a word, he gestured for Soderini to follow him up the scaffolding. Reaching the nose, he picked up his chisel, as well as a bit of marble dust that lay on the planks. With Soderini just a few feet below him on the scaffolding, Michelangelo started to tap lightly with the chisel, letting the bits of dust he had gathered in his hand to fall little by little. He actually did nothing to change the nose, but gave every appearance of working on it. After a few minutes of this charade he stood aside: "Look at it now." "I like it better," replied Soderini, "you've made it come alive."

dogs. He offered this man the one hundred gold pieces and said, "Let me look after the dogs for ten days." The huntsman agreed, and for the next ten days the vizier cared for the beasts with great attention, grooming them well and feeding them handsomely. By the end of the ten days they were eating out of his hand.

On the eleventh day the vizier was called before the sultan, the charges were repeated, and the sultan watched as the vizier was tied up and thrown to the dogs. Yet when the beasts saw him, they ran up to him with wagging tails. They nibbled affectionately at his shoulders and began playing with him. The sultan and the other witnesses were amazed, and the sultan asked the vizier why the dogs had spared his life. The vizier replied, "I have looked after these dogs for ten days. The sultan has seen the result for himself. I have looked after you for thirty years, and what is the result? I am condemned to death on the strength of accusations brought by my enemies." The sultan blushed with shame. He not only pardoned the vizier but gave him a fine set of clothes and handed over to him the men who had slandered his reputation. The noble vizier set them free and continued to treat them with kindness.

THE SUBTLE RUSE:
THE BOOK OF ARABIC
WISDOM AND GUILF,
THIRTEENTH CENTURY

When Apries had been deposed in the way I have described, Amasis came to the throne. He belonged to the district of Sais and was a native of the town called Siuph. At first the Egyptians were inclined to be contemptuous, and did not think much of him because of his humble and undistinguished origin; but later on he cleverly brought them to heel, without having recourse to harsh measures. Amongst his innumerable treasures, he had a gold footbath, which he and his guests used on occasion to wash their feet in. This he broke up, and with the material had a statue made to one of the gods, which he then set up in what he thought the most suitable spot in the city. The Egyptians constantly coming upon the statue, treated it with profound reverence, and as soon as Amasis heard of the effect it had upon them, he called a meeting and revealed the fact that the deeply revered statue was once a footbath, which they washed their feet and pissed and vomited in. He went on to say that his own case was much the same, in that once he had been only an ordinary person and was now their king; so that just as they had come to revere the transformed footbath, so they had better pay

Interpretation

Michelangelo knew that by changing the shape of the nose he might ruin the entire sculpture. Yet Soderini was a patron who prided himself on his aesthetic judgment. To offend such a man by arguing would not only gain Michelangelo nothing, it would put future commissions in jeopardy. Michelangelo was too clever to argue. His solution was to change Soderini's perspective (literally bringing him closer to the nose) without making him realize that this was the cause of his misperception.

Fortunately for posterity, Michelangelo found a way to keep the perfection of the statue intact while at the same time making Soderini believe he had improved it. Such is the double power of winning through actions rather than argument: No one is offended, and your point is proven.

KEYS TO POWER

In the realm of power you must learn to judge your moves by their long-term effects on other people. The problem in trying to prove a point or gain a victory through argument is that in the end you can never be certain how it affects the people you're arguing with: They may appear to agree with you politely, but inside they may resent you. Or perhaps something you said inadvertently even offended them—words have that insidious ability to be interpreted according to the other person's mood and insecurities. Even the best argument has no solid foundation, for we have all come to distrust the slippery nature of words. And days after agreeing with someone, we often revert to our old opinion out of sheer habit.

Understand this: Words are a dime a dozen. Everyone knows that in the heat of an argument, we will all say anything to support our cause. We will quote the Bible, refer to unverifiable statistics. Who can be persuaded by bags of air like that? Action and demonstration are much more powerful and meaningful. They are there, before our eyes, for us to see—"Yes, now the statue's nose does look just right." There are no offensive words, no possibility of misinterpretation. No one can argue with a demonstrated proof. As Baltasar Gracián remarks, "The truth is generally seen, rarely heard."

Sir Christopher Wren was England's version of the Renaissance man. He had mastered the sciences of mathematics, astronomy, physics, and physiology. Yet during his extremely long career as England's most celebrated architect he was often told by his patrons to make impractical changes in his designs. Never once did he argue or offend. He had other ways of proving his point.

In 1688 Wren designed a magnificent town hall for the city of Westminster. The mayor, however, was not satisfied; in fact he was nervous. He told Wren he was afraid the second floor was not secure, and that it could all come crashing down on his office on the first floor. He demanded that Wren add two stone columns for extra support. Wren, the consummate engineer, knew that these columns would serve no purpose, and that the

mayor's fears were baseless. But build them he did, and the mayor was grateful. It was only years later that workmen on a high scaffold saw that the columns stopped just short of the ceiling.

They were dummies. But both men got what they wanted: The mayor could relax, and Wren knew posterity would understand that his original design worked and the columns were unnecessary.

The power of demonstrating your idea is that your opponents do not get defensive, and are therefore more open to persuasion. Making them literally and physically feel your meaning is infinitely more powerful than argument.

A heckler once interrupted Nikita Khrushchev in the middle of a speech in which he was denouncing the crimes of Stalin. "You were a colleague of Stalin's," the heckler yelled, "why didn't you stop him then?" Khrushchev apparently could not see the heckler and barked out, "Who said that?" No hand went up. No one moved a muscle. After a few seconds of tense silence, Khrushchev finally said in a quiet voice, "Now you know why I didn't stop him." Instead of just arguing that anyone facing Stalin was afraid, knowing that the slightest sign of rebellion would mean certain death, he had made them *feel* what it was like to face Stalin—had made them feel the paranoia, the fear of speaking up, the terror of confronting the leader, in this case Khrushchev. The demonstration was visceral and no more argument was necessary.

The most powerful persuasion goes beyond action into symbol. The power of a symbol—a flag, a mythic story, a monument to some emotional event—is that everyone understands you without anything being said. In 1975, when Henry Kissinger was engaged in some frustrating negotiations with the Israelis over the return of part of the Sinai desert that they had seized in the 1967 war, he suddenly broke off a tense meeting and decided to do some sight-seeing. He paid a visit to the ruins of the ancient fortress of Masada, known to all Israelis as the place where seven hundred Jewish warriors committed mass suicide in A.D. 73 rather than give in to the Roman troops besieging them. The Israelis instantly understood the message of Kissinger's visit: He was indirectly accusing them of courting mass suicide. Although the visit did not by itself change their minds, it made them think far more seriously than any direct warning would have. Symbols like this one carry great emotional significance.

When aiming for power, or trying to conserve it, always look for the indirect route. And also choose your battles carefully. If it does not matter in the long run whether the other person agrees with you—or if time and their own experience will make them understand what you mean—then it is best not even to bother with a demonstration. Save your energy and walk away.

honor and respect to him, too. In this way the Egyptians were persuaded to accept him as their master.

THE HISTORIES,
HERODOTUS,
FIFTH CENTURY B.C.

GOD AND ABRAHAM

The Most High God had promised that He would not take Abraham's soul unless the man wanted to die and asked Him to do so. When Abraham's life was drawing to a close, and God determined to seize him, He sent an angel in the guise of a decrepit old man who was almost entirely incapacitated. The old man stopped outside Abraham's door and said to him, "Oh Abraham, I would like something to eat." Abraham was amazed to hear him say this. "Die," exclaimed Abraham. "It would be better for you than to go on living in that condition." Abraham always kept food ready at his home for passing guests. So he gave the old man a bowl containing broth and meat with bread crumbs. The old man sat down to eat. He swallowed laboriously, with great effort, and once when he took some food it dropped from his hand, scattering on the ground. "Oh Abraham," he said,

"help me to eat." Abraham took the food in his hand and lifted it to the old man's lips. But it slid down his beard and over his chest. "What is your age, old man?" asked Abraham.

The old man mentioned a number of years slightly greater than Abraham's old age. Then Abraham exclaimed: "Oh Lord Our God, take me unto You before I reach this man's age and sink into the same condition as he is in now." No sooner had Abraham spoken those words than God took possession of his soul.

THE SUBTLE RUSE:
THE BOOK OF ARABIC
WISDOM AND GUILF,
THIRTEENTH CENTURY

Image: The Seesaw. Up and down and up and down go the arguers, getting nowhere fast. Get off the seesaw and show them your meaning without kicking or pushing. Leave them at the top and let gravity bring them gently to the ground.

Authority: Never argue. In society nothing must be discussed; give only results. (Benjamin Disraeli, 1804–1881)

REVERSAL

Verbal argument has one vital use in the realm of power: To distract and cover your tracks when you are practicing deception or are caught in a lie. In such cases it is to your advantage to argue with all the conviction you can muster. Draw the other person into an argument to distract them from your deceptive move. When caught in a lie, the more emotional and certain you appear, the less likely it seems that you are lying.

This technique has saved the hide of many a con artist. Once Count Victor Lustig, swindler par excellence, had sold dozens of suckers around the country a phony box with which he claimed to be able to copy money. Discovering their mistake, the suckers generally chose not to go the police, rather than risk the embarrassment of publicity. But one Sheriff Richards,

of Remsen County, Oklahoma, was not the kind of man to accept being conned out of \$10,000, and one morning he tracked Lustig down to a hotel in Chicago.

Lustig heard a knock on the door. When he opened it he was looking down the barrel of a gun. “What seems to be the problem?” he calmly asked. “You son of a bitch,” yelled the sheriff, “I’m going to kill you. You conned me with that damn box of yours!” Lustig feigned confusion. “You mean it’s not working?” he asked. “You know it’s not working,” replied the sheriff. “But that’s impossible,” said Lustig. “There’s no way it couldn’t be working. Did you operate it properly?” “I did exactly what you told me to do,” said the sheriff. “No, you must have done something wrong,” said Lustig. The argument went in circles. The barrel of the gun was gently lowered.

Lustig next went to phase two in the argument tactic: He poured out a whole bunch of technical gobbledygook about the box’s operation, completely beguiling the sheriff, who now appeared less sure of himself and argued less forcefully. “Look,” said Lustig, “I’ll give you your money back right now. I’ll also give you written instructions on how to work the machine and I’ll come out to Oklahoma to make sure it’s working properly. There’s no way you can lose on that.” The sheriff reluctantly agreed. To satisfy him totally, Lustig took out a hundred one-hundred-dollar bills and gave them to him, telling him to relax and have a fun weekend in Chicago. Calmer and a little confused, the sheriff finally left. Over the next few days Lustig checked the paper every morning. He finally found what he was looking for: A short article reporting Sheriff Richards’s arrest, trial, and conviction for passing counterfeit notes. Lustig had won the argument; the sheriff never bothered him again.

LAW

10

INFECTION: AVOID THE UNHAPPY AND UNLUCKY

JUDGMENT

You can die from someone else's misery—emotional states are as infectious as diseases. You may feel you are helping the drowning man but you are only precipitating your own disaster. The unfortunate sometimes draw misfortune on themselves; they will also draw it on you. Associate with the happy and fortunate instead.

TRANSGRESSION OF THE LAW

Born in Limerick, Ireland, in 1818, Marie Gilbert came to Paris in the 1840s to make her fortune as a dancer and performer. Taking the name Lola Montez (her mother was of distant Spanish descent), she claimed to be a flamenco dancer from Spain. By 1845 her career was languishing, and to survive she became a courtesan—quickly one of the more successful in Paris.

Only one man could salvage Lola's dancing career: Alexandre Dujarier, owner of the newspaper with the largest circulation in France, and also the newspaper's drama critic. She decided to woo and conquer him. Investigating his habits, she discovered that he went riding every morning. An excellent horsewoman herself, she rode out one morning and "accidentally" ran into him. Soon they were riding together every day. A few weeks later Lola moved into his apartment.

For a while the two were happy together. With Dujarier's help, Lola began to revive her dancing career. Despite the risk to his social standing, Dujarier told friends he would marry her in the spring. (Lola had never told him that she had eloped at age nineteen with an Englishman, and was still legally married.) Although Dujarier was deeply in love, his life started to slide downhill.

His fortunes in business changed and influential friends began to avoid him. One night Dujarier was invited to a party, attended by some of the wealthiest young men in Paris. Lola wanted to go too but he would not allow it. They had their first quarrel, and Dujarier attended the party by himself. There, hopelessly drunk, he insulted an influential drama critic, Jean-Baptiste Rosemond de Beauvallon, perhaps because of something the critic had said about Lola. The following morning Beauvallon challenged him to a duel. Beauvallon was one of the best pistol shots in France. Dujarier tried to apologize, but the duel took place, and he was shot and killed. Thus ended the life of one of the most promising young men of Paris society. Devastated, Lola left Paris.

In 1846 Lola Montez found herself in Munich, where she decided to woo and conquer King Ludwig of Bavaria. The best way to Ludwig, she discovered, was through his aide-de-camp, Count Otto von Rechberg, a man with a fondness for pretty girls. One day when the count was breakfasting at an outdoor café, Lola rode by on her horse, was "accidentally" thrown from the saddle, and landed at Rechberg's feet. The count rushed to help her and was enchanted. He promised to introduce her to Ludwig.

Rechberg arranged an audience with the king for Lola, but when she arrived in the anteroom, she could hear the king saying he was too busy to meet a favor-seeking stranger. Lola pushed aside the sentries and entered his room anyway. In the process, the front of her dress somehow got torn (perhaps by her, perhaps by one of the sentries), and to the astonishment of all, most especially the king, her bare breasts were brazenly exposed. Lola was granted her audience with Ludwig. Fifty-five hours later she made her debut on the Bavarian stage; the reviews were terrible, but that did not stop Ludwig from arranging more performances.

THE NUT AND THE CAMPANILE

A nut found itself carried by a crow to the top of a tall campanile, and by falling into a crevice succeeded in escaping its dread fate. It then besought the wall to shelter it, by appealing to it by the grace of God, and praising its height, and the beauty and noble tone of its bells. "Alas," it went on, "as I have not been able to drop beneath the green branches of my old Father and to lie in the fallow earth covered by his fallen leaves, do you, at least, not abandon me. When I found myself in the beak of the cruel crow I made a vow, that if I escaped I would end my life in a little hole."

At these words, the wall, moved with compassion, was content to shelter the nut in the spot where it had fallen. Within a short time, the nut burst open: Its roots reached in between the crevices of the stones and began to push them apart; its shoots pressed up toward the sky. They soon rose above the building, and as the twisted roots grew thicker they began to thrust the walls apart and force the ancient stones from their old places. Then the wall, too late and in vain, bewailed the cause of its destruction, and in short time it fell in ruin.

LEONARDO DA VINCI.
1452-1519

In his own time Simon Thomas was a great doctor. I remember that I happened to meet him one day at the home of a rich old consumptive:

He told his patient when discussing ways to cure him that one means was to provide occasions for me to enjoy his company: He could then fix his eyes on the freshness of my countenance and his thoughts on the overflowing cheerfulness and vigor of my young manhood; by filling all his senses with the flower of my youth his condition might improve. He forgot to add that mine might get worse.

MONTAIGNE,
1533–1592

Many things are said to be infectious. Sleepiness can be infectious, and yawning as well. In large-scale strategy, when the enemy is agitated and shows an inclination to rush, do not mind in the least.

Make a show of complete calmness, and the enemy will be taken by this and will become relaxed. You infect their spirit. You can infect them with a carefree, drunklike spirit, with boredom, or even weakness.

A BOOK OF FIVE RINGS,
MIYAMOTO MUSASHI,
SEVENTEENTH CENTURY

Ludwig was, in his own words, “bewitched” by Lola. He started to appear in public with her on his arm, and then he bought and furnished an apartment for her on one of Munich’s most fashionable boulevards. Although he had been known as a miser, and was not given to flights of fancy, he started to shower Lola with gifts and to write poetry for her. Now his favored mistress, she catapulted to fame and fortune overnight.

Lola began to lose her sense of proportion. One day when she was out riding, an elderly man rode ahead of her, a bit too slowly for her liking. Unable to pass him, she began to slash him with her riding crop. On another occasion she took her dog, unleashed, out for a stroll. The dog attacked a passerby, but instead of helping the man get the dog away, she whipped him with the leash. Incidents like this infuriated the stolid citizens of Bavaria, but Ludwig stood by Lola and even had her naturalized as a Bavarian citizen. The king’s entourage tried to wake him to the dangers of the affair, but those who criticized Lola were summarily fired.

While Bavarians who had loved their king now outwardly disrespected him, Lola was made a countess, had a new palace built for herself, and began to dabble in politics, advising Ludwig on policy. She was the most powerful force in the kingdom. Her influence in the king’s cabinet continued to grow, and she treated the other ministers with disdain. As a result, riots broke out throughout the realm. A once peaceful land was virtually in the grip of civil war, and students everywhere were chanting, “*Raus mit Lola!*”

By February of 1848, Ludwig was finally unable to withstand the pressure. With great sadness he ordered Lola to leave Bavaria immediately. She left, but not until she was paid off. For the next five weeks the Bavarians’ wrath was turned against their formerly beloved king. In March of that year he was forced to abdicate.

Lola Montez moved to England. More than anything she needed respectability, and despite being married (she still had not arranged a divorce from the Englishman she had wed years before), she set her sights on George Trafford Heald, a promising young army officer who was the son of an influential barrister. Although he was ten years younger than Lola, and could have chosen a wife among the prettiest and wealthiest young girls of English society, Heald fell under her spell. They were married in 1849. Soon arrested on the charge of bigamy, she skipped bail, and she and Heald made their way to Spain. They quarreled horribly and on one occasion Lola slashed him with a knife. Finally, she drove him away. Returning to England, he found he had lost his position in the army. Ostracized from English society, he moved to Portugal, where he lived in poverty. After a few months his short life ended in a boating accident.

A few years later the man who published Lola Montez’s autobiography went bankrupt.

In 1853 Lola moved to California, where she met and married a man named Pat Hull. Their relationship was as stormy as all the others, and she left Hull for another man. He took to drink and fell into a deep depression that lasted until he died, four years later, still a relatively young man.

At the age of forty-one, Lola gave away her clothes and finery and turned to God. She toured America, lecturing on religious topics, dressed in white and wearing a halolike white headgear. She died two years later, in 1861.

Interpretation

Lola Montez attracted men with her wiles, but her power over them went beyond the sexual. It was through the force of her character that she kept her lovers enthralled. Men were sucked into the maelstrom she churned up around her. They felt confused, upset, but the strength of the emotions she stirred also made them feel more alive.

As is often the case with infection, the problems would only arise over time. Lola's inherent instability would begin to get under her lovers' skin. They would find themselves drawn into her problems, but their emotional attachment to her would make them want to help her. This was the crucial point of the disease—for Lola Montez could not be helped. Her problems were too deep. Once the lover identified with them, he was lost. He would find himself embroiled in quarrels. The infection would spread to his family and friends, or, in the case of Ludwig, to an entire nation. The only solution would be to cut her off, or suffer an eventual collapse.

The infecting-character type is not restricted to women; it has nothing to do with gender. It stems from an inward instability that radiates outward, drawing disaster upon itself. There is almost a desire to destroy and unsettle. You could spend a lifetime studying the pathology of infecting characters, but don't waste your time—just learn the lesson. When you suspect you are in the presence of an infector, don't argue, don't try to help, don't pass the person on to your friends, or you will become enmeshed. Flee the infector's presence or suffer the consequences.

*Yond Cassius has a lean and hungry look. He thinks too much. . . .
I do not know the man I should avoid so soon as that spare Cassius. . . .
Such men as he be never at heart's ease whiles they behold a greater
than themselves, and therefore are they very dangerous.*

Julius Caesar, *William Shakespeare, 1564–1616*

*Regard no foolish man
as cultured, though you
may reckon a gifted
man as wise; and
esteem no ignorant
abstainer a true ascetic.
Do not consort with
fools, especially those
who consider them-
selves wise. And be not
self-satisfied with your
own ignorance. Let
your intercourse be
only with men of good
repute; for it is by such
association that men
themselves attain to
good repute. Do you
not observe how
sesame-oil is mingled
with roses or violets
and how, when it has
been for some time in
association with roses
or violets, it ceases to
be sesame-oil and is
called oil of roses or oil
of violets?*

A MIRROR FOR PRINCES,
KAI KA'US IBN
ISKANDAR,
ELEVENTH CENTURY

KEYS TO POWER

Those misfortunates among us who have been brought down by circumstances beyond their control deserve all the help and sympathy we can give them. But there are others who are not born to misfortune or unhappiness, but who draw it upon themselves by their destructive actions and unsettling effect on others. It would be a great thing if we could raise them up, change their patterns, but more often than not it is their patterns that end up getting inside and changing us. The reason is simple—humans are extremely susceptible to the moods, emotions, and even the ways of thinking of those with whom they spend their time.

The incurably unhappy and unstable have a particularly strong infect-

ing power because their characters and emotions are so intense. They often present themselves as victims, making it difficult, at first, to see their miseries as self-inflicted. Before you realize the real nature of their problems you have been infected by them.

Understand this: In the game of power, the people you associate with are critical. The risk of associating with infectors is that you will waste valuable time and energy trying to free yourself. Through a kind of guilt by association, you will also suffer in the eyes of others. Never underestimate the dangers of infection.

There are many kinds of infector to be aware of, but one of the most insidious is the sufferer from chronic dissatisfaction. Cassius, the Roman conspirator against Julius Caesar, had the discontent that comes from deep envy. He simply could not endure the presence of anyone of greater talent. Probably because Caesar sensed the man's interminable sourness, he passed him up for the position of first praetorship, and gave the position to Brutus instead. Cassius brooded and brooded, his hatred for Caesar becoming pathological. Brutus himself, a devoted republican, disliked Caesar's dictatorship; had he had the patience to wait, he would have become the first man in Rome after Caesar's death, and could have undone the evil that the leader had wrought. But Cassius infected him with his own rancor, bending his ear daily with tales of Caesar's evil. He finally won Brutus over to the conspiracy. It was the beginning of a great tragedy. How many misfortunes could have been avoided had Brutus learned to fear the power of infection.

There is only one solution to infection: quarantine. But by the time you recognize the problem it is often too late. A Lola Montez overwhelms you with her forceful personality. Cassius intrigues you with his confiding nature and the depth of his feelings. How can you protect yourself against such insidious viruses? The answer lies in judging people on the effects they have on the world and not on the reasons they give for their problems.

Image: A Virus. Unseen, it enters your pores without warning, spreading silently and stable careers, and the very force of their character, which sweeps you up slowly. Before you are aware of and makes you lose your reason. Be forewarned by these signs of an infection, it is deep inside you. tor; learn to see the discontent in their eye. Most important of all, do not take pity. Do not enmesh yourself in trying to help. The infector will remain unchanged, but you will be unhinged.

The other side of infection is equally valid, and perhaps more readily understood: There are people who attract happiness to themselves by their good cheer, natural buoyancy, and intelligence. They are a source of pleasure, and you must associate with them to share in the prosperity they draw upon themselves.

This applies to more than good cheer and success: All positive qualities can infect us. Talleyrand had many strange and intimidating traits, but most agreed that he surpassed all Frenchmen in graciousness, aristocratic charm, and wit. Indeed he came from one of the oldest noble families in the country, and despite his belief in democracy and the French Republic, he retained his courtly manners. His contemporary Napoleon was in many ways the opposite—a peasant from Corsica, taciturn and ungracious, even violent.

There was no one Napoleon admired more than Talleyrand. He envied his minister's way with people, his wit and his ability to charm women, and as best he could, he kept Talleyrand around him, hoping to soak up the culture he lacked. There is no doubt that Napoleon changed as his rule continued. Many of the rough edges were smoothed by his constant association with Talleyrand.

Use the positive side of this emotional osmosis to advantage. If, for example, you are miserly by nature, you will never go beyond a certain limit; only generous souls attain greatness. Associate with the generous, then, and they will infect you, opening up everything that is tight and restricted in you. If you are gloomy, gravitate to the cheerful. If you are prone to isolation, force yourself to befriend the gregarious. Never associate with those who share your defects—they will reinforce everything that holds you back. Only create associations with positive affinities. Make this a rule of life and you will benefit more than from all the therapy in the world.

Authority: Recognize the fortunate so that you may choose their company, and the unfortunate so that you may avoid them. Misfortune is usually the crime of folly, and among those who suffer from it there is no malady more contagious: Never open your door to the least of misfortunes, for, if you do, many others will follow in its train. . . .
Do not die of another's misery.
(Baltasar Gracián, 1601–1658)

REVERSAL

This law admits of no reversal. Its application is universal. There is nothing to be gained by associating with those who infect you with their misery; there is only power and good fortune to be obtained by associating with the fortunate. Ignore this law at your peril.

LAW

11

LEARN TO KEEP PEOPLE
DEPENDENT ON YOU

JUDGMENT

To maintain your independence you must always be needed and wanted. The more you are relied on, the more freedom you have. Make people depend on you for their happiness and prosperity and you have nothing to fear. Never teach them enough so that they can do without you.

TRANSGRESSION OF THE LAW

Sometime in the Middle Ages, a mercenary soldier (a *condottiere*), whose name has not been recorded, saved the town of Siena from a foreign aggressor. How could the good citizens of Siena reward him? No amount of money or honor could possibly compare in value to the preservation of a city's liberty. The citizens thought of making the mercenary the lord of the city, but even that, they decided, wasn't recompense enough. At last one of them stood before the assembly called to debate this matter and said, "Let us kill him and then worship him as our patron saint." And so they did.

The Count of Carmagnola was one of the bravest and most successful of all the *condottieri*. In 1442, late in his life, he was in the employ of the city of Venice, which was in the midst of a long war with Florence. The count was suddenly recalled to Venice. A favorite of the people, he was received there with all kinds of honor and splendor. That evening he was to dine with the doge himself, in the doge's palace. On the way into the palace, however, he noticed that the guard was leading him in a different direction from usual. Crossing the famous Bridge of Sighs, he suddenly realized where they were taking him—to the dungeon. He was convicted on a trumped-up charge and the next day in the Piazza San Marco, before a horrified crowd who could not understand how his fate had changed so drastically, he was beheaded.

Interpretation

Many of the great *condottieri* of Renaissance Italy suffered the same fate as the patron saint of Siena and the Count of Carmagnola: They won battle after battle for their employers only to find themselves banished, imprisoned, or executed. The problem was not ingratitude; it was that there were so many other *condottieri* as able and valiant as they were. They were replaceable. Nothing was lost by killing them. Meanwhile, the older among them had grown powerful themselves, and wanted more and more money for their services. How much better, then, to do away with them and hire a younger, cheaper mercenary. That was the fate of the Count of Carmagnola, who had started to act impudently and independently. He had taken his power for granted without making sure that he was truly indispensable.

Such is the fate (to a less violent degree, one hopes) of those who do not make others dependent on them. Sooner or later someone comes along who can do the job as well as they can—someone younger, fresher, less expensive, less threatening.

Be the *only one* who can do what you do, and make the fate of those who hire you so entwined with yours that they cannot possibly get rid of you. Otherwise you will someday be forced to cross your own Bridge of Sighs.

OBSERVANCE OF THE LAW

When Otto von Bismarck became a deputy in the Prussian parliament in 1847, he was thirty-two years old and without an ally or friend. Looking

THE TWO HORSES

Two horses were carrying two loads. The front Horse went well, but the rear Horse was lazy. The men began to pile the rear Horse's load on the front Horse; when they had transferred it all, the rear Horse found it easy going, and he said to the front Horse: "Toil and sweat! The more you try, the more you have to suffer." When they reached the tavern, the owner said: "Why should I fodder two horses when I carry all on one? I had better give the one all the food it wants, and cut the throat of the other; at least I shall have the hide." And so he did.

FABLES.
LEO TOLSTOY.
1828-1910

*Then the Woman
laughed and set the Cat
a bowl of the warm
white milk and said, "O
Cat, you are as clever
as a man, but remem-
ber that your bargain
was not made with the
Man or the Dog, and I
do not know what they
will do when they come
home." "What is that to
me?" said the Cat. "If I
have my place in the
Cave by the fire and my
warm white milk three
times a day, I do not
care what the Man or
the Dog can do."
... And from that day
to this, Best Beloved,
three proper Men out
of five will always
throw things at a Cat
whenever they meet
him, and all proper
Dogs will chase him up
a tree. But the Cat
keeps his side of the
bargain too. He will kill
mice, and he will be
kind to Babies when he
is in the house, just as
long as they do not pull
his tail too hard. But
when he has done that,
and between times, and
when the moon gets up
and the night comes, he
is the Cat that walks by
himself, and all places
are alike to him. Then
he goes out to the Wet
Wild Woods or up the
Wet Wild Trees or on
the Wet Wild Roofs,
waving his wild tail
and walking by his
wild lone.*

JUST SO STORIES,
RUDYARD KIPLING,
1865–1936

around him, he decided that the side to ally himself with was not the parliament's liberals or conservatives, not any particular minister, and certainly not the people. It was with the king, Frederick William IV. This was an odd choice to say the least, for Frederick was at a low point of his power. A weak, indecisive man, he consistently gave in to the liberals in parliament; in fact he was spineless, and stood for much that Bismarck disliked, personally and politically. Yet Bismarck courted Frederick night and day. When other deputies attacked the king for his many inept moves, only Bismarck stood by him.

Finally, it all paid off: In 1851 Bismarck was made a minister in the king's cabinet. Now he went to work. Time and again he forced the king's hand, getting him to build up the military, to stand up to the liberals, to do exactly as Bismarck wished. He worked on Frederick's insecurity about his manliness, challenging him to be firm and to rule with pride. And he slowly restored the king's powers until the monarchy was once again the most powerful force in Prussia.

When Frederick died, in 1861, his brother William assumed the throne. William disliked Bismarck intensely and had no intention of keeping him around. But he also inherited the same situation his brother had: enemies galore, who wanted to nibble his power away. He actually considered abdicating, feeling he lacked the strength to deal with this dangerous and precarious position. But Bismarck insinuated himself once again. He stood by the new king, gave him strength, and urged him into firm and decisive action. The king grew dependent on Bismarck's strong-arm tactics to keep his enemies at bay, and despite his antipathy toward the man, he soon made him his prime minister. The two quarreled often over policy—Bismarck was much more conservative—but the king understood his own dependency. Whenever the prime minister threatened to resign, the king gave in to him, time after time. It was in fact Bismarck who set state policy.

Years later, Bismarck's actions as Prussia's prime minister led the various German states to be united into one country. Now Bismarck finagled the king into letting himself be crowned emperor of Germany. Yet it was really Bismarck who had reached the heights of power. As right-hand man to the emperor, and as imperial chancellor and knighted prince, he pulled all the levers.

Interpretation

Most young and ambitious politicians looking out on the political landscape of 1840s Germany would have tried to build a power base among those with the most power. Bismarck saw different. Joining forces with the powerful can be foolish: They will swallow you up, just as the doge of Venice swallowed up the Count of Carmagnola. No one will come to depend on you if they are already strong. If you are ambitious, it is much wiser to seek out weak rulers or masters with whom you can create a relationship of dependency. You become their strength, their intelligence, their spine. What power you hold! If they got rid of you the whole edifice would collapse.

Necessity rules the world. People rarely act unless compelled to. If you create no need for yourself, then you will be done away with at first opportunity. If, on the other hand, you understand the Laws of Power and make others depend on you for their welfare, if you can counteract their weakness with your own “iron and blood,” in Bismarck’s phrase, then you will survive your masters as Bismarck did. You will have all the benefits of power without the thorns that come from being a master.

*Thus a wise prince will think of ways to keep his citizens of every sort
and under every circumstance dependent on the state and on him;
and then they will always be trustworthy.*

Niccolò Machiavelli, 1469–1527

KEYS TO POWER

The ultimate power is the power to get people to do as you wish. When you can do this without having to force people or hurt them, when they willingly grant you what you desire, then your power is untouchable. The best way to achieve this position is to create a relationship of dependence. The master requires your services; he is weak, or unable to function without you; you have enmeshed yourself in his work so deeply that doing away with you would bring him great difficulty, or at least would mean valuable time lost in training another to replace you. Once such a relationship is established you have the upper hand, the leverage to make the master do as you wish. It is the classic case of the man behind the throne, the servant of the king who actually controls the king. Bismarck did not have to bully either Frederick or William into doing his bidding. He simply made it clear that unless he got what he wanted he would walk away, leaving the king to twist in the wind. Both kings soon danced to Bismarck’s tune.

Do not be one of the many who mistakenly believe that the ultimate form of power is independence. Power involves a relationship between people; you will always need others as allies, pawns, or even as weak masters who serve as your front. The completely independent man would live in a cabin in the woods—he would have the freedom to come and go as he pleased, but he would have no power. The best you can hope for is that others will grow so dependent on you that you enjoy a kind of reverse independence: Their need for you frees you.

Louis XI (1423–1483), the great Spider King of France, had a weakness for astrology. He kept a court astrologer whom he admired, until one day the man predicted that a lady of the court would die within eight days. When the prophecy came true, Louis was terrified, thinking that either the man had murdered the woman to prove his accuracy or that he was so versed in his science that his powers threatened Louis himself. In either case he had to be killed.

One evening Louis summoned the astrologer to his room, high in the castle. Before the man arrived, the king told his servants that when he gave

THE ELM-TREE AND THE VINE

*An extravagant young
Vine, vainly ambitious
of independence, and
fond of rambling at
large, despised the
alliance of a stately elm
that grew near, and
courted her embraces.
Having risen to some
small height without
any kind of support,
she shot forth her
flimsy branches to a
very uncommon and
superfluous length;
calling on her neigh-
bour to take notice how
little she wanted his
assistance. “Poor infat-
uated shrub,” replied
the elm, “how inconsis-
tent is thy conduct!
Wouldst thou be truly
independent, thou
shouldst carefully
apply those juices to
the enlargement of thy
stem, which thou
lavishest in vain upon
unnecessary foliage. I
shortly shall behold
thee grovelling on the
ground; yet counte-
nanced, indeed, by
many of the human
race, who, intoxicated
with vanity, have
despised economy; and
who, to support for a
moment their empty
boast of independence,
have exhausted the
very source of it in friv-
olous expenses.”*

FABLES,
ROBERT DODSLEY,
1703–1764

the signal they were to pick the astrologer up, carry him to the window, and hurl him to the ground, hundreds of feet below.

The astrologer soon arrived, but before giving the signal, Louis decided to ask him one last question: "You claim to understand astrology and to know the fate of others, so tell me what your fate will be and how long you have to live."

"I shall die just three days before Your Majesty," the astrologer replied. The king's signal was never given. The man's life was spared. The Spider King not only protected his astrologer for as long as he was alive, he lavished him with gifts and had him tended by the finest court doctors.

The astrologer survived Louis by several years, disproving his power of prophecy but proving his mastery of power.

This is the model: Make others dependent on you. To get rid of you might spell disaster, even death, and your master dares not tempt fate by finding out. There are many ways to obtain such a position. Foremost among them is to possess a talent and creative skill that simply cannot be replaced.

During the Renaissance, the major obstacle to a painter's success was finding the right patron. Michelangelo did this better than anyone else: His patron was Pope Julius II. But he and the pope quarreled over the building of the pope's marble tomb, and Michelangelo left Rome in disgust. To the amazement of those in the pope's circle, not only did the pope not fire him, he sought him out and in his own haughty way begged the artist to stay. Michelangelo, he knew, could find another patron, but he could never find another Michelangelo.

You do not have to have the talent of a Michelangelo; you do have to have a skill that sets you apart from the crowd. You should create a situation in which you can always latch on to another master or patron but your master cannot easily find another servant with your particular talent. And if, in reality, you are not actually indispensable, you must find a way to make it look as if you are. Having the appearance of specialized knowledge and skill gives you leeway in your ability to deceive those above you into thinking they cannot do without you. Real dependence on your master's part, however, leaves him more vulnerable to you than the faked variety, and it is always within your power to make your skill indispensable.

This is what is meant by the intertwining of fates: Like creeping ivy, you have wrapped yourself around the source of power, so that it would cause great trauma to cut you away. And you do not necessarily have to entwine yourself around the master; another person will do, as long as he or she too is indispensable in the chain.

One day Harry Cohn, president of Columbia Pictures, was visited in his office by a gloomy group of his executives. It was 1951, when the witch-hunt against Communists in Hollywood, carried on by the U.S. Congress's House Un-American Activities Committee, was at its height. The executives had bad news: One of their employees, the screenwriter John Howard Lawson, had been singled out as a Communist. They had to get rid of him right away or suffer the wrath of the committee.

Harry Cohn was no bleeding-heart liberal; in fact, he had always been a die-hard Republican.

His favorite politician was Benito Mussolini, whom he had once visited, and whose framed photo hung on his wall. If there was someone he hated Cohn would call him a “Communist bastard.” But to the executives’ amazement Cohn told them he would not fire Lawson. He did not keep the screenwriter on because he was a good writer—there were many good writers in Hollywood. He kept him because of a chain of dependence: Lawson was Humphrey Bogart’s writer and Bogart was Columbia’s star. If Cohn messed with Lawson he would ruin an immensely profitable relationship. That was worth more than the terrible publicity brought to him by his defiance of the committee.

Henry Kissinger managed to survive the many bloodlettings that went on in the Nixon White House not because he was the best diplomat Nixon could find—there were other fine negotiators—and not because the two men got along so well: They did not. Nor did they share their beliefs and politics. Kissinger survived because he entrenched himself in so many areas of the political structure that to do away with him would lead to chaos. Michelangelo’s power was *intensive*, depending on one skill, his ability as an artist; Kissinger’s was *extensive*. He got himself involved in so many aspects and departments of the administration that his involvement became a card in his hand. It also made him many allies. If you can arrange such a position for yourself, getting rid of you becomes dangerous—all sorts of interdependencies will unravel. Still, the intensive form of power provides more freedom than the extensive, because those who have it depend on no particular master, or particular position of power, for their security.

To make others dependent on you, one route to take is the secret-intelligence tactic. By knowing other people’s secrets, by holding information that they wouldn’t want broadcast, you seal your fate with theirs. You are untouchable. Ministers of secret police have held this position throughout the ages: They can make or break a king, or, as in the case of J. Edgar Hoover, a president. But the role is so full of insecurities and paranoia that the power it provides almost cancels itself out. You cannot rest at ease, and what good is power if it brings you no peace?

One last warning: Do not imagine that your master’s dependence on you will make him love you. In fact, he may resent and fear you. But, as Machiavelli said, it is better to be feared than loved. Fear you can control; love, never. Depending on an emotion as subtle and changeable as love or friendship will only make you insecure. Better to have others depend on you out of fear of the consequences of losing you than out of love of your company.

Image: Vines with Many Thorns. Below, the roots grow deep and wide. Above, the vines push through bushes, entwine themselves around trees and poles and window ledges. To get rid of them would cost such toil and blood, it is easier to let them climb.

Authority: Make people depend on you. More is to be gained from such dependence than courtesy. He who has slaked his thirst, immediately turns his back on the well, no longer needing it. When dependence disappears, so does civility and decency, and then respect. The first lesson which experience should teach you is to keep hope alive but never satisfied, keeping even a royal patron ever in need of you. (Baltasar Gracián, 1601–1658)

REVERSAL

The weakness of making others depend on you is that you are in some measure dependent on them. But trying to move beyond that point means getting rid of those above you—it means standing alone, depending on no one. Such is the monopolistic drive of a J. P. Morgan or a John D. Rockefeller—to drive out all competition, to be in complete control. If you can corner the market, so much the better.

No such independence comes without a price. You are forced to isolate yourself. Monopolies often turn inward and destroy themselves from the internal pressure. They also stir up powerful resentment, making their enemies bond together to fight them. The drive for complete control is often ruinous and fruitless. Interdependence remains the law, independence a rare and often fatal exception. Better to place yourself in a position of mutual dependence, then, and to follow this critical law rather than look for its reversal. You will not have the unbearable pressure of being on top, and the master above you will in essence be your slave, for *he* will depend on *you*.

LAW

12

USE SELECTIVE HONESTY AND GENEROSITY TO DISARM YOUR VICTIM

JUDGMENT

One sincere and honest move will cover over dozens of dishonest ones. Open-hearted gestures of honesty and generosity bring down the guard of even the most suspicious people. Once your selective honesty opens a hole in their armor, you can deceive and manipulate them at will. A timely gift—a Trojan horse—will serve the same purpose.

OBSERVANCE OF THE LAW

Sometime in 1926, a tall, dapperly dressed man paid a visit to Al Capone, the most feared gangster of his time. Speaking with an elegant Continental accent, the man introduced himself as Count Victor Lustig. He promised that if Capone gave him \$50,000 he could double it. Capone had more than enough funds to cover the “investment,” but he wasn’t in the habit of entrusting large sums to total strangers. He looked the count over: Something about the man was different—his classy style, his manner—and so Capone decided to play along. He counted out the bills personally and handed them to Lustig. “Okay, Count,” said Capone. “Double it in sixty days like you said.” Lustig left with the money, put it in a safe-deposit box in Chicago, then headed to New York, where he had several other money-making schemes in progress.

The \$50,000 remained in the bank box untouched. Lustig made no effort to double it. Two months later he returned to Chicago, took the money from the box, and paid Capone another visit. He looked at the gangster’s stony-faced bodyguards, smiled apologetically, and said, “Please accept my profound regrets, Mr. Capone. I’m sorry to report that the plan failed . . . I failed.”

Capone slowly stood up. He glowered at Lustig, debating which part of the river to throw him in. But the count reached into his coat pocket, withdrew the \$50,000, and placed it on the desk. “Here, sir, is your money, to the penny. Again, my sincere apologies. This is most embarrassing. Things didn’t work out the way I thought they would. I would have loved to have doubled your money for you and for myself—Lord knows I need it—but the plan just didn’t materialize.”

Capone sagged back into his chair, confused. “I know you’re a con man, Count,” said Capone. “I knew it the moment you walked in here. I expected either one hundred thousand dollars or nothing. But this . . . getting my money back . . . well.” “Again my apologies, Mr. Capone,” said Lustig, as he picked up his hat and began to leave. “My God! You’re honest!” yelled Capone. “If you’re on the spot, here’s five to help you along.” He counted out five one-thousand-dollar bills out of the \$50,000. The count seemed stunned, bowed deeply, mumbled his thanks, and left, taking the money.

The \$5,000 was what Lustig had been after all along.

Interpretation

Count Victor Lustig, a man who spoke several languages and prided himself on his refinement and culture, was one of the great con artists of modern times. He was known for his audacity, his fearlessness, and, most important, his knowledge of human psychology. He could size up a man in minutes, discovering his weaknesses, and he had radar for suckers. Lustig knew that most men build up defenses against crooks and other troublemakers. The con artist’s job is to bring those defenses down.

One sure way to do this is through an act of apparent sincerity and honesty. Who will distrust a person literally caught in the act of being hon-

FRANCESCO BORRI.
GOURTHER CHARLATAN

Francesco Giuseppe Borri of Milan, whose death in 1695 fell just within the seventeenth century . . . was a forerunner of that special type of charlatanical adventurer, the courtier or “cavalier” impostor. . . . His real period of glory began after he moved to Amsterdam. There he assumed the title of Medico Universale, maintained a great retinue, and drove about in a coach with six horses. . . . Patients streamed to him, and some invalids had themselves carried in sedan chairs all the way from Paris to his place in Amsterdam. Borri took no payment for his consultations: He distributed great sums among the poor and was never known to receive any money through the post or bills of exchange. As he continued to live with such splendor, nevertheless, it was presumed that he possessed the philosophers’ stone. Suddenly this benefactor disappeared from Amsterdam. Then it was discovered that he had taken with him money and diamonds that had been placed in his charge.

THE POWER OF
THE CHARLATAN,
GRETE DE FRANCESCO,
1939

est? Lustig used selective honesty many times, but with Capone he went a step further. No normal con man would have dared such a con; he would have chosen his suckers for their meekness, for that look about them that says they will take their medicine without complaint. Con Capone and you would spend the rest of your life (whatever remained of it) afraid. But Lustig understood that a man like Capone spends his life mistrusting others. No one around him is honest or generous, and being so much in the company of wolves is exhausting, even depressing. A man like Capone yearns to be the recipient of an honest or generous gesture, to feel that not everyone has an angle or is out to rob him.

Lustig's act of selective honesty disarmed Capone because it was so unexpected. A con artist loves conflicting emotions like these, since the person caught up in them is so easily distracted and deceived.

Do not shy away from practicing this law on the Capones of the world. With a well-timed gesture of honesty or generosity, you will have the most brutal and cynical beast in the kingdom eating out of your hand.

Everything turns gray when I don't have at least one mark on the horizon.

Life then seems empty and depressing. I cannot understand honest men.

They lead desperate lives, full of boredom.

Count Victor Lustig, 1890–1947

KEYS TO POWER

The essence of deception is distraction. Distracting the people you want to deceive gives you the time and space to do something they won't notice. An act of kindness, generosity, or honesty is often the most powerful form of distraction because it disarms other people's suspicions. It turns them into children, eagerly lapping up any kind of affectionate gesture.

In ancient China this was called "giving before you take"—the giving makes it hard for the other person to notice the taking. It is a device with infinite practical uses. Brazenly taking something from someone is dangerous, even for the powerful. The victim will plot revenge. It is also dangerous simply to ask for what you need, no matter how politely: Unless the other person sees some gain for themselves, they may come to resent your neediness. Learn to give before you take. It softens the ground, takes the bite out of a future request, or simply creates a distraction. And the giving can take many forms: an actual gift, a generous act, a kind favor, an "honest" admission—whatever it takes.

Selective honesty is best employed on your first encounter with someone. We are all creatures of habit, and our first impressions last a long time. If someone believes you are honest at the start of your relationship it takes a lot to convince them otherwise. This gives you room to maneuver.

Jay Gould, like Al Capone, was a man who distrusted everyone. By the time he was thirty-three he was already a multimillionaire, mostly through deception and strong-arming. In the late 1860s, Gould invested heavily in the Erie Railroad, then discovered that the market had

been flooded with a vast amount of phony stock certificates for the company. He stood to lose a fortune and to suffer a lot of embarrassment.

In the midst of this crisis, a man named Lord John Gordon-Gordon offered to help. Gordon-Gordon, a Scottish lord, had apparently made a small fortune investing in railroads.

By hiring some handwriting experts Gordon-Gordon was able to prove to Gould that the culprits for the phony stock certificates were actually several top executives with the Erie Railroad itself. Gould was grateful. Gordon-Gordon then proposed that he and Gould join forces to buy up a controlling interest in Erie. Gould agreed. For a while the venture appeared to prosper. The two men were now good friends, and every time Gordon-Gordon came to Gould asking for money to buy more stock, Gould gave it to him. In 1873, however, Gordon-Gordon suddenly dumped all of his stock, making a fortune but drastically lowering the value of Gould's own holdings. Then he disappeared from sight.

Upon investigation, Gould found out that Gordon-Gordon's real name was John Crowningsfield, and that he was the bastard son of a merchant seaman and a London barmaid. There had been many clues before then that Gordon-Gordon was a con man, but his initial act of honesty and support had so blinded Gould that it took the loss of millions for him to see through the scheme.

A single act of honesty is often not enough. What is required is a reputation for honesty, built on a series of acts—but these can be quite inconsequential. Once this reputation is established, as with first impressions, it is hard to shake.

In ancient China, Duke Wu of Chêng decided it was time to take over the increasingly powerful kingdom of Hu. Telling no one of his plan, he married his daughter to Hu's ruler. He then called a council and asked his ministers, "I am considering a military campaign. Which country should we invade?" As he had expected, one of his ministers replied, "Hu should be invaded." The duke seemed angry, and said, "Hu is a sister state now. Why do you suggest invading her?" He had the minister executed for his impolitic remark. The ruler of Hu heard about this, and considering other tokens of Wu's honesty and the marriage with his daughter, he took no precautions to defend himself from Chêng. A few weeks later, Chêng forces swept through Hu and took the country, never to relinquish it.

Honesty is one of the best ways to disarm the wary, but it is not the only one. Any kind of noble, apparently selfless act will serve. Perhaps the best such act, though, is one of generosity. Few people can resist a gift, even from the most hardened enemy, which is why it is often the perfect way to disarm people. A gift brings out the child in us, instantly lowering our defenses. Although we often view other people's actions in the most cynical light, we rarely see the Machiavellian element of a gift, which quite often hides ulterior motives. A gift is the perfect object in which to hide a deceptive move.

Over three thousand years ago the ancient Greeks traveled across the sea to recapture the beautiful Helen, stolen away from them by Paris, and

to destroy Paris's city, Troy. The siege lasted ten years, many heroes died, yet neither side had come close to victory. One day, the prophet Calchas assembled the Greeks.

"Stop battering away at these walls!" he told them. "You must find some other way, some Troy by force alone. We stratagem." The cunning Odysseus then came up a giant wooden horse, then offering it to the Neoptolemus, son of with this idea; it was thousands to die on the victory so deceitfully. with a choice between manliness, honor, and and a quick victory on horse, which was promptly built. The trick was successful and Troy fell. One gift did more for the Greek cause than ten years of fighting.

Image: The Trojan Horse. Your guile is hidden inside a magnificent gift that proves irresistible to your opponent. The walls open. Once inside, wreak havoc.

ruse. We cannot take must find some cunning Greek leader with the idea of building hiding soldiers inside it, Trojans as a gift. Achilles, was disgusted unmanly. Better for battlefield than to gain But the soldiers, faced another ten years of death, on the one hand the other, chose the

Selective kindness should also be part of your arsenal of deception. For years the ancient Romans had besieged the city of the Faliscans, always unsuccessfully. One day, however, when the Roman general Camillus was encamped outside the city, he suddenly saw a man leading some children toward him. The man was a Faliscan teacher, and the children, it turned out, were the sons and daughters of the noblest and wealthiest citizens of the town. On the pretense of taking these children out for a walk, he had led them straight to the Romans, offering them as hostages in hopes of ingratiating himself with Camillus, the city's enemy.

Camillus did not take the children hostage. He stripped the teacher, tied his hands behind his back, gave each child a rod, and let them whip him all the way back to the city. The gesture had an immediate effect on the Faliscans. Had Camillus used the children as hostages, some in the city would have voted to surrender. And even if the Faliscans had gone on fighting, their resistance would have been halfhearted. Camillus's refusal to take advantage of the situation broke down the Faliscans' resistance, and they surrendered. The general had calculated correctly. And in any case he had had nothing to lose: He knew that the hostage ploy would not have ended the war, at least not right away. By turning the situation around, he earned his enemy's trust and respect, disarming them. Selective kindness will often break down even the most stubborn foe: Aiming right for the heart, it corrodes the will to fight back.

Remember: By playing on people's emotions, calculated acts of kindness can turn a Capone into a gullible child. As with any emotional approach, the tactic must be practiced with caution: If people see through it, their disappointed feelings of gratitude and warmth will become the most violent hatred and distrust. Unless you can make the gesture seem sincere and heartfelt, do not play with fire.

Authority: When Duke Hsien of Chin was about to raid Yü, he presented to them a jade and a team of horses. When Earl Chih was about to raid Ch'ou-yu, he presented to them grand chariots. Hence the saying: "When you are about to take, you should give." (Han-fei-tzu, Chinese philosopher, third century B.C.)

REVERSAL

When you have a history of deceit behind you, no amount of honesty, generosity, or kindness will fool people. In fact it will only call attention to itself. Once people have come to see you as deceitful, to act honest all of a sudden is simply suspicious. In these cases it is better to play the rogue.

Count Lustig, pulling the biggest con of his career, was about to sell the Eiffel Tower to an unsuspecting industrialist who believed the government was auctioning it off for scrap metal. The industrialist was prepared to hand over a huge sum of money to Lustig, who had successfully impersonated a government official. At the last minute, however, the mark was suspicious. Something about Lustig bothered him. At the meeting in which he was to hand over the money, Lustig sensed his sudden distrust.

Leaning over to the industrialist, Lustig explained, in a low whisper, how low his salary was, how difficult his finances were, on and on. After a few minutes of this, the industrialist realized that Lustig was asking for a bribe. For the first time he relaxed. Now he knew he could trust Lustig: Since all government officials were dishonest, Lustig had to be real. The man forked over the money. By acting dishonest, Lustig seemed the real McCoy. In this case selective honesty would have had the opposite effect.

As the French diplomat Talleyrand grew older, his reputation as a master liar and deceiver spread. At the Congress of Vienna (1814–1815), he would spin fabulous stories and make impossible remarks to people who knew he had to be lying. His dishonesty had no purpose except to cloak the moments when he really was deceiving them. One day, for example, among friends, Talleyrand said with apparent sincerity, "In business one ought to show one's hand." No one who heard him could believe their ears: A man who never once in his life had shown his cards was telling other people to show theirs. Tactics like this made it impossible to distinguish Talleyrand's real deceptions from his fake ones. By embracing his reputation for dishonesty, he preserved his ability to deceive.

Nothing in the realm of power is set in stone. Overt deceptiveness will sometimes cover your tracks, even making you admired for the honesty of your dishonesty.

LAW

13

WHEN ASKING FOR HELP,
APPEAL TO PEOPLE'S
SELF-INTEREST,
NEVER TO THEIR MERCY
OR GRATITUDE

JUDGMENT

If you need to turn to an ally for help, do not bother to remind him of your past assistance and good deeds. He will find a way to ignore you. Instead, uncover something in your request, or in your alliance with him, that will benefit him, and emphasize it out of all proportion. He will respond enthusiastically when he sees something to be gained for himself.

TRANSGRESSION OF THE LAW

THE PEASANT AND THE APPLE-TREE

A peasant had in his garden an apple-tree, which bore no fruit, but only served as a perch for the sparrows and grasshoppers. He resolved to cut it down, and, taking his ax in hand, made a bold stroke at its roots. The grasshoppers and sparrows entreated him not to cut down the tree that sheltered them, but to spare it, and they would sing to him and lighten his labors. He paid no attention to their request, but gave the tree a second and a third blow with his ax. When he reached the hollow of the tree, he found a hive full of honey. Having tasted the honeycomb, he threw down his ax, and, looking on the tree as sacred, took great care of it. Self-interest alone moves some men.

FABLES,
AESOP,
SIXTH CENTURY B.C.

In the early fourteenth century, a young man named Castruccio Castracani rose from the rank of common soldier to become lord of the great city of Lucca, Italy. One of the most powerful families in the city, the Poggios, had been instrumental in his climb (which succeeded through treachery and bloodshed), but after he came to power, they came to feel he had forgotten them. His ambition outweighed any gratitude he felt. In 1325, while Castruccio was away fighting Lucca's main rival, Florence, the Poggios conspired with other noble families in the city to rid themselves of this troublesome and ambitious prince.

Mounting an insurrection, the plotters attacked and murdered the governor whom Castruccio had left behind to rule the city. Riots broke out, and the Castruccio supporters and the Poggio supporters were poised to do battle. At the height of the tension, however, Stefano di Poggio, the oldest member of the family, intervened, and made both sides lay down their arms.

A peaceful man, Stefano had not taken part in the conspiracy. He had told his family it would end in a useless bloodbath. Now he insisted he should intercede on the family's behalf and persuade Castruccio to listen to their complaints and satisfy their demands. Stefano was the oldest and wisest member of the clan, and his family agreed to put their trust in his diplomacy rather than in their weapons.

When news of the rebellion reached Castruccio, he hurried back to Lucca. By the time he arrived, however, the fighting had ceased, through Stefano's agency, and he was surprised by the city's calm and peace. Stefano di Poggio had imagined that Castruccio would be grateful to him for his part in quelling the rebellion, so he paid the prince a visit. He explained how he had brought peace, then begged for Castruccio's mercy. He said that the rebels in his family were young and impetuous, hungry for power yet inexperienced; he recalled his family's past generosity to Castruccio. For all these reasons, he said, the great prince should pardon the Poggios and listen to their complaints. This, he said, was the only just thing to do, since the family had willingly laid down their arms and had always supported him.

Castruccio listened patiently. He seemed not the slightest bit angry or resentful. Instead, he told Stefano to rest assured that justice would prevail, and he asked him to bring his entire family to the palace to talk over their grievances and come to an agreement. As they took leave of one another, Castruccio said he thanked God for the chance he had been given to show his clemency and kindness. That evening the entire Poggio family came to the palace. Castruccio immediately had them imprisoned and a few days later all were executed, including Stefano.

Interpretation

Stefano di Poggio is the embodiment of all those who believe that the justice and nobility of their cause will prevail. Certainly appeals to justice and gratitude have occasionally succeeded in the past, but more often than not

they have had dire consequences, especially in dealings with the Castrucios of the world. Stefano knew that the prince had risen to power through treachery and ruthlessness. This was a man, after all, who had put a close and devoted friend to death. When Castruccio was told that it had been a terrible wrong to kill such an old friend, he replied that he had executed not an old friend but a new enemy.

A man like Castruccio knows only force and self-interest. When the rebellion began, to end it and place oneself at his mercy was the most dangerous possible move. Even once Stefano di Poggio had made that fatal mistake, however, he still had options: He could have offered money to Castruccio, could have made promises for the future, could have pointed out what the Poggios could still contribute to Castruccio's power—their influence with the most influential families of Rome, for example, and the great marriage they could have brokered.

Instead Stefano brought up the past, and debts that carried no obligation. Not only is a man not obliged to be grateful, gratitude is often a terrible burden that he gladly discards. And in this case Castruccio rid himself of his obligations to the Poggios by eliminating the Poggios.

Most men are so thoroughly subjective that nothing really interests them but themselves. They always think of their own case as soon as ever any remark is made, and their whole attention is engrossed and absorbed by the merest chance reference to anything which affects them personally, be it never so remote.

ARTHUR
SCHOPENHAUER,
1788–1860

OBSERVANCE OF THE LAW

In 433 B.C., just before the Peloponnesian War, the island of Corcyra (later called Corfu) and the Greek city-state of Corinth stood on the brink of conflict. Both parties sent ambassadors to Athens to try to win over the Athenians to their side. The stakes were high, since whoever had Athens on his side was sure to win. And whoever won the war would certainly give the defeated side no mercy.

Corcyra spoke first. Its ambassador began by admitting that the island had never helped Athens before, and in fact had allied itself with Athens's enemies. There were no ties of friendship or gratitude between Corcyra and Athens. Yes, the ambassador admitted, he had come to Athens now out of fear and concern for Corcyra's safety. The only thing he could offer was an alliance of mutual interests. Corcyra had a navy only surpassed in size and strength by Athens's own; an alliance between the two states would create a formidable force, one that could intimidate the rival state of Sparta. That, unfortunately, was all Corcyra had to offer.

The representative from Corinth then gave a brilliant, passionate speech, in sharp contrast to the dry, colorless approach of the Corcyran. He talked of everything Corinth had done for Athens in the past. He asked how it would look to Athens's other allies if the city put an agreement with a former enemy over one with a present friend, one that had served Athens's interest loyally: Perhaps those allies would break their agreements with Athens if they saw that their loyalty was not valued. He referred to Hellenic law, and the need to repay Corinth for all its good deeds. He finally went on to list the many services Corinth had performed for Athens, and the importance of showing gratitude to one's friends.

After the speech, the Athenians debated the issue in an assembly. On

the second round, they voted overwhelmingly to ally with Corcyra and drop Corinth.

Interpretation

History has remembered the Athenians nobly, but they were the preeminent realists of classical Greece. With them, all the rhetoric, all the emotional appeals in the world, could not match a good pragmatic argument, especially one that added to their power.

What the Corinthian ambassador did not realize was that his references to Corinth's past generosity to Athens only irritated the Athenians, subtly asking them to feel guilty and putting them under obligation. The Athenians couldn't care less about past favors and friendly feelings. At the same time, they knew that if their other allies thought them ungrateful for abandoning Corinth, these city-states would still be unlikely to break their ties to Athens, the preeminent power in Greece. Athens ruled its empire by force, and would simply compel any rebellious ally to return to the fold.

When people choose between talk about the past and talk about the future, a pragmatic person will always opt for the future and forget the past. As the Corcyrans realized, it is always best to speak pragmatically to a pragmatic person. And in the end, most people *are* in fact pragmatic—they will rarely act against their own self-interest.

It has always been a rule that the weak should be subject to the strong; and besides, we consider that we are worthy of our power. Up till the present moment you, too, used to think that we were; but now, after calculating your own interest, you are beginning to talk in terms of right and wrong. Considerations of this kind have never yet turned people aside from the opportunities of aggrandizement offered by superior strength.

Athenian representative to Sparta,

quoted in The Peloponnesian War, Thucydides, c. 465–395 B.C.

KEYS TO POWER

In your quest for power, you will constantly find yourself in the position of asking for help from those more powerful than you. There is an art to asking for help, an art that depends on your ability to understand the person you are dealing with, and to not confuse your needs with theirs.

Most people never succeed at this, because they are completely trapped in their own wants and desires. They start from the assumption that the people they are appealing to have a selfless interest in helping them. They talk as if their needs mattered to these people—who probably couldn't care less. Sometimes they refer to larger issues: a great cause, or grand emotions such as love and gratitude. They go for the big picture when simple, everyday realities would have much more appeal. What they do not realize is that even the most powerful person is locked inside needs of his own, and that if you make no appeal to his self-interest, he merely sees you as desperate or, at best, a waste of time.

In the sixteenth century, Portuguese missionaries tried for years to convert the people of Japan to Catholicism, while at the same time Portugal had a monopoly on trade between Japan and Europe. Although the missionaries did have some success, they never got far among the ruling elite; by the beginning of the seventeenth century, in fact, their proselytizing had completely antagonized the Japanese emperor Ieyasu. When the Dutch began to arrive in Japan in great numbers, Ieyasu was much relieved. He needed Europeans for their know-how in guns and navigation, and here at last were Europeans who cared nothing for spreading religion—the Dutch wanted only to trade. Ieyasu swiftly moved to evict the Portuguese. From then on, he would only deal with the practical-minded Dutch.

Japan and Holland were vastly different cultures, but each shared a timeless and universal concern: self-interest. Every person you deal with is like another culture, an alien land with a past that has nothing to do with yours. Yet you can bypass the differences between you and him by appealing to his self-interest. Do not be subtle: You have valuable knowledge to share, you will fill his coffers with gold, you will make him live longer and happier. This is a language that all of us speak and understand.

A key step in the process is to understand the other person's psychology. Is he vain? Is he concerned about his reputation or his social standing? Does he have enemies you could help him vanquish? Is he simply motivated by money and power?

When the Mongols invaded China in the twelfth century, they threatened to obliterate a culture that had thrived for over two thousand years. Their leader, Genghis Khan, saw nothing in China but a country that lacked pasturing for his horses, and he decided to destroy the place, leveling all its cities, for "it would be better to exterminate the Chinese and let the grass grow." It was not a soldier, a general, or a king who saved the Chinese from devastation, but a man named Yelu Ch'u-Ts'ai. A foreigner himself, Ch'u-Ts'ai had come to appreciate the superiority of Chinese culture. He managed to make himself a trusted adviser to Genghis Khan, and persuaded him that he would reap riches out of the place if, instead of destroying it, he simply taxed everyone who lived there. Khan saw the wisdom in this and did as Ch'u-Ts'ai advised.

When Khan took the city of Kaifeng, after a long siege, and decided to massacre its inhabitants (as he had in other cities that had resisted him), Ch'u-Ts'ai told him that the finest craftsmen and engineers in China had fled to Kaifeng, and it would be better to put them to use. Kaifeng was spared. Never before had Genghis Khan shown such mercy, but then it really wasn't mercy that saved Kaifeng. Ch'u-Ts'ai knew Khan well. He was a barbaric peasant who cared nothing for culture, or indeed for anything other than warfare and practical results. Ch'u-Ts'ai chose to appeal to the only emotion that would work on such a man: greed.

Self-interest is the lever that will move people. Once you make them see how you can in some way meet their needs or advance their cause, their resistance to your requests for help will magically fall away. At each step on the way to acquiring power, you must train yourself to think your

way inside the other person's mind, to see their needs and interests, to get rid of the screen of your own feelings that obscure the truth. Master this art and there will be no limits to what you can accomplish.

Image: A Cord that
Binds. The cord of
mercy and grati-
tude is threadbare,
and will break at
the first shock.
Do not throw
such a lifeline.
The cord of
mutual self-inter-
est is woven of
many fibers and
cannot easily be
severed. It will serve
you well for years.

Authority: The shortest and best way to make your fortune is to let people see clearly that it is in their interests to promote yours. (Jean de La Bruyère, 1645–1696)

REVERSAL

Some people will see an appeal to their self-interest as ugly and ignoble. They actually prefer to be able to exercise charity, mercy, and justice, which are their ways of feeling superior to you: When you beg them for help, you emphasize their power and position. They are strong enough to need nothing from you except the chance to feel superior. This is the wine that intoxicates them. They are dying to fund your project, to introduce you to powerful people—provided, of course, that all this is done in public, and for a good cause (usually the more public, the better). Not everyone, then, can be approached through cynical self-interest. Some people will be put off by it, because they don't want to seem to be motivated by such things. They need opportunities to display their good heart.

Do not be shy. Give them that opportunity. It's not as if you are conning them by asking for help—it is really their pleasure to give, and to be seen giving. You must distinguish the differences among powerful people and figure out what makes them tick. When they ooze greed, do not appeal to their charity. When they want to look charitable and noble, do not appeal to their greed.

LAW

14

POSE AS A FRIEND, WORK AS A SPY

JUDGMENT

Knowing about your rival is critical. Use spies to gather valuable information that will keep you a step ahead. Better still: Play the spy yourself. In polite social encounters, learn to probe. Ask indirect questions to get people to reveal their weaknesses and intentions. There is no occasion that is not an opportunity for artful spying.

OBSERVANCE OF THE LAW

Joseph Duveen was undoubtedly the greatest art dealer of his time—from 1904 to 1940 he almost single-handedly monopolized America’s millionaire art-collecting market. But one prize plum eluded him: the industrialist Andrew Mellon. Before he died, Duveen was determined to make Mellon a client.

Duveen’s friends said this was an impossible dream. Mellon was a stiff, taciturn man. The stories he had heard about the congenial, talkative Duveen rubbed him the wrong way—he had made it clear he had no desire to meet the man. Yet Duveen told his doubting friends, “Not only will Mellon buy from me but he will buy *only* from me.” For several years he tracked his prey, learning the man’s habits, tastes, phobias. To do this, he secretly put several of Mellon’s staff on his own payroll, worming valuable information out of them. By the time he moved into action, he knew Mellon about as well as Mellon’s wife did.

In 1921 Mellon was visiting London, and staying in a palatial suite on the third floor of Claridge’s Hotel. Duveen booked himself into the suite just below Mellon’s, on the second floor. He had arranged for his valet to befriend Mellon’s valet, and on the fateful day he had chosen to make his move, Mellon’s valet told Duveen’s valet, who told Duveen, that he had just helped Mellon on with his overcoat, and that the industrialist was making his way down the corridor to ring for the lift.

Duveen’s valet hurriedly helped Duveen with his own overcoat. Seconds later, Duveen entered the lift, and lo and behold, there was Mellon. “How do you do, Mr. Mellon?” said Duveen, introducing himself. “I am on my way to the National Gallery to look at some pictures.” How uncanny—that was precisely where Mellon was headed. And so Duveen was able to accompany his prey to the one location that would ensure his success. He knew Mellon’s taste inside and out, and while the two men wandered through the museum, he dazzled the magnate with his knowledge. Once again quite uncannily, they seemed to have remarkably similar tastes.

Mellon was pleasantly surprised: This was not the Duveen he had expected. The man was charming and agreeable, and clearly had exquisite taste. When they returned to New York, Mellon visited Duveen’s exclusive gallery and fell in love with the collection. Everything, surprisingly enough, seemed to be precisely the kind of work he wanted to collect. For the rest of his life he was Duveen’s best and most generous client.

Interpretation

A man as ambitious and competitive as Joseph Duveen left nothing to chance. What’s the point of winging it, of just hoping you may be able to charm this or that client? It’s like shooting ducks blindfolded. Arm yourself with a little knowledge and your aim improves.

Mellon was the most spectacular of Duveen’s catches, but he spied on many a millionaire. By secretly putting members of his clients’ household staffs on his own payroll, he would gain constant access to valuable infor-

mation about their masters' comings and goings, changes in taste, and other such tidbits of information that would put him a step ahead. A rival of Duveen's who wanted to make Henry Frick a client noticed that whenever he visited this wealthy New Yorker, Duveen was there before him, as if he had a sixth sense. To other dealers Duveen seemed to be everywhere, and to know everything before they did. His powers discouraged and disheartened them, until many simply gave up going after the wealthy clients who could make a dealer rich.

Such is the power of artful spying: It makes you seem all-powerful, clairvoyant. Your knowledge of your mark can also make you seem charming, so well can you anticipate his desires. No one sees the source of your power, and what they cannot see they cannot fight.

Rulers see through spies, as cows through smell, Brahmins through scriptures and the rest of the people through their normal eyes.

Kautilya, Indian philosopher, third century B.C.

KEYS TO POWER

In the realm of power, your goal is a degree of control over future events. Part of the problem you face, then, is that people won't tell you all their thoughts, emotions, and plans. Controlling what they say, they often keep the most critical parts of their character hidden—their weaknesses, ulterior motives, obsessions. The result is that you cannot predict their moves, and are constantly in the dark. The trick is to find a way to probe them, to find out their secrets and hidden intentions, without letting them know what you are up to.

This is not as difficult as you might think. A friendly front will let you secretly gather information on friends and enemies alike. Let others consult the horoscope, or read tarot cards: You have more concrete means of seeing into the future.

The most common way of spying is to use other people, as Duveen did. The method is simple, powerful, but risky: You will certainly gather information, but you have little control over the people who are doing the work. Perhaps they will ineptly reveal your spying, or even secretly turn against you. It is far better to be the spy yourself, to pose as a friend while secretly gathering information.

The French politician Talleyrand was one of the greatest practitioners of this art. He had an uncanny ability to worm secrets out of people in polite conversation. A contemporary of his, Baron de Vitrolles, wrote, "Wit and grace marked his conversation. He possessed the art of concealing his thoughts or his malice beneath a transparent veil of insinuations, words that imply something more than they express. Only when necessary did he inject his own personality." The key here is Talleyrand's ability to suppress himself in the conversation, to make others talk endlessly about themselves and inadvertently reveal their intentions and plans.

If you have reason to suspect that a person is telling you a lie, look as though you believed every word he said. This will give him courage to go on; he will become more vehement in his assertions, and in the end betray himself. Again, if you perceive that a person is trying to conceal something from you, but with only partial success, look as though you did not believe him. The opposition on your part will provoke him into leading out his reserve of truth and bringing the whole force of it to bear upon your incredulity.

ARTHUR
SCHOPENHAUER,
1788–1860

Throughout Talleyrand's life, people said he was a superb conversationalist—yet he actually said very little. He never talked about his own ideas; he got others to reveal theirs. He would organize friendly games of charades for foreign diplomats, social gatherings where, however, he would carefully weigh their words, cajole confidences out of them, and gather information invaluable to his work as France's foreign minister. At the Congress of Vienna (1814–1815) he did his spying in other ways: He would blurt out what seemed to be a secret (actually something he had made up), then watch his listeners' reactions. He might tell a gathering of diplomats, for instance, that a reliable source had revealed to him that the czar of Russia was planning to arrest his top general for treason. By watching the diplomats' reactions to this made-up story, he would know which ones were most excited by the weakening of the Russian army—perhaps their governments had designs on Russia? As Baron von Stetten said, "Monsieur Talleyrand fires a pistol into the air to see who will jump out the window."

During social gatherings and innocuous encounters, pay attention. This is when people's guards are down. By suppressing your own personality, you can make them reveal things. The brilliance of the maneuver is that they will mistake your interest in them for friendship, so that you not only learn, you make allies.

Nevertheless, you should practice this tactic with caution and care. If people begin to suspect you are worming secrets out of them under the cover of conversation, they will strictly avoid you. Emphasize friendly chatter, not valuable information. Your search for gems of information cannot be too obvious, or your probing questions will reveal more about yourself and your intentions than about the information you hope to find.

A trick to try in spying comes from La Rochefoucauld, who wrote, "Sincerity is found in very few men, and is often the cleverest of ruses—one is sincere in order to draw out the confidence and secrets of the other." By pretending to bare your heart to another person, in other words, you make them more likely to reveal their own secrets. Give them a false confession and they will give you a real one. Another trick was identified by the philosopher Arthur Schopenhauer, who suggested vehemently contradicting people you're in conversation with as a way of irritating them, stirring them up so that they lose some of the control over their words. In their emotional reaction they will reveal all kinds of truths about themselves, truths you can later use against them.

Another method of indirect spying is to test people, to lay little traps that make them reveal things about themselves. Chosroes II, a notoriously clever seventh-century king of the Persians, had many ways of seeing through his subjects without raising suspicion. If he noticed, for instance, that two of his courtiers had become particularly friendly, he would call one of them aside and say he had information that the other was a traitor, and would soon be killed. The king would tell the courtier he trusted him more than anyone, and that he must keep this information secret. Then he

would watch the two men carefully. If he saw that the second courtier had not changed in his behavior toward the king, he would conclude that the first courtier had kept the secret, and he would quickly promote the man, later taking him aside to confess, "I meant to kill your friend because of certain information that had reached me, but, when I investigated the matter, I found it was untrue." If, on the other hand, the second courtier started to avoid the king, acting aloof and tense, Chosroes would know that the secret had been revealed. He would ban the second courtier from his court, letting him know that the whole business had only been a test, but that even though the man had done nothing wrong, he could no longer trust him. The first courtier, however, had revealed a secret, and him Chosroes would ban from his entire kingdom.

It may seem an odd form of spying that reveals not empirical information but a person's character. Often, however, it is the best way of solving problems before they arise.

By tempting people into certain acts, you learn about their loyalty, their honesty, and so on. And this kind of knowledge is often the most valuable of all: Armed with it, you can predict their actions in the future.

I m a g e :
The Third Eye of
the Spy. In the land of
the two-eyed, the third eye
gives you the omniscience
of a god. You see further than
others, and you see deeper
into them. Nobody is
safe from the eye
but you.

Authority: Now, the reason a brilliant sovereign and a wise general conquer the enemy whenever they move, and their achievements surpass those of ordinary men, is their foreknowledge of the enemy situation. This "foreknowledge" cannot be elicited from spirits, nor from gods, nor by analogy with past events, nor by astrologic calculations. It must be obtained from men who know the enemy situation—from spies. (Sun-tzu, *The Art of War*, fourth century B.C.)

REVERSAL

Information is critical to power, but just as you spy on other people, you must be prepared for them to spy on you. One of the most potent weapons in the battle for information, then, is giving out false information. As Winston Churchill said, "Truth is so precious that she should always be attended by a bodyguard of lies." You must surround yourself with such a bodyguard, so that your truth cannot be penetrated. By planting the information of your choice, you control the game.

In 1944 the Nazis' rocket-bomb attacks on London suddenly escalated. Over two thousand V-1 flying bombs fell on the city, killing more than five thousand people and wounding many more. Somehow, however, the Germans consistently missed their targets. Bombs that were intended for Tower Bridge, or Piccadilly, would fall well short of the city, landing in the less populated suburbs. This was because, in fixing their targets, the Germans relied on secret agents they had planted in England. They did not know that these agents had been discovered, and that in their place, English-controlled agents were feeding them subtly deceptive information.

The bombs would hit farther and farther from their targets every time they fell. By the end of the campaign they were landing on cows in the country. By feeding people wrong information, then, you gain a potent advantage. While spying gives you a third eye, disinformation puts out one of your enemy's eyes. A cyclops, he always misses his target.

LAW

15

CRUSH YOUR ENEMY TOTALLY

JUDGMENT

All great leaders since Moses have known that a feared enemy must be crushed completely. (Sometimes they have learned this the hard way.) If one ember is left alight, no matter how dimly it smolders, a fire will eventually break out. More is lost through stopping halfway than through total annihilation: The enemy will recover, and will seek revenge. Crush him, not only in body but in spirit.

The remnants of an enemy can become active like those of a disease or fire. Hence, these should be exterminated completely. . . .

One should never ignore an enemy, knowing him to be weak. He becomes dangerous in due course, like the spark of fire in a haystack.

KAUTILYA,
INDIAN PHILOSOPHER,
THIRD CENTURY B.C.

THE TRAP AT
SINIGAGLIA

On the day Ramiro was executed, Cesare [Borgia] quit Cesena, leaving the mutilated body on the town square, and marched south. Three days later he arrived at Fano, where he received the envoys of the city of Ancona, who assured him of their loyalty. A messenger from Vitellozzo Vitelli announced that the little Adriatic port of Sinigaglia had surrendered to the condottieri [mercenary soldiers].

Only the citadel, in charge of the Genoese Andrea Doria, still held out, and Doria refused to hand it over to anyone except Cesare himself. [Borgia] sent word that he would arrive the next day, which was just what the

TRANSGRESSION OF THE LAW

No rivalry between leaders is more celebrated in Chinese history than the struggle between Hsiang Yu and Liu Pang. These two generals began their careers as friends, fighting on the same side. Hsiang Yu came from the nobility; large and powerful, given to bouts of violence and temper, a bit dull-witted, he was yet a mighty warrior who always fought at the head of his troops. Liu Pang came from peasant stock. He had never been much of a soldier, and preferred women and wine to fighting; in fact, he was something of a scoundrel. But he was wily, and he had the ability to recognize the best strategists, keep them as his advisers, and listen to their advice. He had risen in the army through these strengths.

In 208 B.C., the king of Ch'u sent two massive armies to conquer the powerful kingdom of Ch'in. One army went north, under the generalship of Sung Yi, with Hsiang Yu second in command; the other, led by Liu Pang, headed straight toward Ch'in. The target was the kingdom's splendid capital, Hsien-yang. And Hsiang Yu, ever violent and impatient, could not stand the idea that Liu Pang would get to Hsien-yang first, and perhaps would assume command of the entire army.

At one point on the northern front, Hsiang's commander, Sung Yi, hesitated in sending his troops into battle. Furious, Hsiang entered Sung Yi's tent, proclaimed him a traitor, cut off his head, and assumed sole command of the army. Without waiting for orders, he left the northern front and marched directly on Hsien-yang. He felt certain he was the better soldier and general than Liu, but, to his utter astonishment, his rival, leading a smaller, swifter army, managed to reach Hsien-yang first. Hsiang had an adviser, Fan Tseng, who warned him, "This village headman [Liu Pang] used to be greedy only for riches and women, but since entering the capital he has not been led astray by wealth, wine, or sex. That shows he is aiming high."

Fan Tseng urged Hsiang to kill his rival before it was too late. He told the general to invite the wily peasant to a banquet at their camp outside Hsien-yang, and, in the midst of a celebratory sword dance, to have his head cut off. The invitation was sent; Liu fell for the trap, and came to the banquet. But Hsiang hesitated in ordering the sword dance, and by the time he gave the signal, Liu had sensed a trap, and managed to escape. "Bah!" cried Fan Tseng in disgust, seeing that Hsiang had botched the plot. "One cannot plan with a simpleton. Liu Pang will steal your empire yet and make us all his prisoners."

Realizing his mistake, Hsiang hurriedly marched on Hsien-yang, this time determined to hack off his rival's head. Liu was never one to fight when the odds were against him, and he abandoned the city. Hsiang captured Hsien-yang, murdered the young prince of Ch'in, and burned the city to the ground. Liu was now Hsiang's bitter enemy, and he pursued him for many months, finally cornering him in a walled city. Lacking food, his army in disarray, Liu sued for peace.

Again Fan Tseng warned Hsiang, "Crush him now! If you let him go

again, you will be sorry later.” But Hsiang decided to be merciful. He wanted to bring Liu back to Ch’u alive, and to force his former friend to acknowledge him as master. But Fan proved right: Liu managed to use the negotiations for his surrender as a distraction, and he escaped with a small army. Hsiang, amazed that he had yet again let his rival slip away, once more set out after Liu, this time with such ferocity that he seemed to have lost his mind. At one point, having captured Liu’s father in battle, Hsiang stood the old man up during the fighting and yelled to Liu across the line of troops, “Surrender now, or I shall boil your father alive!” Liu calmly answered, “But we are sworn brothers. So my father is your father also. If you insist on boiling your own father, send me a bowl of the soup!” Hsiang backed down, and the struggle continued.

A few weeks later, in the thick of the hunt, Hsiang scattered his forces unwisely, and in a surprise attack Liu was able to surround his main garrison. For the first time the tables were turned. Now it was Hsiang who sued for peace. Liu’s top adviser urged him to destroy Hsiang, crush his army, show no mercy. “To let him go would be like rearing a tiger—it will devour you later,” the adviser said. Liu agreed.

Making a false treaty, he lured Hsiang into relaxing his defense, then slaughtered almost all of his army. Hsiang managed to escape. Alone and on foot, knowing that Liu had put a bounty on his head, he came upon a small group of his own retreating soldiers, and cried out, “I hear Liu Pang has offered one thousand pieces of gold and a fief of ten thousand families for my head. Let me do you a favor.” Then he slit his own throat and died.

Interpretation

Hsiang Yu had proven his ruthlessness on many an occasion. He rarely hesitated in doing away with a rival if it served his purposes. But with Liu Pang he acted differently. He respected his rival, and did not want to defeat him through deception; he wanted to prove his superiority on the battlefield, even to force the clever Liu to surrender and to serve him. Every time he had his rival in his hands, something made him hesitate—a fatal sympathy with or respect for the man who, after all, had once been a friend and comrade in arms. But the moment Hsiang made it clear that he intended to do away with Liu, yet failed to accomplish it, he sealed his own doom. Liu would not suffer the same hesitation once the tables were turned.

This is the fate that faces all of us when we sympathize with our enemies, when pity, or the hope of reconciliation, makes us pull back from doing away with them. We only strengthen their fear and hatred of us. We have beaten them, and they are humiliated; yet we nurture these resentful vipers who will one day kill us. Power cannot be dealt with this way. It must be exterminated, crushed, and denied the chance to return to haunt us. This is all the truer with a former friend who has become an enemy. The law governing fatal antagonisms reads: Reconciliation is out of the question. Only one side can win, and it must win totally.

Liu Pang learned this lesson well. After defeating Hsiang Yu, this son

condottieri wanted to hear. Once he reached Sinigaglia, Cesare would be an easy prey, caught between the citadel and their forces ringing the town. . . . The condottieri were sure they had military superiority, believing that the departure of the French troops had left Cesare with only a small force.

In fact, according to Machiavelli, [Borgia] had left Cesena with ten thousand infantrymen and three thousand horse, taking pains to split up his men so that they would march along parallel routes before converging on Sinigaglia. The reason for such a large force was that he knew, from a confession extracted from Ramiro de Lorca, what the condottieri had up their sleeve. He therefore decided to turn their own trap against them. This was the masterpiece of trickery that the historian Paolo Giovio later called “the magnificent deceit.” At dawn on December 31 [1502], Cesare reached the outskirts of Sinigaglia. . . . Led by Michelotto Corella, Cesare’s advance guard of two hundred lances took up its position on the canal bridge. . . . This control of the bridge effectively prevented the conspirators’ troops from withdrawing. . . . Cesare greeted the condottieri effusively and invited them to join him. . . . Michelotto

had prepared the Palazzo Bernardino for Cesare's use, and the duke invited the condottieri inside. . . . Once indoors the men were quietly arrested by guards who crept up from the rear. . . . [Cesare] gave orders for an attack on Vitelli's and Orsini's soldiers in the outlying areas. . . . That night, while their troops were being crushed, Michelotto throttled Oliverotto and Vitelli in the Bernardino palace. . . . At one fell swoop, [Borgia] had got rid of his former generals and worst enemies.

THE BORGHIAS,
IVAN CLOULAS,
1989

To have ultimate victory, you must be ruthless.

NAPOLÉON BONAPARTE,
1769–1821

of a farmer went on to become supreme commander of the armies of Ch'u. Crushing his next rival—the king of Ch'u, his own former leader—he crowned himself emperor, defeated everyone in his path, and went down in history as one of the greatest rulers of China, the immortal Han Kao-tsu, founder of the Han Dynasty.

Those who seek to achieve things should show no mercy.

Kautilya, Indian philosopher, third century B.C.

OBSERVANCE OF THE LAW

Wu Chao, born in A.D. 625, was the daughter of a duke, and as a beautiful young woman of many charms, she was accordingly attached to the harem of Emperor T'ai Tsung.

The imperial harem was a dangerous place, full of young concubines vying to become the emperor's favorite. Wu's beauty and forceful character quickly won her this battle, but, knowing that an emperor, like other powerful men, is a creature of whim, and that she could easily be replaced, she kept her eye on the future.

Wu managed to seduce the emperor's dissolute son, Kao Tsung, on the only possible occasion when she could find him alone: while he was relieving himself at the royal urinal. Even so, when the emperor died and Kao Tsung took over the throne, she still suffered the fate to which all wives and concubines of a deceased emperor were bound by tradition and law: Her head shaven, she entered a convent, for what was supposed to be the rest of her life. For seven years Wu schemed to escape. By communicating in secret with the new emperor, and by befriending his wife, the empress, she managed to get a highly unusual royal edict allowing her to return to the palace and to the royal harem. Once there, she fawned on the empress, while still sleeping with the emperor. The empress did not discourage this—she had yet to provide the emperor with an heir, her position was vulnerable, and Wu was a valuable ally.

In 654 Wu Chao gave birth to a child. One day the empress came to visit, and as soon as she had left, Wu smothered the newborn—her own baby. When the murder was discovered, suspicion immediately fell on the empress, who had been on the scene moments earlier, and whose jealous nature was known by all. This was precisely Wu's plan. Shortly thereafter, the empress was charged with murder and executed. Wu Chao was crowned empress in her place. Her new husband, addicted to his life of pleasure, gladly gave up the reins of government to Wu Chao, who was from then on known as Empress Wu.

Although now in a position of great power, Wu hardly felt secure. There were enemies everywhere; she could not let down her guard for one moment. Indeed, when she was forty-one, she began to fear that her beautiful young niece was becoming the emperor's favorite. She poisoned the woman with a clay mixed into her food. In 675 her own son, touted as the

heir apparent, was poisoned as well. The next-eldest son—illegitimate, but now the crown prince—was exiled a little later on trumped-up charges. And when the emperor died, in 683, Wu managed to have the son after that declared unfit for the throne. All this meant that it was her youngest, most ineffectual son who finally became emperor. In this way she continued to rule.

Over the next five years there were innumerable palace coups. All of them failed, and all of the conspirators were executed. By 688 there was no one left to challenge Wu. She proclaimed herself a divine descendant of Buddha, and in 690 her wishes were finally granted: She was named Holy and Divine “Emperor” of China.

Wu became emperor because there was literally nobody left from the previous T’ang dynasty. And so she ruled unchallenged, for over a decade of relative peace. In 705, at the age of eighty, she was forced to abdicate.

Interpretation

All who knew Empress Wu remarked on her energy and intelligence. At the time, there was no glory available for an ambitious woman beyond a few years in the imperial harem, then a lifetime walled up in a convent. In Wu’s gradual but remarkable rise to the top, she was never naive. She knew that any hesitation, any momentary weakness, would spell her end. If, every time she got rid of a rival a new one appeared, the solution was simple: She had to crush them all or be killed herself. Other emperors before her had followed the same path to the top, but Wu—who, as a woman, had next to no chance to gain power—had to be more ruthless still.

Empress Wu’s forty-year reign was one of the longest in Chinese history. Although the story of her bloody rise to power is well known, in China she is considered one of the period’s most able and effective rulers.

A priest asked the dying Spanish statesman and general Ramón María Narváez (1800–1868), “Does your Excellency forgive all your enemies?” “I do not have to forgive my enemies,” answered Narváez, “I have had them all shot.”

KEYS TO POWER

It is no accident that the two stories illustrating this law come from China: Chinese history abounds with examples of enemies who were left alive and returned to haunt the lenient. “Crush the enemy” is a key strategic tenet of Sun-tzu, the fourth-century-B.C. author of *The Art of War*. The idea is simple: Your enemies wish you ill. There is nothing they want more than to eliminate you. If, in your struggles with them, you stop halfway or even three quarters of the way, out of mercy or hope of reconciliation, you only make them more determined, more embittered, and they will someday take revenge. They may act friendly for the time being, but this is only because you have defeated them. They have no choice but to bide their time.

The solution: Have no mercy. Crush your enemies as totally as they

would crush you. Ultimately the only peace and security you can hope for from your enemies is their disappearance.

Mao Tse-tung, a devoted reader of Sun-tzu and of Chinese history generally, knew the importance of this law. In 1934 the Communist leader and some 75,000 poorly equipped soldiers fled into the desolate mountains of western China to escape Chiang Kai-shek's much larger army, in what has since been called the Long March.

Chiang was determined to eliminate every last Communist, and by a few years later Mao had less than 10,000 soldiers left. By 1937, in fact, when China was invaded by Japan, Chiang calculated that the Communists were no longer a threat. He chose to give up the chase and concentrate on the Japanese. Ten years later the Communists had recovered enough to rout Chiang's army. Chiang had forgotten the ancient wisdom of crushing the enemy; Mao had not. Chiang was pursued until he and his entire army fled to the island of Taiwan. Nothing remains of his regime in mainland China to this day.

The wisdom behind "crushing the enemy" is as ancient as the Bible: Its first practitioner may have been Moses, who learned it from God Himself, when He parted the Red Sea for the Jews, then let the water flow back over the pursuing Egyptians so that "not so much as one of them remained." When Moses returned from Mount Sinai with the Ten Commandments and found his people worshipping the Golden Calf, he had every last offender slaughtered. And just before he died, he told his followers, finally about to enter the Promised Land, that when they had defeated the tribes of Canaan they should "utterly destroy them . . . make no covenant with them, and show no mercy to them."

The goal of total victory is an axiom of modern warfare, and was codified as such by Carl von Clausewitz, the premier philosopher of war. Analyzing the campaigns of Napoleon, von Clausewitz wrote, "We do claim that direct annihilation of the enemy's forces must always be the *dominant consideration*. . . . Once a major victory is achieved there must be no talk of rest, of breathing space . . . but only of the pursuit, going for the enemy again, seizing his capital, attacking his reserves and anything else that might give his country aid and comfort." The reason for this is that after war come negotiation and the division of territory. If you have only won a partial victory, you will inevitably lose in negotiation what you have gained by war.

The solution is simple: Allow your enemies no options. Annihilate them and their territory is yours to carve. The goal of power is to control your enemies completely, to make them obey your will. You cannot afford to go halfway. If they have no options, they will be forced to do your bidding. This law has applications far beyond the battlefield. Negotiation is the insidious viper that will eat away at your victory, so give your enemies nothing to negotiate, no hope, no room to maneuver. They are crushed and that is that.

Realize this: In your struggle for power you will stir up rivalries and

create enemies. There will be people you cannot win over, who will remain your enemies no matter what. But whatever wound you inflicted on them, deliberately or not, do not take their hatred personally. Just recognize that there is no possibility of peace between you, especially as long as you stay in power. If you let them stick around, they will seek revenge, as certainly as night follows day. To wait for them to show their cards is just silly; as Empress Wu understood, by then it will be too late.

Be realistic: With an enemy like this around, you will never be secure. Remember the lessons of history, and the wisdom of Moses and Mao: Never go halfway.

It is not, of course, a question of murder, it is a question of banishment. Sufficiently weakened and then exiled from your court forever, your enemies are rendered harmless. They have no hope of recovering, insinuating themselves and hurting you. And if they cannot be banished, at least understand that they are plotting against you, and pay no heed to whatever friendliness they feign. Your only weapon in such a situation is your own wariness. If you cannot banish them immediately, then plot for the best time to act.

Image: A Viper crushed beneath your foot but left alive, will rear up and bite you with a double dose of venom. An enemy that is left around is like a half-dead viper that you nurse back to health. Time makes the venom grow stronger.

Authority: For it must be noted, that men must either be caressed or else annihilated; they will revenge themselves for small injuries, but cannot do so for great ones; the injury therefore that we do to a man must be such that we need not fear his vengeance. (Niccolò Machiavelli, 1469–1527)

REVERSAL

This law should very rarely be ignored, but it does sometimes happen that it is better to let your enemies destroy themselves, if such a thing is possible, than to make them suffer by your hand. In warfare, for example, a good general knows that if he attacks an army when it is cornered, its soldiers will fight much more fiercely. It is sometimes better, then, to leave them an escape route, a way out. As they retreat, they wear themselves out, and are ultimately more demoralized by the retreat than by any defeat he might inflict on the battlefield. When you have someone on the ropes, then—but only when you are sure they have no chance of recovery—you might let them hang themselves. Let them be the agents of their own destruction. The result will be the same, and you won't feel half as bad.

Finally, sometimes by crushing an enemy, you embitter them so much that they spend years and years plotting revenge. The Treaty of Versailles had such an effect on the Germans. Some would argue that in the long run it would be better to show some leniency. The problem is, your leniency involves another risk—it may embolden the enemy, which still harbors a grudge, but now has some room to operate. It is almost always wiser to crush your enemy. If they plot revenge years later, do not let your guard down, but simply crush them again.

LAW

16

USE ABSENCE TO INCREASE RESPECT AND HONOR

JUDGMENT

Too much circulation makes the price go down: The more you are seen and heard from, the more common you appear. If you are already established in a group, temporary withdrawal from it will make you more talked about, even more admired. You must learn when to leave. Create value through scarcity.

TRANSGRESSION AND OBSERVANCE OF THE LAW

Sir Guillaume de Balaun was a troubadour who roamed the South of France in the Middle Ages, going from castle to castle, reciting poetry, and playing the perfect knight. At the castle of Javiac he met and fell in love with the beautiful lady of the house, Madame Guillelma de Javiac. He sang her his songs, recited his poetry, played chess with her, and little by little she in turn fell in love with him. Guillaume had a friend, Sir Pierre de Barjac, who traveled with him and who was also received at the castle. And Pierre too fell in love with a lady in Javiac, the gracious but temperamental Viernetta.

Then one day Pierre and Viernetta had a violent quarrel. The lady dismissed him, and he sought out his friend Guillaume to help heal the breach and get him back in her good graces. Guillaume was about to leave the castle for a while, but on his return, several weeks later, he worked his magic, and Pierre and the lady were reconciled. Pierre felt that his love had increased tenfold—that there was no stronger love, in fact, than the love that follows reconciliation. The stronger and longer the disagreement, he told Guillaume, the sweeter the feeling that comes with peace and rapprochement.

As a troubadour, Sir Guillaume prided himself on experiencing all the joys and sorrows of love. On hearing his friend's talk, he too wanted know the bliss of reconciliation after a quarrel. He therefore feigned great anger with Lady Guillelma, stopped sending her love letters, and abruptly left the castle and stayed away, even during the festivals and hunts. This drove the young lady wild.

Guillelma sent messengers to Guillaume to find out what had happened, but he turned the messengers away. He thought all this would make her angry, forcing him to plead for reconciliation as Pierre had. Instead, however, his absence had the opposite effect: It made Guillelma love him all the more. Now the lady pursued her knight, sending messengers and love notes of her own. This was almost unheard of—a lady never pursued her troubadour. And Guillaume did not like it. Guillelma's forwardness made him feel she had lost some of her dignity. Not only was he no longer sure of his plan, he was no longer sure of his lady.

Finally, after several months of not hearing from Guillaume, Guillelma gave up. She sent him no more messengers, and he began to wonder—perhaps she was angry? Perhaps the plan had worked after all? So much the better if she was. He would wait no more—it was time to reconcile. So he put on his best robe, decked the horse in its fanciest caparison, chose a magnificent helmet, and rode off to Javiac.

On hearing that her beloved had returned, Guillelma rushed to see him, knelt before him, dropped her veil to kiss him, and begged forgiveness for whatever slight had caused his anger. Imagine his confusion and despair—his plan had failed abysmally. She was not angry, she had never been angry, she was only deeper in love, and he would never experience the joy of reconciliation after a quarrel. Seeing her now, and still desperate

THE CAMEL AND THE
FLOATING STICKS

*The first man who saw
a camel fled;
The second ventured
within distance;
The third dared slip a
halter round its head.
Familiarity in this
existence
Makes all things tame,
for what may seem
Terrible or bizarre,
when once our eyes
Have had time to
acclimatize,
Becomes quite
commonplace. Since
I'm on this theme,
I've heard of sentinels
posted by the shore
Who, spotting some-
thing far-away afloat,
Couldn't resist
the shout:
"A sail! A sail!
A mighty man-of-war!"
Five minutes later it's
a packet boat,
And then a skiff, and
then a bale,
And finally some sticks
bobbing about.
I know of plenty such
To whom this story
applies—
People whom distance
magnifies,
Who, close to, don't
amount to much.*

SELECTED FABLES,
JEAN DE LA FONTAINE,
1621–1695

to taste that joy, he decided to try one more time: He drove her away with harsh words and threatening gestures. She left, this time vowing never to see him again.

The next morning the troubadour regretted what he had done. He rode back to Javiac, but the lady would not receive him, and ordered her servants to chase him away, across the drawbridge and over the hill. Guillaume fled. Back in his chamber he collapsed and started to cry: He had made a terrible mistake. Over the next year, unable to see his lady, he experienced the absence, the terrible absence, that can only inflame love. He wrote one of his most beautiful poems, "My song ascends for mercy praying." And he sent many letters to Guillelma, explaining what he had done, and begging forgiveness.

After a great deal of this, Lady Guillelma, remembering his beautiful songs, his handsome figure, and his skills in dancing and falconry, found herself yearning to have him back. As penance for his cruelty, she ordered him to remove the nail from the little finger of his right hand, and to send it to her along with a poem describing his miseries.

He did as she asked. Finally Guillaume de Balaun was able to taste the ultimate sensation—a reconciliation even surpassing that of his friend Pierre.

Interpretation

Trying to discover the joys of reconciliation, Guillaume de Balaun inadvertently experienced the truth of the law of absence and presence. At the start of an affair, you need to heighten your presence in the eyes of the other. If you absent yourself too early, you may be forgotten. But once your lover's emotions are engaged, and the feeling of love has crystallized, absence inflames and excites. Giving no reason for your absence excites even more: The other person assumes he or she is at fault. While you are away, the lover's imagination takes flight, and a stimulated imagination cannot help but make love grow stronger. Conversely, the more Guillelma pursued Guillaume, the less he loved her—she had become too present, too accessible, leaving no room for *his* imagination and fancy, so that his feelings were suffocating. When she finally stopped sending messengers, he was able to breathe again, and to return to his plan.

What withdraws, what becomes scarce, suddenly seems to deserve our respect and honor. What stays too long, inundating us with its presence, makes us disdain it. In the Middle Ages, ladies were constantly putting their knights through trials of love, sending them on some long and arduous quest—all to create a pattern of absence and presence. Indeed, had Guillaume not left his lady in the first place, she might have been forced to send him away, creating an absence of her own.

*Absence diminishes minor passions and inflames great ones,
as the wind douses a candle and fans a fire.*

La Rochefoucauld, 1613–1680

FIVE VIRTUES OF THE COCK

While serving under the Duke Ai of Lu, T'ien Jao, resenting his obscure position, said to his master, "I am going to wander far away like a snow goose."

"What do you mean by that?" inquired the Duke.

"Do you see the cock?" said T'ien Jao in reply.

"Its crest is a symbol of civility; its powerful talons suggest strength; its daring to fight any enemy denotes courage; its instinct to invite others whenever food is obtained shows benevolence; and, last but not least, its punctuality in keeping the time through the night gives us an example of veracity. In spite, however, of these five virtues, the cock is daily killed to fill a dish on your table. Why? The reason is that it is found within our reach. On the other hand, the snow goose traverses in one flight a thousand li. Resting in your garden, it preys on your fishes and turtles and pecks your millet. Though devoid of any of the cock's five virtues, yet you prize this bird for the sake of its scarcity. This being so, I shall fly far like a snow goose."

ANCIENT CHINESE
PARABLES,
YU HSIU SEN, ED.,
1974

OBSERVANCE OF THE LAW

For many centuries the Assyrians ruled upper Asia with an iron fist. In the eighth century B.C., however, the people of Medea (now northwestern Iran) revolted against them, and finally broke free. Now the Medes had to establish a new government. Determined to avoid any form of despotism, they refused to give ultimate power to any one man, or to establish a monarchy. Without a leader, however, the country soon fell into chaos, and fractured into small kingdoms, with village fighting against village.

In one such village lived a man named Deioces, who began to make a name for himself for fair dealing and the ability to settle disputes.

He did this so successfully, in fact, that soon any legal conflict in the area was brought to him, and his power increased. Throughout the land, the law had fallen into disrepute—the judges were corrupt, and no one entrusted their cases to the courts any more, resorting to violence instead. When news spread of Deioces' wisdom, incorruptibility, and unshakable impartiality, Medean villages far and wide turned to him to settle all manner of cases. Soon he became the sole arbiter of justice in the land.

At the height of his power, Deioces suddenly decided he had had enough. He would no longer sit in the chair of judgment, would hear no more suits, settle no more disputes between brother and brother, village and village. Complaining that he was spending so much time dealing with other people's problems that he had neglected his own affairs, he retired. The country once again descended into chaos. With the sudden withdrawal of a powerful arbiter like Deioces, crime increased, and contempt for the law was never greater. The Medes held a meeting of all the villages to decide how to get out of their predicament. "We cannot continue to live in this country under these conditions," said one tribal leader. "Let us appoint one of our number to rule so that we can live under orderly government, rather than losing our homes altogether in the present chaos."

And so, despite all that the Medes had suffered under the Assyrian despotism, they decided to set up a monarchy and name a king. And the man they most wanted to rule, of course, was the fair-minded Deioces. He was hard to convince, for he wanted nothing more to do with the villages' infighting and bickering, but the Medes begged and pleaded—without him the country had descended into a state of lawlessness. Deioces finally agreed.

Yet he also imposed conditions. An enormous palace was to be constructed for him, he was to be provided with bodyguards, and a capital city was to be built from which he could rule. All of this was done, and Deioces settled into his palace. In the center of the capital, the palace was surrounded by walls, and completely inaccessible to ordinary people. Deioces then established the terms of his rule: Admission to his presence was forbidden. Communication with the king was only possible through messengers. No one in the royal court could see him more than once a week, and then only by permission.

Deioces ruled for fifty-three years, extended the Medean empire, and established the foundation for what would later be the Persian empire, under his great-great-grandson Cyrus. During Deioces' reign, the people's

respect for him gradually turned into a form of worship: He was not a mere mortal, they believed, but the son of a god.

Interpretation

Deioces was a man of great ambition. He determined early on that the country needed a strong ruler, and that he was the man for the job.

In a land plagued with anarchy, the most powerful man is the judge and arbiter. So Deioces began his career by making his reputation as a man of impeccable fairness.

At the height of his power as a judge, however, Deioces realized the truth of the law of absence and presence: By serving so many clients, he had become too noticeable, too available, and had lost the respect he had earlier enjoyed. People were taking his services for granted. The only way to regain the veneration and power he wanted was to withdraw completely, and let the Medes taste what life was like without him. As he expected, they came begging for him to rule.

Once Deioces had discovered the truth of this law, he carried it to its ultimate realization. In the palace his people had built for him, none could see him except a few courtiers, and those only rarely. As Herodotus wrote, "There was a risk that if they saw him habitually, it might lead to jealousy and resentment, and plots would follow; but if nobody saw him, the legend would grow that he was a being of a different order from mere men."

A man said to a Dervish: "Why do I not see you more often?" The Dervish replied, "Because the words 'Why have you not been to see me?' are sweeter to my ear than the words 'Why have you come again?'"

Mulla Jami, quoted in Idries Shah's Caravan of Dreams, 1968

KEYS TO POWER

Everything in the world depends on absence and presence. A strong presence will draw power and attention to you—you shine more brightly than those around you. But a point is inevitably reached where too much presence creates the opposite effect: The more you are seen and heard from, the more your value degrades. You become a habit. No matter how hard you try to be different, subtly, without your knowing why, people respect you less and less. At the right moment you must learn to withdraw yourself before they unconsciously push you away. It is a game of hide-and-seek.

The truth of this law can most easily be appreciated in matters of love and seduction. In the beginning stages of an affair, the lover's absence stimulates your imagination, forming a sort of aura around him or her. But this aura fades when you know too much—when your imagination no longer has room to roam. The loved one becomes a person like anyone else, a person whose presence is taken for granted. This is why the seventeenth-century French courtesan Ninon de Lenclos advised constant feints at withdrawal from one's lover. "Love never dies of starvation," she wrote, "but often of indigestion."

The moment you allow yourself to be treated like anyone else, it is too late—you are swallowed and digested. To prevent this you need to starve the other person of your presence. Force their respect by threatening them with the possibility that they will lose you for good; create a pattern of presence and absence.

Once you die, everything about you will seem different. You will be surrounded by an instant aura of respect. People will remember their criticisms of you, their arguments with you, and will be filled with regret and guilt. They are missing a presence that will never return. But you do not have to wait until you die: By completely withdrawing for a while, you create a kind of death before death. And when you come back, it will be as if you had come back from the dead—an air of resurrection will cling to you, and people will be relieved at your return. This is how Deioces made himself king.

Napoleon was recognizing the law of absence and presence when he said, “If I am often seen at the theater, people will cease to notice me.” Today, in a world inundated with presence through the flood of images, the game of withdrawal is all the more powerful. We rarely know when to withdraw anymore, and nothing seems private, so we are awed by anyone who is able to disappear by choice. Novelists J. D. Salinger and Thomas Pynchon have created cultlike followings by knowing when to disappear.

Another, more everyday side of this law, but one that demonstrates its truth even further, is the law of scarcity in the science of economics. By withdrawing something from the market, you create instant value. In seventeenth-century Holland, the upper classes wanted to make the tulip more than just a beautiful flower—they wanted it to be a kind of status symbol. Making the flower scarce, indeed almost impossible to obtain, they sparked what was later called tulipomania. A single flower was now worth more than its weight in gold. In our own century, similarly, the art dealer Joseph Duveen insisted on making the paintings he sold as scarce and rare as possible. To keep their prices elevated and their status high, he bought up whole collections and stored them in his basement. The paintings that he sold became more than just paintings—they were fetish objects, their value increased by their rarity. “You can get all the pictures you want at fifty thousand dollars apiece—that’s easy,” he once said. “But to get pictures at a quarter of a million apiece—that wants doing!”

I m a g e :

The Sun. It can only be

appreciated by its absence.

The longer the days of rain, the more the sun is craved. But too many hot days and the sun overwhelms. Learn to keep yourself obscure and make people demand your return.

Extend the law of scarcity to your own skills. Make what you are offering the world rare and hard to find, and you instantly increase its value.

There always comes a moment when those in power overstay their welcome. We have grown tired of them, lost respect for them; we see them as no different from the rest of mankind, which is to say that we see them as rather worse, since we inevitably compare their current status in our eyes to their former one. There is an art to knowing when to retire. If it is done right, you regain the respect you had lost, and retain a part of your power.

The greatest ruler of the sixteenth century was Charles V. King of Spain, Hapsburg emperor, he governed an empire that at one point included much of Europe and the New World. Yet at the height of his power, in 1557, he retired to the monastery of Yuste. All of Europe was captivated by his sudden withdrawal; people who had hated and feared him suddenly called him great, and he came to be seen as a saint. In more recent times, the film actress Greta Garbo was never more admired than when she retired, in 1941. For some her absence came too soon—she was in her mid-thirties—but she wisely preferred to leave on her own terms, rather than waiting for her audience to grow tired of her.

Make yourself too available and the aura of power you have created around yourself will wear away. Turn the game around: Make yourself less accessible and you increase the value of your presence.

Authority:

Use absence to create

respect and esteem. If presence
diminishes fame, absence augments it.

A man who when absent is regarded as a
lion becomes when present something com-
mon and ridiculous. Talents lose their luster
if we become too familiar with them, for the
outer shell of the mind is more readily seen
than its rich inner kernel. Even the outstand-
ing genius makes use of retirement so that
men may honor him and so that the
yearning aroused by his absence
may cause him to be esteemed.

(Baltasar Gracián,
1601–1658)

REVERSAL

This law only applies once a certain level of power has been attained. The need to withdraw only comes after you have established your presence; leave too early and you do not increase your respect, you are simply forgotten. When you are first entering onto the world's stage, create an image that is recognizable, reproducible, and is seen everywhere. Until that status is attained, absence is dangerous—instead of fanning the flames, it will extinguish them.

In love and seduction, similarly, absence is only effective once you have surrounded the other with your image, been seen by him or her everywhere. Everything must remind your lover of your presence, so that when you do choose to be away, the lover will always be thinking of you, will always be seeing you in his or her mind's eye.

Remember: In the beginning, make yourself not scarce but omnipresent. Only what is seen, appreciated, and loved will be missed in its absence.

LAW

17

KEEP OTHERS IN
SUSPENDED TERROR:
CULTIVATE AN AIR OF
UNPREDICTABILITY

JUDGMENT

Humans are creatures of habit with an insatiable need to see familiarity in other people's actions. Your predictability gives them a sense of control. Turn the tables: Be deliberately unpredictable. Behavior that seems to have no consistency or purpose will keep them off-balance, and they will wear themselves out trying to explain your moves. Taken to an extreme, this strategy can intimidate and terrorize.

OBSERVANCE OF THE LAW

In May of 1972, chess champion Boris Spassky anxiously awaited his rival Bobby Fischer in Reykjavík, Iceland. The two men had been scheduled to meet for the World Championship of Chess, but Fischer had not arrived on time and the match was on hold. Fischer had problems with the size of the prize money, problems with the way the money was to be distributed, problems with the logistics of holding the match in Iceland. He might back out at any moment.

Spassky tried to be patient. His Russian bosses felt that Fischer was humiliating him and told him to walk away, but Spassky wanted this match. He knew he could destroy Fischer, and nothing was going to spoil the greatest victory of his career. "So it seems that all our work may come to nothing," Spassky told a comrade. "But what can we do? It is Bobby's move. If he comes, we play. If he does not come, we do not play. A man who is willing to commit suicide has the initiative."

Fischer finally arrived in Reykjavík, but the problems, and the threat of cancellation, continued. He disliked the hall where the match was to be fought, he criticized the lighting, he complained about the noise of the cameras, he even hated the chairs in which he and Spassky were to sit. Now the Soviet Union took the initiative and threatened to withdraw their man.

The bluff apparently worked: After all the weeks of waiting, the endless and infuriating negotiations, Fischer agreed to play. Everyone was relieved, no one more than Spassky. But on the day of the official introductions, Fischer arrived very late, and on the day when the "Match of the Century" was to begin, he was late again. This time, however, the consequences would be dire: If he showed up *too* late he would forfeit the first game. What was going on? Was he playing some sort of mind game? Or was Bobby Fischer perhaps afraid of Boris Spassky? It seemed to the assembled grand masters, and to Spassky, that this young kid from Brooklyn had a terrible case of the jitters. At 5:09 Fischer showed up, exactly one minute before the match was to be canceled.

The first game of a chess tournament is critical, since it sets the tone for the months to come. It is often a slow and quiet struggle, with the two players preparing themselves for the war and trying to read each other's strategies. This game was different. Fischer made a terrible move early on, perhaps the worst of his career, and when Spassky had him on the ropes, he seemed to give up. Yet Spassky knew that Fischer *never* gave up. Even when facing checkmate, he fought to the bitter end, wearing the opponent down. This time, though, he seemed resigned. Then suddenly he broke out a bold move that put the room in a buzz. The move shocked Spassky, but he recovered and managed to win the game. But no one could figure out what Fischer was up to. Had he lost deliberately? Or was he rattled? Unsettled? Even, as some thought, insane?

After his defeat in the first game, Fischer complained all the more loudly about the room, the cameras, and everything else. He also failed to

show up on time for the second game. This time the organizers had had enough: He was given a forfeit. Now he was down two games to none, a position from which no one had ever come back to win a chess championship. Fischer was clearly unhinged. Yet in the third game, as all those who witnessed it remember, he had a ferocious look in his eye, a look that clearly bothered Spassky. And despite the hole he had dug for himself, he seemed supremely confident. He did make what appeared to be another blunder, as he had in the first game—but his cocky air made Spassky smell a trap. Yet despite the Russian's suspicions, he could not figure out the trap, and before he knew it Fischer had checkmated him. In fact Fischer's unorthodox tactics had completely unnerved his opponent. At the end of the game, Fischer leaped up and rushed out, yelling to his confederates as he smashed a fist into his palm, "I'm crushing him with brute force!"

In the next games Fischer pulled moves that no one had seen from him before, moves that were not his style. Now Spassky started to make blunders. After losing the sixth game, he started to cry. One grand master said, "After this, Spassky's got to ask himself if it's safe to go back to Russia." After the eighth game Spassky decided he knew what was happening: Bobby Fischer was hypnotizing him. He decided not to look Fischer in the eye; he lost anyway.

After the fourteenth game he called a staff conference and announced, "An attempt is being made to control my mind." He wondered whether the orange juice they drank at the chess table could have been drugged. Maybe chemicals were being blown into the air. Finally Spassky went public, accusing the Fischer team of putting something in the chairs that was altering Spassky's mind. The KGB went on alert: Boris Spassky was embarrassing the Soviet Union!

The chairs were taken apart and X-rayed. A chemist found nothing unusual in them. The only things anyone found anywhere, in fact, were two dead flies in a lighting fixture. Spassky began to complain of hallucinations. He tried to keep playing, but his mind was unraveling. He could not go on. On September 2, he resigned. Although still relatively young, he never recovered from this defeat.

Interpretation

In previous games between Fischer and Spassky, Fischer had not fared well. Spassky had an uncanny ability to read his opponent's strategy and use it against him. Adaptable and patient, he would build attacks that would defeat not in seven moves but in seventy. He defeated Fischer every time they played because he saw much further ahead, and because he was a brilliant psychologist who never lost control. One master said, "He doesn't just look for the best move. He looks for the move that will disturb the man he is playing."

Fischer, however, finally understood that this was one of the keys to Spassky's success: He played on your predictability, defeated you at your own game. Everything Fischer did for the championship match was an at-

tempt to put the initiative on his side and to keep Spassky off-balance. Clearly the endless waiting had an effect on Spassky's psyche. Most powerful of all, though, were Fischer's deliberate blunders and his appearance of having no clear strategy. In fact, he was doing everything he could to scramble his old patterns, even if it meant losing the first match and forfeiting the second.

Spassky was known for his sangfroid and levelheadedness, but for the first time in his life he could not figure out his opponent. He slowly melted down, until at the end *he* was the one who seemed insane.

Chess contains the concentrated essence of life: First, because to win you have to be supremely patient and farseeing; and second, because the game is built on patterns, whole sequences of moves that have been played before and will be played again, with slight alterations, in any one match. Your opponent analyzes the patterns you are playing and uses them to try to foresee your moves. Allowing him nothing predictable to base his strategy on gives you a big advantage. In chess as in life, when people cannot figure out what you are doing, they are kept in a state of terror—waiting, uncertain, confused.

Life at court is a serious, melancholy game of chess, which requires us to draw up our pieces and batteries, form a plan, pursue it, parry that of our adversary. Sometimes, however, it is better to take risks and play the most capricious, unpredictable move.

Jean de La Bruyère, 1645–1696

KEYS TO POWER

Nothing is more terrifying than the sudden and unpredictable. That is why we are so frightened by earthquakes and tornadoes: We do not know when they will strike. After one has occurred, we wait in terror for the next one. To a lesser degree, this is the effect that unpredictable human behavior has on us.

Animals behave in set patterns, which is why we are able to hunt and kill them. Only man has the capacity to consciously alter his behavior, to improvise and overcome the weight of routine and habit. Yet most men do not realize this power. They prefer the comforts of routine, of giving in to the animal nature that has them repeating the same compulsive actions time and time again. They do this because it requires no effort, and because they mistakenly believe that if they do not unsettle others, they will be left alone. Understand: A person of power instills a kind of fear by *deliberately* unsettling those around him to keep the initiative on his side. You sometimes need to strike without warning, to make others tremble when they least expect it. It is a device that the powerful have used for centuries.

Filippo Maria, the last of the Visconti dukes of Milan in fifteenth-century Italy, consciously did the opposite of what everyone expected of him. For instance, he might suddenly shower a courtier with attention, and then, once the man had come to expect a promotion to higher office,

would suddenly start treating him with the utmost disdain. Confused, the man might leave the court, when the duke would suddenly recall him and start treating him well again. Doubly confused, the courtier would wonder whether his assumption that he would be promoted had become obvious, and offensive, to the duke, and would start to behave as if he no longer expected such honor. The duke would rebuke him for his lack of ambition and would send him away.

The secret of dealing with Filippo was simple: Do not presume to know what he wants. Do not try to guess what will please him. Never inject *your* will; just surrender to *his* will. Then wait to see what happens. Amidst the confusion and uncertainty he created, the duke ruled supreme, unchallenged and at peace.

Unpredictability is most often the tactic of the master, but the underdog too can use it to great effect. If you find yourself outnumbered or cornered, throw in a series of unpredictable moves. Your enemies will be so confused that they will pull back or make a tactical blunder.

In the spring of 1862, during the American Civil War, General Stonewall Jackson and a force of 4,600 Confederate soldiers were tormenting the larger Union forces in the Shenandoah Valley. Meanwhile, not far away, General George Brinton McClellan, heading a force of 90,000 Union soldiers, was marching south from Washington, D.C., to lay siege to Richmond, Virginia, the Confederate capital. As the weeks of the campaign went by, Jackson repeatedly led his soldiers out of the Shenandoah Valley, then back to it.

His movements made no sense. Was he preparing to help defend Richmond? Was he marching on Washington, now that McClellan's absence had left it unprotected? Was he heading north to wreak havoc up there? Why was his small force moving in circles?

Jackson's inexplicable moves made the Union generals delay the march on Richmond as they waited to figure out what he was up to. Meanwhile, the South was able to pour reinforcements into the town. A battle that could have crushed the Confederacy turned into a stalemate. Jackson used this tactic time and again when facing numerically superior forces. "Always mystify, mislead, and surprise the enemy, if possible," he said, ". . . such tactics will win every time and a small army may thus destroy a large one."

This law applies not only to war but to everyday situations. People are always trying to read the motives behind your actions and to use your predictability against you. Throw in a completely inexplicable move and you put them on the defensive. Because they do not understand you, they are unnerved, and in such a state you can easily intimidate them.

Pablo Picasso once remarked, "The best calculation is the absence of calculation. Once you have attained a certain level of recognition, others generally figure that when you do something, it's for an intelligent reason. So it's really foolish to plot out your movements too carefully in advance. You're better off acting capriciously."

For a while, Picasso worked with the art dealer Paul Rosenberg. At first

he allowed him a fair amount of latitude in handling his paintings, then one day, for no apparent reason, he told the man he would no longer give him any work to sell. As Picasso explained, “Rosenberg would spend the next forty-eight hours trying to figure out why. Was I reserving things for some other dealer? I’d go on working and sleeping and Rosenberg would spend his time figuring. In two days he’d come back, nerves jangled, anxious, saying, ‘After all, dear friend, you wouldn’t turn me down if I offered you this much [naming a substantially higher figure] for those paintings rather than the price I’ve been accustomed to paying you, would you?’”

Unpredictability is not only a weapon of terror: Scrambling your patterns on a day-to-day basis will cause a stir around you and stimulate interest. People will talk about you, ascribe motives and explanations that have nothing to do with the truth, but that keep you constantly in their minds. In the end, the more capricious you appear, the more respect you will garner. Only the terminally subordinate act in a predictable manner.

Image: The Cyclone. A
wind that cannot be fore-
seen. Sudden shifts in
the barometer, in-
explicable changes
in direction and
velocity. There is
no defense: A
cyclone sows
terror and
confusion.

Authority: The enlightened ruler is so mysterious that he seems to dwell nowhere, so inexplicable that no one can seek him. He reposes in nonaction above, and his ministers tremble below. (Han-fei-tzu, Chinese philosopher, third century B.C.)

REVERSAL

Sometimes predictability can work in your favor: By creating a pattern for people to be familiar and comfortable with, you can lull them to sleep. They have prepared everything according to their preconceived notions about you. You can use this in several ways: First, it sets up a smoke screen, a comfortable front behind which you can carry on deceptive actions. Second, it allows you on rare occasions to do something completely against the pattern, unsettling your opponent so deeply he will fall to the ground without being pushed.

In 1974 Muhammad Ali and George Foreman were scheduled to fight for the world heavyweight boxing championship. Everyone knew what would happen: Big George Foreman would try to land a knockout punch while Ali would dance around him, wearing him out. That was Ali's way of fighting, his pattern, and he had not changed it in more than ten years. But in this case it seemed to give Foreman the advantage: He had a devastating punch, and if he waited, sooner or later Ali would have to come to him. Ali, the master strategist, had other plans: In press conferences before the big fight, he said he was going to change his style and punch it out with Foreman. No one, least of all Foreman, believed this for a second. That plan would be suicide on Ali's part; he was playing the comedian, as usual. Then, before the fight, Ali's trainer loosened the ropes around the ring, something a trainer would do if his boxer were intending to slug it out. But no one believed this ploy; it had to be a setup.

To everyone's amazement, Ali did exactly what he had said he would do. As Foreman waited for him to dance around, Ali went right up to him and slugged it out. He completely upset his opponent's strategy. At a loss, Foreman ended up wearing himself out, not by chasing Ali but by throwing punches wildly, and taking more and more counterpunches. Finally, Ali landed a dramatic right cross that knocked out Foreman. The habit of assuming that a person's behavior will fit its previous patterns is so strong that not even Ali's announcement of a strategy change was enough to upset it. Foreman walked into a trap—the trap he had been told to expect.

A warning: Unpredictability can work against you sometimes, especially if you are in a subordinate position. There are times when it is better to let people feel comfortable and settled around you than to disturb them. Too much unpredictability will be seen as a sign of indecisiveness, or even of some more serious psychic problem. Patterns are powerful, and you can terrify people by disrupting them. Such power should only be used judiciously.

LAW

18

DO NOT BUILD FORTRESSES
TO PROTECT YOURSELF—
ISOLATION IS DANGEROUS

JUDGMENT

The world is dangerous and enemies are everywhere—everyone has to protect themselves. A fortress seems the safest. But isolation exposes you to more dangers than it protects you from—it cuts you off from valuable information, it makes you conspicuous and an easy target. Better to circulate among people, find allies, mingle. You are shielded from your enemies by the crowd.

TRANSGRESSION OF THE LAW

Ch'in Shih Huang Ti, the first emperor of China (221–210 B.C.), was the mightiest man of his day. His empire was vaster and more powerful than that of Alexander the Great. He had conquered all of the kingdoms surrounding his own kingdom of Ch'in and unified them into one massive realm called China. But in the last years of his life, few, if anyone, saw him.

The emperor lived in the most magnificent palace built to that date, in the capital of Hsien-yang. The palace had 270 pavilions; all of these were connected by secret underground passageways, allowing the emperor to move through the palace without anyone seeing him. He slept in a different room every night, and anyone who inadvertently laid eyes on him was instantly beheaded. Only a handful of men knew his whereabouts, and if they revealed it to anyone, they, too, were put to death.

The first emperor had grown so terrified of human contact that when he had to leave the palace he traveled incognito, disguising himself carefully. On one such trip through the provinces, he suddenly died. His body was borne back to the capital in the emperor's carriage, with a cart packed with salted fish trailing behind it to cover up the smell of the rotting corpse—no one was to know of his death. He died alone, far from his wives, his family, his friends, and his courtiers, accompanied only by a minister and a handful of eunuchs.

Interpretation

Shih Huang Ti started off as the king of Ch'in, a fearless warrior of unbridled ambition. Writers of the time described him as a man with "a waspish nose, eyes like slits, the voice of a jackal, and the heart of a tiger or wolf." He could be merciful sometimes, but more often he "swallowed men up without a scruple." It was through trickery and violence that he conquered the provinces surrounding his own and created China, forging a single nation and culture out of many. He broke up the feudal system, and to keep an eye on the many members of the royal families that were scattered across the realm's various kingdoms, he moved 120,000 of them to the capital, where he housed the most important courtiers in the vast palace of Hsien-yang. He consolidated the many walls on the borders and built them into the Great Wall of China. He standardized the country's laws, its written language, even the size of its cartwheels.

As part of this process of unification, however, the first emperor outlawed the writings and teachings of Confucius, the philosopher whose ideas on the moral life had already become virtually a religion in Chinese culture. On Shih Huang Ti's order, thousands of books relating to Confucius were burned, and anyone who quoted Confucius was to be beheaded. This made many enemies for the emperor, and he grew constantly afraid, even paranoid. The executions mounted. A contemporary, the writer Han-fei-tzu, noted that "Ch'in has been victorious for four generations, yet has lived in constant terror and apprehension of destruction."

As the emperor withdrew deeper and deeper into the palace to protect

THE MASQUE OF THE RED DEATH

The "Red Death" had long devastated the country. No pestilence had ever been so fatal, or so hideous. Blood was its Avatar and its seal—the redness and horror of blood. There were sharp pains, and sudden dizziness, and then profuse bleeding at the pores, with dissolution. . . . And the whole seizure, progress, and termination of the disease, were the incidents of half an hour.

But the Prince Prospero was happy and dauntless and sagacious. When his dominions were half-depopulated, he summoned to his presence a thousand hale and light-hearted friends from among the knights and dames of his court, and with these retired to the deep seclusion of one of his castellated abbeys. This was an extensive and magnificent structure, the creation of the prince's own eccentric yet august taste. A strong and lofty wall girdled it in. This wall had gates of iron. The courtiers, having entered, brought furnaces and massy hammers and welded the bolts. They resolved to leave means neither of ingress nor egress to the sudden impulses of despair or of frenzy from within. The abbey was amply provisioned. With such

precautions the courtiers might bid defiance to contagion.

The external world could take care of itself. In the meantime it was folly to grieve, or to think. The prince had provided all the appliances of pleasure.

There were buffoons, there were improvisatori, there were ballet-dancers, there were musicians, there was Beauty, there was wine. All these and security were within.

Without was the "Red Death."

It was toward the close of the fifth or sixth month of his seclusion, and while the pestilence raged most furiously abroad, that the Prince Prospero entertained his thousand friends at a masked ball of the most unusual magnificence. It was a voluptuous scene, that masquerade. . . .

. . . And the revel went whirlingly on, until at length there commenced the sounding of midnight upon the clock. . . . And thus too, it happened, perhaps, that before the last echoes of the last chime had utterly sunk into silence, there were many individuals in the crowd who had found leisure to become aware of the presence of a masked figure which had arrested the attention of no single individual before. . . .

The figure was tall and gaunt, and shrouded from head to foot in the habiliments of the grave. The mask which concealed the visage

himself, he slowly lost control of the realm. Eunuchs and ministers enacted political policies without his approval or even his knowledge; they also plotted against him. By the end, he was emperor in name only, and was so isolated that barely anyone knew he had died. He had probably been poisoned by the same scheming ministers who encouraged his isolation.

That is what isolation brings: Retreat into a fortress and you lose contact with the sources of your power. You lose your ear for what is happening around you, as well as a sense of proportion. Instead of being safer, you cut yourself off from the kind of knowledge on which your life depends. Never enclose yourself so far from the streets that you cannot hear what is happening around you, including the plots against you.

OBSERVANCE OF THE LAW

Louis XIV had the palace of Versailles built for him and his court in the 1660s, and it was like no other royal palace in the world. As in a beehive, everything revolved around the royal person. He lived surrounded by the nobility, who were allotted apartments nestled around his, their closeness to him dependent on their rank. The king's bedroom occupied the literal center of the palace and was the focus of everyone's attention. Every morning the king was greeted in this room by a ritual known as the *lever*.

At eight A.M., the king's first valet, who slept at the foot of the royal bed, would awaken His Majesty. Then pages would open the door and admit those who had a function in the *lever*. The order of their entry was precise: First came the king's illegitimate sons and his grandchildren, then the princes and princesses of the blood, and then his physician and surgeon. There followed the grand officers of the wardrobe, the king's official reader, and those in charge of entertaining the king. Next would arrive various government officials, in ascending order of rank. Last but not least came those attending the *lever* by special invitation. By the end of the ceremony, the room would be packed with well over a hundred royal attendants and visitors.

The day was organized so that all the palace's energy was directed at and passed through the king. Louis was constantly attended by courtiers and officials, all asking for his advice and judgment. To all their questions he usually replied, "I shall see."

As Saint-Simon noted, "If he turned to someone, asked him a question, made an insignificant remark, the eyes of all present were turned on this person. It was a distinction that was talked of and increased prestige." There was no possibility of privacy in the palace, not even for the king—every room communicated with another, and every hallway led to larger rooms where groups of nobles gathered constantly. Everyone's actions were interdependent, and nothing and no one passed unnoticed: "The king not only saw to it that all the high nobility was present at his court," wrote Saint-Simon, "he demanded the same of the minor nobility. At his *lever* and *coucher*, at his meals, in his gardens of Versailles, he always looked

about him, noticing everything. He was offended if the most distinguished nobles did not live permanently at court, and those who showed themselves never or hardly ever, incurred his full displeasure. If one of these desired something, the king would say proudly: 'I do not know him,' and the judgment was irrevocable."

Interpretation

Louis XIV came to power at the end of a terrible civil war, the Fronde. A principal instigator of the war had been the nobility, which deeply resented the growing power of the throne and yearned for the days of feudalism, when the lords ruled their own fiefdoms and the king had little authority over them. The nobles had lost the civil war, but they remained a fractious, resentful lot.

The construction of Versailles, then, was far more than the decadent whim of a luxury-loving king. It served a crucial function: The king could keep an eye and an ear on everyone and everything around him. The once proud nobility was reduced to squabbling over the right to help the king put on his robes in the morning. There was no possibility here of privacy—no possibility of isolation. Louis XIV very early grasped the truth that for a king to isolate himself is gravely dangerous. In his absence, conspiracies will spring up like mushrooms after rain, animosities will crystallize into factions, and rebellion will break out before he has the time to react. To combat this, sociability and openness must not only be encouraged, they must be formally organized and channeled.

These conditions at Versailles lasted for Louis's entire reign, some fifty years of relative peace and tranquillity. Through it all, not a pin dropped without Louis hearing it.

Solitude is dangerous to reason, without being favorable to virtue. . . .

*Remember that the solitary mortal is certainly luxurious,
probably superstitious, and possibly mad.*

Dr. Samuel Johnson, 1709–1784

was made so nearly to resemble the countenance of a stiffened corpse that the closest scrutiny must have had difficulty in detecting the cheat. And yet all this might have been endured, if not approved, by the mad revellers around. But the mummer had gone so far as to assume the type of the Red Death. His vesture was dabbled in blood—and his broad brow, with all the features of the face, was sprinkled with the scarlet horror. . . . A throng of the revellers at once threw themselves into the black apartment, and, seizing the mummer, whose tall figure stood erect and motionless within the shadow of the ebony clock, gasped in unutterable horror at finding the grave ceremonies and corpse-like mask, which they handled with so violent a rudeness, untenanted by any tangible form. And now was acknowledged the presence of the Red Death. He had come like a thief in the night. And one by one dropped the revellers in the blood-bedewed halls of their revel, and died each in the despairing posture of his fall. And the life of the ebony clock went out with that of the last of the gay. And the flames of the tripods expired. And Darkness and Decay and the Red Death held illimitable dominion over all.

THE MASQUE OF THE
RED DEATH,
EDGAR ALLAN POE,
1809–1849

KEYS TO POWER

Machiavelli makes the argument that in a strictly military sense a fortress is invariably a mistake. It becomes a symbol of power's isolation, and is an easy target for its builders' enemies. Designed to defend you, fortresses actually cut you off from help and cut into your flexibility. They may appear impregnable, but once you retire to one, everyone knows where you are; and a siege does not have to succeed to turn your fortress into a prison. With their small and confined spaces, fortresses are also extremely vulnerable to the plague and contagious diseases. In a strategic sense, the isolation of a fortress provides no protection, and actually creates more problems than it solves.

Because humans are social creatures by nature, power depends on social interaction and circulation. To make yourself powerful you must place yourself at the center of things, as Louis XIV did at Versailles. All activity should revolve around you, and you should be aware of everything happening on the street, and of anyone who might be hatching plots against you. The danger for most people comes when they feel threatened. In such times they tend to retreat and close ranks, to find security in a kind of fortress. In doing so, however, they come to rely for information on a smaller and smaller circle, and lose perspective on events around them. They lose maneuverability and become easy targets, and their isolation makes them paranoid. As in warfare and most games of strategy, isolation often precedes defeat and death.

In moments of uncertainty and danger, you need to fight this desire to turn inward. Instead, make yourself more accessible, seek out old allies and make new ones, force yourself into more and more different circles. This has been the trick of powerful people for centuries.

The Roman statesman Cicero was born into the lower nobility, and had little chance of power unless he managed to make a place for himself among the aristocrats who controlled the city. He succeeded brilliantly, identifying everyone with influence and figuring out how they were connected to one another. He mingled everywhere, knew everyone, and had such a vast network of connections that an enemy here could easily be counterbalanced by an ally there.

The French statesman Talleyrand played the game the same way. Although he came from one of the oldest aristocratic families in France, he made a point of always staying in touch with what was happening in the streets of Paris, allowing him to foresee trends and troubles. He even got a certain pleasure out of mingling with shady criminal types, who supplied him with valuable information. Every time there was a crisis, a transition of power—the end of the Directory, the fall of Napoleon, the abdication of Louis XVIII—he was able to survive and even thrive, because he never closed himself up in a small circle but always forged connections with the new order.

This law pertains to kings and queens, and to those of the highest power: The moment you lose contact with your people, seeking security in isolation, rebellion is brewing. Never imagine yourself so elevated that you can afford to cut yourself off from even the lowest echelons. By retreating to a fortress, you make yourself an easy target for your plotting subjects, who view your isolation as an insult and a reason for rebellion.

Since humans are such social creatures, it follows that the social arts that make us pleasant to be around can be practiced only by constant exposure and circulation. The more you are in contact with others, the more graceful and at ease you become. Isolation, on the other hand, engenders an awkwardness in your gestures, and leads to further isolation, as people start avoiding you.

In 1545 Duke Cosimo I de' Medici decided that to ensure the immor-

tality of his name he would commission frescoes for the main chapel of the church of San Lorenzo in Florence. He had many great painters to choose from, and in the end he picked Jacopo da Pontormo. Getting on in years, Pontormo wanted to make these frescoes his chef d'oeuvre and legacy. His first decision was to close the chapel off with walls, partitions, and blinds. He wanted no one to witness the creation of his masterpiece, or to steal his ideas. He would outdo Michelangelo himself. When some young men broke into the chapel out of curiosity, Jacopo sealed it off even further.

Pontormo filled the chapel's ceiling with biblical scenes—the Creation, Adam and Eve, Noah's ark, on and on. At the top of the middle wall he painted Christ in his majesty, raising the dead on Judgment Day. The artist worked on the chapel for eleven years, rarely leaving it, since he had developed a phobia for human contact and was afraid his ideas would be stolen.

Pontormo died before completing the frescoes, and none of them has survived. But the great Renaissance writer Vasari, a friend of Pontormo's who saw the frescoes shortly after the artist's death, left a description of what they looked like. There was a total lack of proportion. Scenes bumped against scenes, figures in one story being juxtaposed with those in another, in maddening numbers. Pontormo had become obsessed with detail but had lost any sense of the overall composition. Vasari left off his description of the frescoes by writing that if he continued, "I think I would go mad and become entangled in this painting, just as I believe that in the eleven years of time Jacopo spent on it, he entangled himself and anyone else who saw it." Instead of crowning Pontormo's career, the work became his undoing.

These frescoes were visual equivalents of the effects of isolation on the human mind: a loss of proportion, an obsession with detail combined with an inability to see the larger picture, a kind of extravagant ugliness that no longer communicates. Clearly, isolation is as deadly for the creative arts as for the social arts. Shakespeare is the most famous writer in history because, as a dramatist for the popular stage, he opened himself up to the masses, making his work accessible to people no matter what their education and taste. Artists who hole themselves up in their fortress lose a sense of proportion, their work communicating only to their small circle. Such art remains cornered and powerless.

Finally, since power is a human creation, it is inevitably increased by contact with other people. Instead of falling into the fortress mentality, view the world in the following manner: It is like a vast Versailles, with every room communicating with another. You need to be permeable, able to float in and out of different circles and mix with different types. That kind of mobility and social contact will protect you from plotters, who will be unable to keep secrets from you, and from your enemies, who will be unable to isolate you from your allies. Always on the move, you mix and mingle in the rooms of the palace, never sitting or settling in one place. No hunter can fix his aim on such a swift-moving creature.

Image: The Fortress. High
up on the hill, the citadel be-
comes a symbol of all that is
hateful in power and authority.
The citizens of the town betray
you to the first enemy that comes.
Cut off from communication and in-
telligence, the citadel falls with ease.

Authority: A good and wise prince, desirous of maintaining that character, and to avoid giving the opportunity to his sons to become oppressive, will never build fortresses, so that they may place their reliance upon the good will of their subjects, and not upon the strength of citadels. (Niccolò Machiavelli, 1469–1527)

REVERSAL

It is hardly ever right and propitious to choose isolation. Without keeping an ear on what is happening in the streets, you will be unable to protect yourself. About the only thing that constant human contact cannot facilitate is thought. The weight of society's pressure to conform, and the lack of distance from other people, can make it impossible to think clearly about what is going on around you. As a temporary recourse, then, isolation can help you to gain perspective. Many a serious thinker has been produced in prisons, where we have nothing to do but think. Machiavelli could write *The Prince* only once he found himself in exile and isolated on a farm far from the political intrigues of Florence.

The danger is, however, that this kind of isolation will sire all kinds of strange and perverted ideas. You may gain perspective on the larger picture, but you lose a sense of your own smallness and limitations. Also, the more isolated you are, the harder it is to break out of your isolation when you choose to—it sinks you deep into its quicksand without your noticing. If you need time to think, then, choose isolation only as a last resort, and only in small doses. Be careful to keep your way back into society open.

LAW

19

KNOW WHO YOU'RE
DEALING WITH—
DO NOT OFFEND THE
WRONG PERSON

JUDGMENT

There are many different kinds of people in the world, and you can never assume that everyone will react to your strategies in the same way. Deceive or outmaneuver some people and they will spend the rest of their lives seeking revenge. They are wolves in lambs' clothing. Choose your victims and opponents carefully, then—never offend or deceive the wrong person.

*When you meet a
swordsmen, draw your
sword: Do not recite
poetry to one who is
not a poet.*

FROM A CH'AN
BUDDHIST CLASSIC,
QUOTED IN
THUNDER IN THE SKY,
TRANSLATED BY
THOMAS CLEARY, 1993

THE REVENGE OF
LOPE DE AGUIRRE
*[Lope de] Aguirre's
character is amply
illustrated in an anecdote
from the chronicle
of Garcilaso de la
Vega, who related that
in 1548 Aguirre was a
member of a platoon of
soldiers escorting
Indian slaves from the
mines at Potosí
[Bolivia] to a royal
treasury depot. The
Indians were illegally
burdened with great
quantities of silver, and
a local official arrested
Aguirre, sentencing
him to receive two
hundred lashes in lieu
of a fine for oppressing
the Indians. "The
soldier Aguirre, having
received a notification
of the sentence,
besought the alcalde
that, instead of flogging
him, he would put him
to death, for that he
was a gentleman by
birth. . . . All this had
no effect on the alcalde,
who ordered the executioner
to bring a beast,
and execute the
sentence. The executioner
came to the*

OPPONENTS, SUCKERS, AND VICTIMS: Preliminary Typology

In your rise to power you will come across many breeds of opponent, sucker, and victim. The highest form of the art of power is the ability to distinguish the wolves from the lambs, the foxes from the hares, the hawks from the vultures. If you make this distinction well, you will succeed without needing to coerce anyone too much. But if you deal blindly with whomever crosses your path, you will have a life of constant sorrow, if you even live that long. Being able to recognize types of people, and to act accordingly, is critical. The following are the five most dangerous and difficult types of mark in the jungle, as identified by artists—con and otherwise—of the past.

The Arrogant and Proud Man. Although he may initially disguise it, this man's touchy pride makes him very dangerous. Any perceived slight will lead to a vengeance of overwhelming violence. You may say to yourself, "But I only said such-and-such at a party, where everyone was drunk. . . ." It does not matter. There is no sanity behind his overreaction, so do not waste time trying to figure him out. If at any point in your dealings with a person you sense an oversensitive and overactive pride, flee. Whatever you are hoping for from him isn't worth it.

The Hopelessly Insecure Man. This man is related to the proud and arrogant type, but is less violent and harder to spot. His ego is fragile, his sense of self insecure, and if he feels himself deceived or attacked, the hurt will simmer. He will attack you in bites that will take forever to get big enough for you to notice. If you find you have deceived or harmed such a man, disappear for a long time. Do not stay around him or he will nibble you to death.

Mr. Suspicion. Another variant on the breeds above, this is a future Joe Stalin. He sees what he wants to see—usually the worst—in other people, and imagines that everyone is after him. Mr. Suspicion is in fact the least dangerous of the three: Genuinely unbalanced, he is easy to deceive, just as Stalin himself was constantly deceived. Play on his suspicious nature to get him to turn against other people. But if you do become the target of his suspicions, watch out.

The Serpent with a Long Memory. If hurt or deceived, this man will show no anger on the surface; he will calculate and wait. Then, when he is in a position to turn the tables, he will exact a revenge marked by a cold-blooded shrewdness. Recognize this man by his calculation and cunning in the different areas of his life. He is usually cold and unaffectionate. Be doubly careful of this snake, and if you have somehow injured him, either crush him completely or get him out of your sight.

The Plain, Unassuming, and Often Unintelligent Man. Ah, your ears prick up when you find such a tempting victim. But this man is a lot harder

to deceive than you imagine. Falling for a ruse often takes intelligence and imagination—a sense of the possible rewards. The blunt man will not take the bait because he does not recognize it. He is that unaware. The danger with this man is not that he will harm you or seek revenge, but merely that he will waste your time, energy, resources, and even your sanity in trying to deceive him. Have a test ready for a mark—a joke, a story. If his reaction is utterly literal, this is the type you are dealing with. Continue at your own risk.

TRANSGRESSIONS OF THE LAW

Transgression I

In the early part of the thirteenth century, Muhammad, the shah of Khwarezm, managed after many wars to forge a huge empire, extending west to present-day Turkey and south to Afghanistan. The empire's center was the great Asian capital of Samarkand. The shah had a powerful, well-trained army, and could mobilize 200,000 warriors within days.

In 1219 Muhammad received an embassy from a new tribal leader to the east, Genghis Khan. The embassy included all sorts of gifts to the great Muhammad, representing the finest goods from Khan's small but growing Mongol empire. Genghis Khan wanted to reopen the Silk Route to Europe, and offered to share it with Muhammad, while promising peace between the two empires.

Muhammad did not know this upstart from the east, who, it seemed to him, was extremely arrogant to try to talk as an equal to one so clearly his superior. He ignored Khan's offer. Khan tried again: This time he sent a caravan of a hundred camels filled with the rarest articles he had plundered from China. Before the caravan reached Muhammad, however, Inalchik, the governor of a region bordering on Samarkand, seized it for himself, and executed its leaders.

Genghis Khan was sure that this was a mistake—that Inalchik had acted without Muhammad's approval. He sent yet another mission to Muhammad, reiterating his offer and asking that the governor be punished. This time Muhammad himself had one of the ambassadors beheaded, and sent the other two back with shaved heads—a horrifying insult in the Mongol code of honor. Khan sent a message to the shah: "You have chosen war. What will happen will happen, and what it is to be we know not; only God knows." Mobilizing his forces, in 1220 he attacked Inalchik's province, where he seized the capital, captured the governor, and ordered him executed by having molten silver poured into his eyes and ears.

Over the next year, Khan led a series of guerrilla-like campaigns against the shah's much larger army. His method was totally novel for the time—his soldiers could move very fast on horseback, and had mastered the art of firing with bow and arrow while mounted. The speed and flexibility of his forces allowed him to deceive Muhammad as to his intentions and the directions of his movements. Eventually he managed first to sur-

prison, and put Aguirre on the beast. . . . The beast was driven on, and he received the lashes. . . ."

When freed, Aguirre announced his intention of killing the official who had sentenced him, the alcalde Esquivel. Esquivel's term of office expired and he fled to Lima, three hundred twenty leagues away, but within fifteen days Aguirre had tracked him there. The frightened judge journeyed to Quito, a trip of four hundred leagues, and in twenty days Aguirre arrived.

"When Esquivel heard of his presence," according to Garcilaso, "he made another journey of five hundred leagues to Cuzco; but in a few days Aguirre also arrived, having travelled on foot and without shoes, saying that a whipped man has no business to ride a horse, or to go where he would be seen by others. In this way, Aguirre followed his judge for three years, and four months." Wearying of the pursuit, Esquivel remained at Cuzco, a city so sternly governed that he felt he would be safe from Aguirre. He took a house near the cathedral and never ventured outdoors without a sword and a dagger. "However, on a certain Monday, at noon, Aguirre entered his house, and having walked all over it, and having traversed a corridor, a saloon, a chamber, and an inner

chamber where the judge kept his books, he at last found him asleep over one of his books, and stabbed him to death. The murderer then went out, but when he came to the door of the house, he found that he had forgotten his hat, and had the temerity to return and fetch it, and then walked down the street."

THE GOLDEN DREAM:
SEEKERS OF EL DORADO,
WALKER CHAPMAN,
1967

THE CROW AND
THE SHEEP

A troublesome Crow seated herself on the back of a Sheep. The Sheep, much against his will, carried her backward and forward for a long time, and at last said, "If you had treated a dog in this way, you would have had your deserts from his sharp teeth." To this the Crow replied, "I despise the weak, and yield to the strong. I know whom I may bully, and whom I must flatter; and thus I hope to prolong my life to a good old age."

FABLES,
AESOP,
SIXTH CENTURY B.C.

round Samarkand, then to seize it. Muhammad fled, and a year later died, his vast empire broken and destroyed. Genghis Khan was sole master of Samarkand, the Silk Route, and most of northern Asia.

Interpretation

Never assume that the person you are dealing with is weaker or less important than you are. Some men are slow to take offense, which may make you misjudge the thickness of their skin, and fail to worry about insulting them. But should you offend their honor and their pride, they will overwhelm you with a violence that seems sudden and extreme given their slowness to anger. If you want to turn people down, it is best to do so politely and respectfully, even if you feel their request is impudent or their offer ridiculous. Never reject them with an insult until you know them better; you may be dealing with a Genghis Khan.

Transgression II

In the late 1910s some of the best swindlers in America formed a con-artist ring based in Denver, Colorado. In the winter months they would spread across the southern states, plying their trade. In 1920 Joe Furey, a leader of the ring, was working his way through Texas, making hundreds of thousands of dollars with classic con games. In Fort Worth, he met a sucker named J. Frank Norfleet, a cattleman who owned a large ranch. Norfleet fell for the con. Convinced of the riches to come, he emptied his bank account of \$45,000 and handed it over to Furey and his confederates. A few days later they gave him his "millions," which turned out to be a few good dollars wrapped around a packet of newspaper clippings.

Furey and his men had worked such cons a hundred times before, and the sucker was usually so embarrassed by his gullibility that he quietly learned his lesson and accepted the loss. But Norfleet was not like other suckers. He went to the police, who told him there was little they could do. "Then I'll go after those people myself," Norfleet told the detectives. "I'll get them, too, if it takes the rest of my life." His wife took over the ranch as Norfleet scoured the country, looking for others who had been fleeced in the same game. One such sucker came forward, and the two men identified one of the con artists in San Francisco, and managed to get him locked up. The man committed suicide rather than face a long term in prison.

Norfleet kept going. He tracked down another of the con artists in Montana, roped him like a calf, and dragged him through the muddy streets to the town jail. He traveled not only across the country but to England, Canada, and Mexico in search of Joe Furey, and also of Furey's right-hand man, W. B. Spencer. Finding Spencer in Montreal, Norfleet chased him through the streets. Spencer escaped but the rancher stayed on his trail and caught up with him in Salt Lake City. Preferring the mercy of the law to Norfleet's wrath, Spencer turned himself in.

Norfleet found Furey in Jacksonville, Florida, and personally hauled him off to face justice in Texas. But he wouldn't stop there: He continued on to Denver, determined to break up the entire ring. Spending not only

large sums of money but another year of his life in the pursuit, he managed to put all of the con ring's leaders behind bars. Even some he didn't catch had grown so terrified of him that they too turned themselves in.

After five years of hunting, Norfleet had single-handedly destroyed the country's largest confederation of con artists. The effort bankrupted him and ruined his marriage, but he died a satisfied man.

Interpretation

Most men accept the humiliation of being conned with a sense of resignation. They learn their lesson, recognizing that there is no such thing as a free lunch, and that they have usually been brought down by their own greed for easy money. Some, however, refuse to take their medicine. Instead of reflecting on their own gullibility and avarice, they see themselves as totally innocent victims.

Men like this may seem to be crusaders for justice and honesty, but they are actually immoderately insecure. Being fooled, being conned, has activated their self-doubt, and they are desperate to repair the damage. Were the mortgage on Norfleet's ranch, the collapse of his marriage, and the years of borrowing money and living in cheap hotels worth his revenge over his embarrassment at being fleeced? To the Norfleets of the world, overcoming their embarrassment is worth any price.

All people have insecurities, and often the best way to deceive a sucker is to play upon his insecurities. But in the realm of power, everything is a question of degree, and the person who is decidedly more insecure than the average mortal presents great dangers. Be warned: If you practice deception or trickery of any sort, study your mark well. Some people's insecurity and ego fragility cannot tolerate the slightest offense. To see if you are dealing with such a type, test them first—make, say, a mild joke at their expense. A confident person will laugh; an overly insecure one will react as if personally insulted. If you suspect you are dealing with this type, find another victim.

Transgression III

In the fifth century B.C., Ch'ung-erh, the prince of Ch'in (in present-day China), had been forced into exile. He lived modestly—even, sometimes, in poverty—waiting for the time when he could return home and resume his princely life. Once he was passing through the state of Cheng, where the ruler, not knowing who he was, treated him rudely. The ruler's minister, Shu Chan, saw this and said, "This man is a worthy prince. May Your Highness treat him with great courtesy and thereby place him under an obligation!" But the ruler, able to see only the prince's lowly station, ignored this advice and insulted the prince again. Shu Chan again warned his master, saying, "If Your Highness cannot treat Ch'ung-erh with courtesy, you should put him to death, to avoid calamity in the future." The ruler only scoffed.

Years later, the prince was finally able to return home, his circumstances greatly changed. He did not forget who had been kind to him, and

who had been insolent, during his years of poverty. Least of all did he forget his treatment at the hands of the ruler of Cheng. At his first opportunity he assembled a vast army and marched on Cheng, taking eight cities, destroying the kingdom, and sending the ruler into an exile of his own.

Interpretation

You can never be sure who you are dealing with. A man who is of little importance and means today can be a person of power tomorrow. We forget a lot in our lives, but we rarely forget an insult.

How was the ruler of Cheng to know that Prince Ch'ung-erh was an ambitious, calculating, cunning type, a serpent with a long memory? There was really no way for him to know, you may say—but since there was no way, it would have been better not to tempt the fates by finding out. There is nothing to be gained by insulting a person unnecessarily. Swallow the impulse to offend, even if the other person seems weak. The satisfaction is meager compared to the danger that someday he or she will be in a position to hurt you.

Transgression IV

The year of 1920 had been a particularly bad one for American art dealers. Big buyers—the robber-baron generation of the previous century—were getting to an age where they were dying off like flies, and no new millionaires had emerged to take their place. Things were so bad that a number of the major dealers decided to pool their resources, an unheard-of event, since art dealers usually get along like cats and dogs.

Joseph Duveen, art dealer to the richest tycoons of America, was suffering more than the others that year, so he decided to go along with this alliance. The group now consisted of the five biggest dealers in the country. Looking around for a new client, they decided that their last best hope was Henry Ford, then the wealthiest man in America. Ford had yet to venture into the art market, and he was such a big target that it made sense for them to work together.

The dealers decided to assemble a list, “The 100 Greatest Paintings in the World” (all of which they happened to have in stock), and to offer the lot of them to Ford. With one purchase he could make himself the world’s greatest collector. The consortium worked for weeks to produce a magnificent object: a three-volume set of books containing beautiful reproductions of the paintings, as well as scholarly texts accompanying each picture. Next they made a personal visit to Ford at his home in Dearborn, Michigan. There they were surprised by the simplicity of his house: Mr. Ford was obviously an extremely unaffected man.

Ford received them in his study. Looking through the book, he expressed astonishment and delight. The excited dealers began imagining the millions of dollars that would shortly flow into their coffers. Finally, however, Ford looked up from the book and said, “Gentlemen, beautiful books like these, with beautiful colored pictures like these, must cost an awful lot!” “But Mr. Ford!” exclaimed Duveen, “we don’t expect you to *buy* these

books. We got them up especially for you, to show you the pictures. These books are a present to you.” Ford seemed puzzled. “Gentlemen,” he said, “it is extremely nice of you, but I really don’t see how I can accept a beautiful, expensive present like this from strangers.” Duveen explained to Ford that the reproductions in the books showed paintings they had hoped to sell to him. Ford finally understood. “But gentlemen,” he exclaimed, “what would I want with the original pictures when the ones right here in these books are so beautiful?”

Interpretation

Joseph Duveen prided himself on studying his victims and clients in advance, figuring out their weaknesses and the peculiarities of their tastes before he ever met them. He was driven by desperation to drop this tactic just once, in his assault on Henry Ford. It took him months to recover from his misjudgment, both mentally and monetarily. Ford was the unassuming plain-man type who just isn’t worth the bother. He was the incarnation of those literal-minded folk who do not possess enough imagination to be deceived. From then on, Duveen saved his energies for the Mellons and Morgans of the world—men crafty enough for him to entrap in his snares.

KEYS TO POWER

The ability to measure people and to know who you’re dealing with is the most important skill of all in gathering and conserving power. Without it you are blind: Not only will you offend the wrong people, you will choose the wrong types to work on, and will think you are flattering people when you are actually insulting them. Before embarking on any move, take the measure of your mark or potential opponent. Otherwise you will waste time and make mistakes. Study people’s weaknesses, the chinks in their armor, their areas of both pride and insecurity. Know their ins and outs before you even decide whether or not to deal with them.

Two final words of caution: First, in judging and measuring your opponent, never rely on your instincts. You will make the greatest mistakes of all if you rely on such inexact indicators. Nothing can substitute for gathering concrete knowledge. Study and spy on your opponent for however long it takes; this will pay off in the long run.

Second, never trust appearances. Anyone with a serpent’s heart can use a show of kindness to cloak it; a person who is blustery on the outside is often really a coward. Learn to see through appearances and their contradictions. Never trust the version that people give of themselves—it is utterly unreliable.

Image: The Hunter. He does not lay the same trap for a wolf as for a fox. He does not set bait where no one will take it. He knows his prey thoroughly, its habits and hideaways, and hunts accordingly.

Authority: Be convinced, that there are no persons so insignificant and inconsiderable, but may, some time or other, have it in their power to be of use to you; which they certainly will not, if you have once shown them contempt. Wrongs are often forgiven, but contempt never is. Our pride remembers it for ever. (Lord Chesterfield, 1694–1773)

REVERSAL

What possible good can come from ignorance about other people? Learn to tell the lions from the lambs or pay the price. Obey this law to its fullest extent; it has no reversal—do not bother looking for one.

LAW

20

DO NOT COMMIT TO ANYONE

JUDGMENT

It is the fool who always rushes to take sides. Do not commit to any side or cause but yourself. By maintaining your independence, you become the master of others—playing people against one another, making them pursue you.

PART I: DO NOT COMMIT TO ANYONE,
BUT BE COURTED BY ALL

If you allow people to feel they possess you to any degree, you lose all power over them. By not committing your affections, they will only try harder to win you over. Stay aloof and you gain the power that comes from their attention and frustrated desire. Play the Virgin Queen: Give them hope but never satisfaction.

OBSERVANCE OF THE LAW

When Queen Elizabeth I ascended the throne of England, in 1558, there was much to do about her finding a husband. The issue was debated in Parliament, and was a main topic of conversation among Englishmen of all classes; they often disagreed as to whom she should marry, but everyone thought she should marry as soon as possible, for a queen must have a king, and must bear heirs for the kingdom. The debates raged on for years. Meanwhile the most handsome and eligible bachelors in the realm—Sir Robert Dudley, the Earl of Essex, Sir Walter Raleigh—vied for Elizabeth's hand. She did not discourage them, but she seemed to be in no hurry, and her hints as to which man might be her favorite often contradicted each other. In 1566, Parliament sent a delegation to Elizabeth urging her to marry before she was too old to bear children. She did not argue, nor did she discourage the delegation, but she remained a virgin nonetheless.

The delicate game that Elizabeth played with her suitors slowly made her the subject of innumerable sexual fantasies and the object of cultish worship. The court physician, Simon Forman, used his diary to describe his dreams of deflowering her. Painters represented her as Diana and other goddesses. The poet Edmund Spenser and others wrote eulogies to the Virgin Queen. She was referred to as "the world's Emprise," "that virtuous Virgo" who rules the world and sets the stars in motion. In conversation with her, her many male suitors would employ bold sexual innuendo, a dare that Elizabeth did not discourage. She did all she could to stir their interest and simultaneously keep them at bay.

Throughout Europe, kings and princes knew that a marriage with Elizabeth would seal an alliance between England and any nation. The king of Spain wooed her, as did the prince of Sweden and the archduke of Austria. She politely refused them all.

The great diplomatic issue of Elizabeth's day was posed by the revolt of the Flemish and Dutch Lowlands, which were then possessions of Spain. Should England break its alliance with Spain and choose France as its main ally on the Continent, thereby encouraging Flemish and Dutch independence? By 1570 it had come to seem that an alliance with France would be England's wisest course. France had two eligible men of noble blood, the dukes of Anjou and Alençon, brothers of the French king. Would either of them marry Elizabeth? Both had advantages, and Elizabeth kept the hopes of both alive. The issue simmered for years. The duke of Anjou made sev-

eral visits to England, kissed Elizabeth in public, even called her by pet names; she appeared to requite his affections. Meanwhile, as she flirted with the two brothers, a treaty was signed that sealed peace between France and England. By 1582 Elizabeth felt she could break off the courtship. In the case of the duke of Anjou in particular, she did so with great relief: For the sake of diplomacy she had allowed herself to be courted by a man whose presence she could not stand and whom she found physically repulsive. Once peace between France and England was secure, she dropped the unctuous duke as politely as she could.

By this time Elizabeth was too old to bear children. She was accordingly able to live the rest of her life as she desired, and she died the Virgin Queen. She left no direct heir, but ruled through a period of incomparable peace and cultural fertility.

Interpretation

Elizabeth had good reason not to marry: She had witnessed the mistakes of Mary Queen of Scots, her cousin. Resisting the idea of being ruled by a woman, the Scots expected Mary to marry and marry wisely. To wed a foreigner would be unpopular; to favor any particular noble house would open up terrible rivalries. In the end Mary chose Lord Darnley, a Catholic. In doing so she incurred the wrath of Scotland's Protestants, and endless turmoil ensued.

Elizabeth knew that marriage can often lead to a female ruler's undoing: By marrying and committing to an alliance with one party or nation, the queen becomes embroiled in conflicts that are not of her choosing, conflicts which may eventually overwhelm her or lead her into a futile war. Also, the husband becomes the de facto ruler, and often tries to do away with his wife the queen, as Darnley tried to get rid of Mary. Elizabeth learned the lesson well. She had two goals as a ruler: to avoid marriage and to avoid war. She managed to combine these goals by dangling the possibility of marriage in order to forge alliances. The moment she committed to any single suitor would have been the moment she lost her power. She had to emanate mystery and desirability, never discouraging anyone's hopes but never yielding.

Through this lifelong game of flirting and withdrawing, Elizabeth dominated the country and every man who sought to conquer her. As the center of attention, she was in control. Keeping her independence above all, Elizabeth protected her power and made herself an object of worship.

I would rather be a beggar and single than a queen and married.

Queen Elizabeth I, 1533–1603

KEYS TO POWER

Since power depends greatly on appearances, you must learn the tricks that will enhance your image. Refusing to commit to a person or group is one of these. When you hold yourself back, you incur not anger but a kind

of respect. You instantly seem powerful because you make yourself ungraspable, rather than succumbing to the group, or to the relationship, as most people do. This aura of power only grows with time: As your reputation for independence grows, more and more people will come to desire you, wanting to be the one who gets you to commit. Desire is like a virus: If we see that someone is desired by other people, we tend to find this person desirable too.

The moment you commit, the magic is gone. You become like everyone else. People will try all kinds of underhanded methods to get you to commit. They will give you gifts, shower you with favors, all to put you under obligation. Encourage the attention, stimulate their interest, but do not commit at any cost. Accept the gifts and favors if you so desire, but be careful to maintain your inner aloofness. You cannot inadvertently allow yourself to feel obligated to anyone.

Remember, though: The goal is not to put people off, or to make it seem that you are incapable of commitment. Like the Virgin Queen, you need to stir the pot, excite interest, lure people with the possibility of having you. You have to bend to their attention occasionally, then—but never too far.

The Greek soldier and statesman Alcibiades played this game to perfection. It was Alcibiades who inspired and led the massive Athenian armada that invaded Sicily in 414 B.C. When envious Athenians back home tried to bring him down by accusing him of trumped-up charges, he defected to the enemy, the Spartans, instead of facing a trial back home. Then, after the Athenians were defeated at Syracuse, he left Sparta for Persia, even though the power of Sparta was now on the rise. Now, however, both the Athenians and the Spartans courted Alcibiades because of his influence with the Persians; and the Persians showered him with honors because of his power over the Athenians and the Spartans. He made promises to every side but committed to none, and in the end he held all the cards.

If you aspire to power and influence, try the Alcibiades tactic: Put yourself in the middle between competing powers. Lure one side with the promise of your help; the other side, always wanting to outdo its enemy, will pursue you as well. As each side vies for your attention, you will immediately seem a person of great influence and desirability. More power will accrue to you than if you had rashly committed to one side. To perfect this tactic you need to keep yourself inwardly free from emotional entanglements, and to view all those around you as pawns in your rise to the top. You cannot let yourself become the lackey for any cause.

In the midst of the 1968 U.S. presidential election, Henry Kissinger made a phone call to Richard Nixon's team. Kissinger had been allied with Nelson Rockefeller, who had unsuccessfully sought the Republican nomination. Now Kissinger offered to supply the Nixon camp with valuable inside information on the negotiations for peace in Vietnam that were then going on in Paris. He had a man on the negotiating team keeping him informed of the latest developments. The Nixon team gladly accepted his offer.

At the same time, however, Kissinger also approached the Democratic nominee, Hubert Humphrey, and offered his aid. The Humphrey people

asked him for inside information on Nixon and he supplied it. “Look,” Kissinger told Humphrey’s people, “I’ve hated Nixon for years.” In fact he had no interest in either side. What he really wanted was what he got: the promise of a high-level cabinet post from both Nixon and Humphrey. Whichever man won the election, Kissinger’s career was secure.

The winner, of course, was Nixon, and Kissinger duly went on to his cabinet post. Even so, he was careful never to appear too much of a Nixon man. When Nixon was reelected in 1972, men much more loyal to him than Kissinger were fired. Kissinger was also the only Nixon high official to survive Watergate and serve under the next president, Gerald Ford. By maintaining a little distance he thrived in turbulent times.

Those who use this strategy often notice a strange phenomenon: People who rush to the support of others tend to gain little respect in the process, for their help is so easily obtained, while those who stand back find themselves besieged with supplicants. Their aloofness is powerful, and everyone wants them on their side.

When Picasso, after early years of poverty, had become the most successful artist in the world, he did not commit himself to this dealer or that dealer, although they now besieged him from all sides with attractive offers and grand promises. Instead, he appeared to have no interest in their services; this technique drove them wild, and as they fought over him his prices only rose. When Henry Kissinger, as U.S. secretary of state, wanted to reach détente with the Soviet Union, he made no concessions or conciliatory gestures, but courted China instead. This infuriated and also scared the Soviets—they were already politically isolated and feared further isolation if the United States and China came together. Kissinger’s move pushed them to the negotiating table. The tactic has a parallel in seduction: When you want to seduce a woman, Stendhal advises, court her sister first.

Stay aloof and people will come to you. It will become a challenge for them to win your affections. As long as you imitate the wise Virgin Queen and stimulate their hopes, you will remain a magnet of attention and desire.

Image:

The Virgin Queen.

The center of attention, desire, and worship. Never succumbing to one suitor or the other, the Virgin Queen keeps them all revolving around her like planets, unable to leave her orbit but never getting any closer to her.

Authority: Do not commit yourself to anybody or anything, for that is to be a slave, a slave to every man. . . . Above all, keep yourself free of commitments and obligations—they are the device of another to get you into his power. . . . (Baltasar Gracián, 1601–1658)

PART II: DO NOT COMMIT TO ANYONE—
STAY ABOVE THE FRAY

Do not let people drag you into their petty fights and squabbles. Seem interested and supportive, but find a way to remain neutral; let others do the fighting while you stand back, watch and wait. When the fighting parties are good and tired they will be ripe for the picking. You can make it a practice, in fact, to stir up quarrels between other people, and then offer to mediate, gaining power as the go-between.

OBSERVANCE OF THE LAW

In the late fifteenth century, the strongest city-states in Italy—Venice, Florence, Rome, and Milan—found themselves constantly squabbling. Hovering above their struggles were the nations of France and Spain, ready to grab whatever they could from the weakened Italian powers. And trapped in the middle was the small state of Mantua, ruled by the young Duke Gianfrancesco Gonzaga. Mantua was strategically located in northern Italy, and it seemed only a matter of time before one of the powers swallowed it up and it ceased to exist as an independent kingdom.

Gonzaga was a fierce warrior and a skilled commander of troops, and he became a kind of mercenary general for whatever side paid him best. In the year 1490, he married Isabella d'Este, daughter of the ruler of another small Italian duchy, Ferrara. Since he now spent most of his time away from Mantua, it fell to Isabella to rule in his stead.

Isabella's first true test as ruler came in 1498, when King Louis XII of France was preparing armies to attack Milan. In their usual perfidious fashion, the Italian states immediately looked for ways to profit from Milan's difficulties. Pope Alexander VI promised not to intervene, thereby giving the French *carte blanche*. The Venetians signaled that they would not help Milan, either—and in exchange for this, they hoped the French would give them Mantua. The ruler of Milan, Lodovico Sforza, suddenly found himself alone and abandoned. He turned to Isabella d'Este, one of his closest friends (also rumored to be his lover), and begged her to persuade Duke Gonzaga to come to his aid. Isabella tried, but her husband balked, for he saw Sforza's cause as hopeless. And so, in 1499, Louis swooped down on Milan and took it with ease.

Isabella now faced a dilemma: If she stayed loyal to Lodovico, the French would now move against her. But if, instead, she allied herself with France, she would make enemies elsewhere in Italy, compromising Mantua once Louis eventually withdrew. And if she looked to Venice or Rome for help, they would simply swallow up Mantua under the cloak of coming to her aid. Yet she had to do something. The mighty king of France was breathing down her neck: She decided to befriend him, as she had befriended Lodovico Sforza before him—with alluring gifts, witty, intelligent letters, and the possibility of her company, for Isabella was famous as a woman of incomparable beauty and charm.

THE KITES, THE
CROWS, AND THE FOX

The kites and the crows made an agreement among themselves that they should go halves in everything obtained in the forest. One day they saw a fox that had been wounded by hunters lying helpless under a tree, and gathered round it.

The crows said, "We will take the upper half of the fox." "Then we will take the lower half," said the kites. The fox laughed at this, and said, "I always thought the kites were superior in creation to the crows; as such they must get the upper half of my body, of which my head, with the brain and other delicate things in it, forms a portion."

"Oh, yes, that is right," said the kites, "we will have that part of the fox." "Not at all," said the crows, "we must have it, as already agreed." Then a war arose between the rival parties, and a great many fell on both sides, and the remaining few escaped with difficulty.

The fox continued there for some days,

In 1500 Louis invited Isabella to a great party in Milan to celebrate his victory. Leonardo da Vinci built an enormous mechanical lion for the affair: When the lion opened its mouth, it spewed fresh lilies, the symbols of French royalty. At the party Isabella wore one of her celebrated dresses (she had by far the largest wardrobe of any of the Italian princesses), and just as she had hoped, she charmed and captivated Louis, who ignored all the other ladies vying for his attention. She soon became his constant companion, and in exchange for her friendship he pledged to protect Mantua's independence from Venice.

As one danger receded, however, another, more worrying one arose, this time from the south, in the form of Cesare Borgia. Starting in 1500, Borgia had marched steadily northward, gobbling up all the small kingdoms in his path in the name of his father, Pope Alexander. Isabella understood Cesare perfectly: He could be neither trusted nor in any way offended. He had to be cajoled and kept at arm's length. Isabella began by sending him gifts—falcons, prize dogs, perfumes, and dozens of masks, which she knew he always wore when he walked the streets of Rome. She sent messengers with flattering greetings (although these messengers also acted as her spies). At one point Cesare asked if he could house some troops in Mantua; Isabella managed to dissuade him politely, knowing full well that once the troops were quartered in the city, they would never leave.

Even while Isabella was charming Cesare, she convinced everyone around her to take care never to utter a harsh word about him, since he had spies everywhere and would use the slightest pretext for invasion. When Isabella had a child, she asked Cesare to be the godfather. She even dangled in front of him the possibility of a marriage between her family and his. Somehow it all worked, for although elsewhere he seized everything in his path, he spared Mantua.

In 1503 Cesare's father, Alexander, died, and a few years later the new pope, Julius II, went to war to drive the French troops from Italy. When the ruler of Ferrara—Alfonso, Isabella's brother—sided with the French, Julius decided to attack and humble him. Once again Isabella found herself in the middle: the pope on one side, the French and her brother on the other. She dared not ally herself with either, but to offend either would be equally disastrous. Again she played the double game at which she had become so expert. On the one hand she got her husband Gonzaga to fight for the pope, knowing he would not fight very hard. On the other she let French troops pass through Mantua to come to Ferrara's aid. While she publicly complained that the French had "invaded" her territory, she privately supplied them with valuable information. To make the invasion plausible to Julius, she even had the French pretend to plunder Mantua. It worked once again: The pope left Mantua alone.

In 1513, after a lengthy siege, Julius defeated Ferrara, and the French troops withdrew. Worn out by the effort, the pope died a few months later. With his death, the nightmarish cycle of battles and petty squabbles began to repeat itself.

leisurely feeding on the dead kites and crows, and then left the place hale and hearty, observing, "The weak benefit by the quarrels of the mighty."

INDIAN FABLES

Men of great abilities are slow to act, for it is easier to avoid occasions for committing yourself than to come well out of a commitment. Such occasions test your judgment; it is safer to avoid them than to emerge victorious from them. One obligation leads to a greater one, and you come very near to the brink of disaster.

BALTASAR GRACIÁN,
1601–1658

An eagle built a nest on a tree, and hatched out some eaglets. And a wild sow brought her litter under the tree. The eagle used to fly off after her prey, and bring it back to her young. And the sow rooted around the tree and hunted in the woods, and when night came she would bring her young something to eat. And the eagle and the sow lived in neighborly fashion. And a grimalkin laid her plans to destroy the eaglets and the little sucking pigs. She went to the eagle, and said: "Eagle, you had better not fly very far away. Beware of the sow; she is planning an evil design. She is going to undermine the roots of the tree. You see she is rooting all the time." Then the grimalkin went to the sow and said: "Sow, you have not a good neighbor. Last evening I heard the eagle saying to her eaglets: 'My dear little eaglets, I am going to treat you to a nice little pig. Just as soon as the sow is gone, I will bring you a little young sucking pig.'" From that time the eagle ceased to fly out after prey, and the sow did not go any more into the forest. The eaglets and the young pigs perished of starvation, and grimalkin feasted on them.

FABLES,
LEO TOLSTOY,
1828-1910

A great deal changed in Italy during Isabella's reign: Popes came and went, Cesare Borgia rose and then fell, Venice lost its empire, Milan was invaded, Florence fell into decline, and Rome was sacked by the Hapsburg Emperor Charles V. Through all this, tiny Mantua not only survived but thrived, its court the envy of Italy. Its wealth and sovereignty would remain intact for a century after Isabella's death, in 1539.

Interpretation

Isabella d'Este understood Italy's political situation with amazing clarity: Once you took the side of any of the forces in the field, you were doomed. The powerful would take you over, the weak would wear you down. Any new alliance would lead to a new enemy, and as this cycle stirred up more conflict, other forces would be dragged in, until you could no longer extricate yourself. Eventually you would collapse from exhaustion.

Isabella steered her kingdom on the only course that would bring her safely through. She would not allow herself to lose her head through loyalty to a duke or a king. Nor would she try to stop the conflict that raged around her—that would only drag her into it. And in any case the conflict was to her advantage. If the various parties were fighting to the death, and exhausting themselves in the process, they were in no position to gobble up Mantua. The source of Isabella's power was her clever ability to seem interested in the affairs and interests of each side, while actually committing to no one but herself and her kingdom.

Once you step into a fight that is not of your own choosing, you lose all initiative. The combatants' interests become your interests; you become their tool. Learn to control yourself, to restrain your natural tendency to take sides and join the fight. Be friendly and charming to each of the combatants, then step back as they collide. With every battle they grow weaker, while you grow stronger with every battle you avoid.

When the snipe and the mussel struggle, the fisherman gets the benefit.

Ancient Chinese saying

KEYS TO POWER

To succeed in the game of power, you have to master your emotions. But even if you succeed in gaining such self-control, you can never control the temperamental dispositions of those around you. And this presents a great danger. Most people operate in a whirlpool of emotions, constantly reacting, churning up squabbles and conflicts. Your self-control and autonomy will only bother and infuriate them. They will try to draw you into the whirlpool, begging you to take sides in their endless battles, or to make peace for them. If you succumb to their emotional entreaties, little by little you will find *your* mind and time occupied by *their* problems. Do not allow whatever compassion and pity you possess to suck you in. You can never win in this game; the conflicts can only multiply.

On the other hand, you cannot completely stand aside, for that would

cause needless offense. To play the game properly, you must seem interested in other people's problems, even sometimes appear to take their side. But while you make outward gestures of support, you must maintain your inner energy and sanity by keeping your emotions disengaged. No matter how hard people try to pull you in, never let your interest in their affairs and petty squabbles go beyond the surface. Give them gifts, listen with a sympathetic look, even occasionally play the charmer—but inwardly keep both the friendly kings and the perfidious Borgias at arm's length. By refusing to commit and thus maintaining your autonomy you retain the initiative: Your moves stay matters of your own choosing, not defensive reactions to the push-and-pull of those around you.

Slowness to pick up your weapons can be a weapon itself, especially if you let other people exhaust themselves fighting, then take advantage of their exhaustion. In ancient China, the kingdom of Chin once invaded the kingdom of Hsing. Huan, the ruler of a nearby province, thought he should rush to Hsing's defense, but his adviser counseled him to wait: "Hsing is not yet going to ruin," he said, "and Chin is not yet exhausted. If Chin is not exhausted, [we] cannot become very influential. Moreover, the merit of supporting a state in danger is not as great as the virtue of reviving a ruined one." The adviser's argument won the day, and as he had predicted, Huan later had the glory both of rescuing Hsing from the brink of destruction and then of conquering an exhausted Chin. He stayed out of the fighting until the forces engaged in it had worn each other down, at which point it was safe for him to intervene.

That is what holding back from the fray allows you: time to position yourself to take advantage of the situation once one side starts to lose. You can also take the game a step further, by promising your support to both sides in a conflict while maneuvering so that the one to come out ahead in the struggle is you. This was what Castruccio Castracani, ruler of the Italian town of Lucca in the fourteenth century, did when he had designs on the town of Pistoia. A siege would have been expensive, costing both lives and money, but Castruccio knew that Pistoia contained two rival factions, the Blacks and the Whites, which hated one another. He negotiated with the Blacks, promising to help them against the Whites; then, without their knowledge, he promised the Whites he would help them against the Blacks. And Castruccio kept his promises—he sent an army to a Black-controlled gate to the city, which the sentries of course welcomed in. Meanwhile another of his armies entered through a White-controlled gate. The two armies united in the middle, occupied the town, killed the leaders of both factions, ended the internal war, and took Pistoia for Castruccio.

Preserving your autonomy gives you options when people come to blows—you can play the mediator, broker the peace, while really securing your own interests. You can pledge support to one side and the other may have to court you with a higher bid. Or, like Castruccio, you can appear to take both sides, then play the antagonists against each other.

Oftentimes when a conflict breaks out, you are tempted to side with the stronger party, or the one that offers you apparent advantages in an al-

THE PRICE OF ENVY

While a poor woman stood in the marketplace selling cheeses, a cat came along and carried off a cheese. A dog saw the pilferer and tried to take the cheese away from him. The cat stood up to the dog. So they pitched into each other. The dog barked and snapped; the cat spat and scratched, but they could bring the battle to no decision.

"Let's go to the fox and have him referee the matter," the cat finally suggested.

"Agreed," said the dog. So they went to the fox. The fox listened to their arguments with a judicious air.

"Foolish animals," he chided them, "why carry on like that? If both of you are willing, I'll divide the cheese in two and you'll both be satisfied."

"Agreed," said the cat and the dog.

So the fox took out his knife and cut the cheese in two, but, instead of cutting it lengthwise, he cut it in the width.

"My half is smaller!" protested the dog.

The fox looked judiciously through his spectacles at the dog's share.

"You're right, quite right!" he decided. So he went and bit off a piece of the cat's share.

"That will make it even!" he said.

When the cat saw what the fox did she began to yowl:

*"Just look! My part's smaller now!"
The fox again put on his spectacles and looked judiciously at the cat's share.
"Right you are!" said the fox. "Just a moment, and I'll make it right."
And he went and bit off a piece from the dog's cheese
This went on so long, with the fox nibbling first at the dog's and then at the cat's share, that he finally ate up the whole cheese before their eyes.*

A TREASURY OF JEWISH
FOLKLORE,
NATHAN AUSUBEL, ED.,
1948

liance. This is risky business. First, it is often difficult to foresee which side will prevail in the long run. But even if you guess right and ally yourself with the stronger party, you may find yourself swallowed up and lost, or conveniently forgotten, when they become victors. Side with the weaker, on the other hand, and you are doomed. But play a waiting game and you cannot lose.

In France's July Revolution of 1830, after three days of riots, the statesman Talleyrand, now elderly, sat by his Paris window, listening to the pealing bells that signaled the riots were over. Turning to an assistant, he said, "Ah, the bells! We're winning." "Who's 'we,' *mon prince?*" the assistant asked. Gesturing for the man to keep quiet, Talleyrand replied, "Not a word! I'll tell you who we are tomorrow." He well knew that only fools rush into a situation—that by committing too quickly you lose your maneuverability. People also respect you less: Perhaps tomorrow, they think, you will commit to another, different cause, since you gave yourself so easily to this one. Good fortune is a fickle god and will often pass from one side to the other. Commitment to one side deprives you of the advantage of time and the luxury of waiting. Let others fall in love with this group or that; for your part don't rush in, don't lose your head.

Finally, there are occasions when it is wisest to drop all pretence of appearing supportive and instead to trumpet your independence and self-reliance. The aristocratic pose of independence is particularly important for those who need to gain respect. George Washington recognized this in his work to establish the young American republic on firm ground. As president, Washington avoided the temptation of making an alliance with France or England, despite the pressure on him to do so. He wanted the country to earn the world's respect through its independence. Although a treaty with France might have helped in the short term, in the long run he knew it would be more effective to establish the nation's autonomy. Europe would have to see the United States as an equal power.

Remember: You have only so much energy and so much time. Every moment wasted on the affairs of others subtracts from your strength. You may be afraid that people will condemn you as heartless, but in the end, maintaining your independence and self-reliance will gain you more respect and place you in a position of power from which you can choose to help others on your own initiative.

Image: A Thicket of Shrubs.
In the forest, one shrub latches on to another, entangling its neighbor with its thorns, the thicket slowly extending its impenetrable domain. Only what keeps its distance and stands apart can grow and rise above the thicket.

Authority: Regard it as more courageous not to become involved in an engagement than to win in battle, and where there is already one interfering fool, take care that there shall not be two. (Baltasar Gracian, 1601-1658)

REVERSAL

Both parts of this law will turn against you if you take it too far. The game proposed here is delicate and difficult. If you play too many parties against one another, they will see through the maneuver and will gang up on you. If you keep your growing number of suitors waiting too long, you will inspire not desire but distrust. People will start to lose interest. Eventually you may find it worthwhile to commit to one side—if only for appearances' sake, to prove you are capable of attachment.

Even then, however, the key will be to maintain your inner independence—to keep yourself from getting emotionally involved. Preserve the unspoken option of being able to leave at any moment and reclaim your freedom if the side you are allied with starts to collapse. The friends you made while you were being courted will give you plenty of places to go once you jump ship.

LAW

21

PLAY A SUCKER TO CATCH
A SUCKER—SEEM DUMBER
THAN YOUR MARK

JUDGMENT

No one likes feeling stupider than the next person. The trick, then, is to make your victims feel smart—and not just smart, but smarter than you are. Once convinced of this, they will never suspect that you may have ulterior motives.

OBSERVANCE OF THE LAW

In the winter of 1872, the U.S. financier Asbury Harpending was visiting London when he received a cable: A diamond mine had been discovered in the American West. The cable came from a reliable source—William Ralston, owner of the Bank of California—but Harpending nevertheless took it as a practical joke, probably inspired by the recent discovery of huge diamond mines in South Africa. True, when reports had first come in of gold being discovered in the western United States, everyone had been skeptical, and those had turned out to be true. But a diamond mine in the West! Harpending showed the cable to his fellow financier Baron Rothschild (one of the richest men in the world), saying it must be a joke. The baron, however, replied, “Don’t be too sure about that. America is a very large country. It has furnished the world with many surprises already. Perhaps it has others in store.” Harpending promptly took the first ship back to the States.

When Harpending reached San Francisco, there was an excitement in the air recalling the Gold Rush days of the late 1840s. Two crusty prospectors named Philip Arnold and John Slack had been the ones to find the diamond mine. They had not divulged its location, in Wyoming, but had led a highly respected mining expert to it several weeks back, taking a circular route so he could not guess his whereabouts. Once there, the expert had watched as the miners dug up diamonds. Back in San Francisco the expert had taken the gems to various jewelers, one of whom had estimated their worth at \$1.5 million.

Harpending and Ralston now asked Arnold and Slack to accompany them back to New York, where the jeweler Charles Tiffany would verify the original estimates. The prospectors responded uneasily—they smelled a trap: How could they trust these city slickers? What if Tiffany and the financiers managed to steal the whole mine out from under them? Ralston tried to allay their fears by giving them \$100,000 and placing another \$300,000 in escrow for them. If the deal went through, they would be paid an additional \$300,000. The miners agreed.

The little group traveled to New York, where a meeting was held at the mansion of Samuel L. Barlow. The cream of the city’s aristocracy was in attendance—General George Brinton McClellan, commander of the Union forces in the Civil War; General Benjamin Butler; Horace Greeley, editor of the newspaper the *New York Tribune*; Harpending; Ralston; and Tiffany. Only Slack and Arnold were missing—as tourists in the city, they had decided to go sight-seeing.

When Tiffany announced that the gems were real and worth a fortune, the financiers could barely control their excitement. They wired Rothschild and other tycoons to tell them about the diamond mine and inviting them to share in the investment. At the same time, they also told the prospectors that they wanted one more test: They insisted that a mining expert of their choosing accompany Slack and Arnold to the site to verify its wealth. The prospectors reluctantly agreed. In the meantime, they said,

Now, there is nothing of which a man is prouder than of intellectual ability, for it is this that gives him his commanding place in the animal world. It is an exceedingly rash thing to let anyone see that you are decidedly superior to him in this respect, and to let other people see it too. . . .

Hence, while rank and riches may always reckon upon deferential treatment in society, that is something which intellectual ability can never expect: To be ignored is the greatest favour shown to it; and if people notice it at all, it is because they regard it as a piece of impertinence, or else as something to which its possessor has no legitimate right, and upon which he dares to pride himself; and in retaliation and revenge for his conduct, people secretly try and humiliate him in some other way; and if they wait to do this, it is only for a fitting opportunity. A man may be as humble as possible in his demeanour, and yet hardly ever get people to overlook his crime in standing intellectually above them. In the Garden of Roses, Sadi makes the remark: “You should know that foolish people are a hundredfold more averse to meeting the wise than the wise are indisposed for the company of the foolish.”

On the other hand, it is a real recommendation to be stupid. For just as warmth is agreeable to the body, so it does the mind good to feel its superiority; and a man will seek company likely to give him this feeling, as instinctively as he will approach the fireplace or walk in the sun if he wants to get warm. But this means that he will be disliked on account of his superiority; and if a man is to be liked, he must really be inferior in point of intellect.

ARTHUR
SCHOPENHAUER,
1788–1860

they had to return to San Francisco. The jewels that Tiffany had examined they left with Harpending for safekeeping.

Several weeks later, a man named Louis Janin, the best mining expert in the country, met the prospectors in San Francisco. Janin was a born skeptic who was determined to make sure that the mine was not a fraud. Accompanying Janin were Harpending, and several other interested financiers. As with the previous expert, the prospectors led the team through a complex series of canyons, completely confusing them as to their whereabouts. Arriving at the site, the financiers watched in amazement as Janin dug the area up, leveling anthills, turning over boulders, and finding emeralds, rubies, sapphires, and most of all diamonds. The dig lasted eight days, and by the end, Janin was convinced: He told the investors that they now possessed the richest field in mining history. “With a hundred men and proper machinery,” he told them, “I would guarantee to send out one million dollars in diamonds every thirty days.”

Returning to San Francisco a few days later, Ralston, Harpending, and company acted fast to form a \$10 million corporation of private investors. First, however, they had to get rid of Arnold and Slack. That meant hiding their excitement—they certainly did not want to reveal the field’s real value. So they played possum. Who knows if Janin is right, they told the prospectors, the mine may not be as rich as we think. This just made the prospectors angry. Trying a different tactic, the financiers told the two men that if they insisted on having shares in the mine, they would end up being fleeced by the unscrupulous tycoons and investors who would run the corporation; better, they said, to take the \$700,000 already offered—an enormous sum at the time—and put their greed aside. This the prospectors seemed to understand, and they finally agreed to take the money, in return signing the rights to the site over to the financiers, and leaving maps to it.

News of the mine spread like wildfire. Prospectors fanned out across Wyoming. Meanwhile Harpending and group began spending the millions they had collected from their investors, buying equipment, hiring the best men in the business, and furnishing luxurious offices in New York and San Francisco.

A few weeks later, on their first trip back to the site, they learned the hard truth: Not a single diamond or ruby was to be found. It was all a fake. They were ruined. Harpending had unwittingly lured the richest men in the world into the biggest scam of the century.

Interpretation

Arnold and Slack pulled off their stupendous con not by using a fake engineer or bribing Tiffany: All of the experts had been real. All of them honestly believed in the existence of the mine and in the value of the gems. What had fooled them all was nothing else than Arnold and Slack themselves. The two men seemed to be such rubes, such hayseeds, so naive, that no one for an instant had believed them capable of an audacious scam. The prospectors had simply observed the law of appearing more stupid than the mark—the deceiver’s First Commandment.

The logistics of the con were quite simple. Months before Arnold and Slack announced the “discovery” of the diamond mine, they traveled to Europe, where they purchased some real gems for around \$12,000 (part of the money they had saved from their days as gold miners). They then salted the “mine” with these gems, which the first expert dug up and brought to San Francisco. The jewelers who had appraised these stones, including Tiffany himself, had gotten caught up in the fever and had grossly overestimated their value. Then Ralston gave the prospectors \$100,000 as security, and immediately after their trip to New York they simply went to Amsterdam, where they bought sacks of uncut gems, before returning to San Francisco. The second time they salted the mine, there were many more jewels to be found.

The effectiveness of the scheme, however, rested not on tricks like these but on the fact that Arnold and Slack played their parts to perfection. On their trip to New York, where they mingled with millionaires and tycoons, they played up their clodhopper image, wearing pants and coats a size or two too small and acting incredulous at everything they saw in the big city. No one believed that these country simpletons could possibly be conning the most devious, unscrupulous financiers of the time. And once Harpending, Ralston, and even Rothschild accepted the mine’s existence, anyone who doubted it was questioning the intelligence of the world’s most successful businessmen.

In the end, Harpending’s reputation was ruined and he never recovered; Rothschild learned his lesson and never fell for another con; Slack took his money and disappeared from view, never to be found. Arnold simply went home to Kentucky. After all, his sale of his mining rights had been legitimate; the buyers had taken the best advice, and if the mine had run out of diamonds, that was their problem. Arnold used the money to greatly enlarge his farm and open up a bank of his own.

KEYS TO POWER

The feeling that someone else is more intelligent than we are is almost intolerable. We usually try to justify it in different ways: “He only has book knowledge, whereas I have real knowledge.” “Her parents paid for her to get a good education. If my parents had had as much money, if I had been as privileged. . . .” “He’s not as smart as he thinks.” Last but not least: “She may know her narrow little field better than I do, but beyond that she’s really not smart at all. Even Einstein was a boob outside physics.”

Given how important the idea of intelligence is to most people’s vanity, it is critical never inadvertently to insult or impugn a person’s brain power. That is an unforgivable sin. But if you can make this iron rule work for you, it opens up all sorts of avenues of deception. Subliminally reassure people that they are more intelligent than you are, or even that you are a bit of a moron, and you can run rings around them. The feeling of intellectual superiority you give them will disarm their suspicion-muscles.

In 1865 the Prussian councillor Otto von Bismarck wanted Austria to

sign a certain treaty. The treaty was totally in the interests of Prussia and against the interests of Austria, and Bismarck would have to strategize to get the Austrians to agree to it. But the Austrian negotiator, Count Blome, was an avid cardplayer. His particular game was quinze, and he often said that he could judge a man's character by the way he played quinze. Bismarck knew of this saying of Blome's.

The night before the negotiations were to begin, Bismarck innocently engaged Blome in a game of quinze. The Prussian would later write, "That was the very last time I ever played quinze. I played so recklessly that everyone was astonished. I lost several thousand talers [the currency of the time], but I succeeded in fooling [Blome], for he believed me to be more venturesome than I am and I gave way." Besides appearing reckless, Bismarck also played the witless fool, saying ridiculous things and bumbling about with a surplus of nervous energy.

All this made Blome feel he had gathered valuable information. He knew that Bismarck was aggressive—the Prussian already had that reputation, and the way he played had confirmed it. And aggressive men, Blome knew, can be foolish and rash. Accordingly, when the time came to sign the treaty, Blome thought he had the advantage. A heedless fool like Bismarck, he thought, is incapable of cold-blooded calculation and deception, so he only glanced at the treaty before signing it—he failed to read the fine print. As soon as the ink was dry, a joyous Bismarck exclaimed in his face, "Well, I could never have believed that I should find an Austrian diplomat willing to sign that document!"

The Chinese have a phrase, "Masquerading as a swine to kill the tiger." This refers to an ancient hunting technique in which the hunter clothes himself in the hide and snout of a pig, and mimics its grunting. The mighty tiger thinks a pig is coming his way, and lets it get close, savoring the prospect of an easy meal. But it is the hunter who has the last laugh.

Masquerading as a swine works wonders on those who, like tigers, are arrogant and overconfident: The easier they think it is to prey on you, the more easily you can turn the tables. This trick is also useful if you are ambitious yet find yourself low in the hierarchy: Appearing less intelligent than you are, even a bit of a fool, is the perfect disguise. Look like a harmless pig and no one will believe you harbor dangerous ambitions. They may even promote you since you seem so likable, and subservient. Claudius before he became emperor of Rome, and the prince of France who later became Louis XIII, used this tactic when those above them suspected they might have designs on the throne. By playing the fool as young men, they were left alone. When the time came for them to strike, and to act with vigor and decisiveness, they caught everyone off-guard.

Intelligence is the obvious quality to downplay, but why stop there? Taste and sophistication rank close to intelligence on the vanity scale; make people feel they are more sophisticated than you are and their guard will come down. As Arnold and Slack knew, an air of complete naiveté can work wonders. Those fancy financiers were laughing at them behind their

backs, but who laughed loudest in the end? In general, then, always make people believe they are smarter and more sophisticated than you are. They will keep you around because you make them feel better about themselves, and the longer you are around, the more opportunities you will have to deceive them.

I m a g e :

The Opossum. In playing
dead, the opossum plays stupid.
Many a predator has therefore left it
alone. Who could believe that such an
ugly, unintelligent, nervous little creature
could be capable of such deception?

Authority: Know how to make use of stupidity: The wisest man plays this card at times. There are occasions when the highest wisdom consists in appearing not to know—you must not be ignorant but capable of playing it. It is not much good being wise among fools and sane among lunatics. He who poses as a fool is not a fool. The best way to be well received by all is to clothe yourself in the skin of the dumbest of brutes. (Baltasar Gracián, 1601–1658)

REVERSAL

To reveal the true nature of your intelligence rarely pays; you should get in the habit of downplaying it at all times. If people inadvertently learn the truth—that you are actually much smarter than you look—they will admire you more for being discreet than for making your brilliance show. At the start of your climb to the top, of course, you cannot play too stupid: You may want to let your bosses know, in a subtle way, that you are smarter than the competition around you. As you climb the ladder, however, you should to some degree try to dampen your brilliance.

There is, however, one situation where it pays to do the opposite—when you can cover up a deception with a show of intelligence. In matters of smarts as in most things, appearances are what count. If you seem to have authority and knowledge, people will believe what you say. This can be very useful in getting you out of a scrape.

The art dealer Joseph Duveen was once attending a soirée at the New York home of a tycoon to whom he had recently sold a Dürer painting for

a high price. Among the guests was a young French art critic who seemed extremely knowledgeable and confident. Wanting to impress this man, the tycoon's daughter showed him the Dürer, which had not yet been hung. The critic studied it for a time, then finally said, "You know, I don't think this Dürer is right." He followed the young woman as she hurried to tell her father what he had said, and listened as the magnate, deeply unsettled, turned to Duveen for reassurance. Duveen just laughed. "How very amusing," he said. "Do you realize, young man, that at least twenty other art experts here and in Europe have been taken in too, and have said that painting isn't genuine? And now you've made the same mistake." His confident tone and air of authority intimidated the Frenchman, who apologized for his mistake.

Duveen knew that the art market was flooded with fakes, and that many paintings had been falsely ascribed to old masters. He tried his best to distinguish the real from the fake, but in his zeal to sell he often overplayed a work's authenticity. What mattered to him was that the buyer believed he had bought a Dürer, and that Duveen himself convinced everyone of his "expertness" through his air of irreproachable authority. Thus, it is important to be able to play the professor when necessary and never impose such an attitude for its own sake.

LAW

22

USE THE SURRENDER

TACTIC: TRANSFORM

WEAKNESS INTO POWER

JUDGMENT

When you are weaker, never fight for honor's sake; choose surrender instead. Surrender gives you time to recover, time to torment and irritate your conqueror, time to wait for his power to wane. Do not give him the satisfaction of fighting and defeating you—surrender first. By turning the other cheek you infuriate and unsettle him. Make surrender a tool of power.

THE CHESTNUT AND
THE FIG TREE

A man who had climbed upon a certain fig tree, was bending the boughs toward him and plucking the ripe fruit, which he then put into his mouth to destroy and gnaw with his hard teeth. The chestnut, seeing this, tossed its long branches and with tumultuous rustle exclaimed: "Oh Fig! How much less protected by nature you are than I. See how my sweet offspring are set in close array; first clothed in soft wrappers over which is the hard but softly lined husk. And not content with this much care, nature has also given us these sharp and close-set spines, so that the hand of man cannot hurt us." Then the fig tree began to laugh, and after the laughter it said: "You know well that man is of such ingenuity that he will bereave even you of your children. But in your case he will do it by means of rods and stones; and when they are felled he will trample them with his feet or hit them with stones, so that your offspring will emerge from their armor crushed and maimed; while I am touched carefully by his hands, and never, like you, with roughness."

LEONARDO DA VINCI,
1452-1519

TRANSGRESSION OF THE LAW

The island of Melos is strategically situated in the heart of the Mediterranean. In classical times, the city of Athens dominated the sea and coastal areas around Greece, but Sparta, in the Peloponnese, had been Melos's original colonizer. During the Peloponnesian War, then, the Melians refused to ally themselves with Athens and remained loyal to Mother Sparta. In 416 B.C. the Athenians sent an expedition against Melos. Before launching an all-out attack, however, they dispatched a delegation to persuade the Melians to surrender and become an ally rather than suffer devastation and defeat.

"You know as well as we do," the delegates said, "that the standard of justice depends on the equality of power to compel, and that in fact the strong do what they have the power to do and the weak accept what they have to accept." When the Melians responded that this denied the notion of fair play, the Athenians said that those in power determined what was fair and what was not. The Melians argued that this authority belonged to the gods, not to mortals. "Our opinion of the gods and our knowledge of men," replied a member of the Athenian delegation, "lead us to conclude that it is a general and necessary law of nature to rule whatever one can."

The Melians would not budge. Sparta, they insisted, would come to their defense. The Athenians countered that the Spartans were a conservative, practical people, and would not help Melos because they had nothing to gain and a lot to lose by doing so.

Finally the Melians began to talk of honor and the principle of resisting brute force. "Do not be led astray by a false sense of honor," said the Athenians. "Honor often brings men to ruin when they are faced with an obvious danger that somehow affects their pride. There is nothing disgraceful in giving way to the greatest city in Hellas when she is offering you such reasonable terms." The debate ended. The Melians discussed the issue among themselves, and decided to trust in the aid of the Spartans, the will of the gods, and the rightness of their cause. They politely declined the Athenians' offer.

A few days later the Athenians invaded Melos. The Melians fought nobly, even without the Spartans, who did not come to their rescue. It took several attempts before the Athenians could surround and besiege their main city, but the Melians finally surrendered. The Athenians wasted no time—they put to death all the men of military age that they could capture, they sold the women and children as slaves, and they repopulated the island with their own colonists. Only a handful of Melians survived.

Interpretation

The Athenians were one of the most eminently practical people in history, and they made the most practical argument they could with the Melians: When you are weaker, there is nothing to be gained by fighting a useless fight. No one comes to help the weak—by doing so they would only put themselves in jeopardy. The weak are alone and must submit. Fighting

gives you nothing to gain but martyrdom, and in the process a lot of people who do not believe in your cause will die.

Weakness is no sin, and can even become a strength if you learn how to play it right. Had the Melians surrendered in the first place, they would have been able to sabotage the Athenians in subtle ways, or might have gotten what they could have out of the alliance and then left it when the Athenians themselves were weakened, as in fact happened several years later. Fortunes change and the mighty are often brought down. Surrender conceals great power: Lulling the enemy into complacency, it gives you time to recoup, time to undermine, time for revenge. Never sacrifice that time in exchange for honor in a battle that you cannot win.

Weak people never give way when they ought to.
Cardinal de Retz, 1613–1679

OBSERVANCE OF THE LAW

Sometime in the 1920s the German writer Bertolt Brecht became a convert to the cause of Communism. From then on his plays, essays, and poems reflected his revolutionary fervor, and he generally tried to make his ideological statements as clear as possible. When Hitler came to power in Germany, Brecht and his Communist colleagues became marked men. He had many friends in the United States—Americans who sympathized with his beliefs, as well as fellow German intellectuals who had fled Hitler. In 1941, accordingly, Brecht emigrated to the United States, and chose to settle in Los Angeles, where he hoped to make a living in the film business.

Over the next few years Brecht wrote screenplays with a pointedly anticapitalist slant. He had little success in Hollywood, so in 1947, the war having ended, he decided to return to Europe. That same year, however, the U.S. Congress's House Un-American Activities Committee began its investigation into supposed Communist infiltration in Hollywood. It began to gather information on Brecht, who had so openly espoused Marxism, and on September 19, 1947, only a month before he had planned to leave the United States, he received a subpoena to appear before the committee. In addition to Brecht, a number of other writers, producers, and directors were summoned to appear as well, and this group came to be known as the Hollywood 19.

Before going to Washington, the Hollywood 19 met to decide on a plan of action. Their approach would be confrontational. Instead of answering questions about their membership, or lack of it, in the Communist Party, they would read prepared statements that would challenge the authority of the committee and argue that its activities were unconstitutional. Even if this strategy meant imprisonment, it would gain publicity for their cause.

Brecht disagreed. What good was it, he asked, to play the martyr and gain a little public sympathy if in the process they lost the ability to stage their plays and sell their scripts for years to come? He felt certain they were

Voltaire was living in exile in London at a time when anti-French sentiment was at its highest. One day walking through the streets, he found himself surrounded by an angry crowd. "Hang him. Hang the Frenchman," they yelled. Voltaire calmly addressed the mob with the following words: "Men of England! You wish to kill me because I am a Frenchman. Am I not punished enough in not being born an Englishman?" The crowd cheered his thoughtful words, and escorted him safely back to his lodgings.

THE LITTLE, BROWN
BOOK OF ANECDOTES,
CLIFTON FADIMAN, ED.,
1985

all more intelligent than the members of the committee. Why lower themselves to the level of their opponents by arguing with them? Why not outfox the committee by appearing to surrender to it while subtly mocking it? The Hollywood 19 listened to Brecht politely, but decided to stick to their plan, leaving Brecht to go his own way.

The committee finally summoned Brecht on October 30. They expected him to do what others among the Hollywood 19 who had testified before him had done: Argue, refuse to answer questions, challenge the committee's right to hold its hearing, even yell and hurl insults. Much to their surprise, however, Brecht was the very picture of congeniality. He wore a suit (something he rarely did), smoked a cigar (he had heard that the committee chairman was a passionate cigar smoker), answered their questions politely, and generally deferred to their authority.

Unlike the other witnesses, Brecht answered the question of whether he belonged to the Communist Party: He was not a member, he said, which happened to be the truth. One committee member asked him, "Is it true you have written a number of revolutionary plays?" Brecht had written many plays with overt Communist messages, but he responded, "I have written a number of poems and songs and plays in the fight against Hitler and, of course, they can be considered, therefore, as revolutionary because I, of course, was for the overthrow of that government." This statement went unchallenged.

Brecht's English was more than adequate, but he used an interpreter throughout his testimony, a tactic that allowed him to play subtle games with language. When committee members found Communist leanings in lines from English editions of his poems, he would repeat the lines in German for the interpreter, who would then retranslate them; and somehow they would come out innocuous. At one point a committee member read one of Brecht's revolutionary poems out loud in English, and asked him if he had written it. "No," he responded, "I wrote a German poem, which is very different from this." The author's elusive answers baffled the committee members, but his politeness and the way he yielded to their authority made it impossible for them to get angry with him.

After only an hour of questioning, the committee members had had enough. "Thank you very much," said the chairman, "You are a good example to the [other] witnesses." Not only did they free him, they offered to help him if he had any trouble with immigration officials who might detain him for their own reasons. The following day, Brecht left the United States, never to return.

Interpretation

The Hollywood 19's confrontational approach won them a lot of sympathy, and years later they gained a kind of vindication in public opinion. But they were also blacklisted, and lost valuable years of profitable working time. Brecht, on the other hand, expressed his disgust at the committee more indirectly. It was not that he changed his beliefs or compromised his values; instead, during his short testimony, he kept the upper hand by ap-

pearing to yield while all the time running circles around the committee with vague responses, outright lies that went unchallenged because they were wrapped in enigmas, and word games. In the end he kept the freedom to continue his revolutionary writing (as opposed to suffering imprisonment or detainment in the United States), even while subtly mocking the committee and its authority with his pseudo-obedience.

Keep in mind the following: People trying to make a show of their authority are easily deceived by the surrender tactic. Your outward sign of submission makes them feel important; satisfied that you respect them, they become easier targets for a later counterattack, or for the kind of indirect ridicule used by Brecht. Measuring your power over time, never sacrifice long-term maneuverability for the short-lived glories of martyrdom.

When the great lord passes, the wise peasant bows deeply and silently farts.

Ethiopian proverb

KEYS TO POWER

What gets us into trouble in the realm of power is often our own overreaction to the moves of our enemies and rivals. That overreaction creates problems we would have avoided had we been more reasonable. It also has an endless rebound effect, for the enemy then overreacts as well, much as the Athenians did to the Melians. It is always our first instinct to react, to meet aggression with some other kind of aggression. But the next time someone pushes you and you find yourself starting to react, try this: Do not resist or fight back, but yield, turn the other cheek, bend. You will find that this often neutralizes their behavior—they expected, even wanted you to react with force and so they are caught off-guard and confounded by your lack of resistance. By yielding, you in fact control the situation, because your surrender is part of a larger plan to lull them into believing they have defeated you.

This is the essence of the surrender tactic: Inwardly you stay firm, but outwardly you bend. Deprived of a reason to get angry, your opponents will often be bewildered instead. And they are unlikely to react with more violence, which would demand a reaction from you. Instead you are allowed the time and space to plot the countermoves that will bring them down. In the battle of the intelligent against the brutal and the aggressive, the surrender tactic is the supreme weapon. It does require self-control: Those who genuinely surrender give up their freedom, and may be crushed by the humiliation of their defeat. You have to remember that you only *appear* to surrender, like the animal that plays dead to save its hide.

We have seen that it can be better to surrender than to fight; faced with a more powerful opponent and a sure defeat, it is often also better to surrender than to run away. Running away may save you for the time being, but the aggressor will eventually catch up with you. If you surrender instead, you have an opportunity to coil around your enemy and strike with your fangs from close up.

In 473 B.C., in ancient China, King Goujian of Yue suffered a horrible defeat from the ruler of Wu in the battle of Fujiao. Goujian wanted to flee, but he had an adviser who told him to surrender and to place himself in the service of the ruler of Wu, from which position he could study the man and plot his revenge. Deciding to follow this advice, Goujian gave the ruler all of his riches, and went to work in his conqueror's stables as the lowest servant. For three years he humbled himself before the ruler, who then, finally satisfied of his loyalty, allowed him to return home. Inwardly, however, Goujian had spent those three years gathering information and plotting revenge. When a terrible drought struck Wu, and the kingdom was weakened by inner turmoil, he raised an army, invaded, and won with ease. That is the power behind surrender: It gives you the time and the flexibility to plot a devastating counterblow. Had Goujian run away, he would have lost this chance.

When foreign trade began to threaten Japanese independence in the mid-nineteenth century, the Japanese debated how to defeat the foreigners. One minister, Hotta Masayoshi, wrote a memorandum in 1857 that influenced Japanese policy for years to come: "I am therefore convinced that our policy should be to conclude friendly alliances, to send ships to foreign countries everywhere and conduct trade, to copy the foreigners where they are at their best and so repair our own shortcomings, to foster our national strength and complete our armaments, and so *gradually* subject the foreigners to our influence until in the end all the countries of the world know the blessings of perfect tranquillity and our hegemony is acknowledged throughout the globe." This is a brilliant application of the Law: Use surrender to gain access to your enemy. Learn his ways, insinuate yourself with him slowly, outwardly conform to his customs, but inwardly maintain your own culture. Eventually you will emerge victorious, for while he considers you weak and inferior, and takes no precautions against you, you are using the time to catch up and surpass him. This soft, permeable form of invasion is often the best, for the enemy has nothing to react against, prepare for, or resist. And had Japan resisted Western influence by force, it might well have suffered a devastating invasion that would have permanently altered its culture.

Surrender can also offer a way of mocking your enemies, of turning their power against them, as it did for Brecht. Milan Kundera's novel *The Joke*, based on the author's experiences in a penal camp in Czechoslovakia, tells the story of how the prison guards organized a relay race, guards against prisoners. For the guards this was a chance to show off their physical superiority. The prisoners knew they were expected to lose, so they went out of their way to oblige—miming exaggerated exertion while barely moving, running a few yards and collapsing, limping, jogging ever so slowly while the guards raced ahead at full speed. Both by joining the race and by losing it, they had obliged the guards obediently; but their "overobedience" had mocked the event to the point of ruining it. Overobedience—surrender—was here a way to demonstrate superiority in a reverse manner. Resistance would have engaged the prisoners in the cycle of

violence, lowering them to the guards' level. *Overobeying* the guards, however, made them ridiculous, yet they could not rightly punish the prisoners, who had only done what they asked.

Power is always in flux—since the game is by nature fluid, and an arena of constant struggle, those with power almost always find themselves eventually on the downward swing. If you find yourself temporarily weakened, the surrender tactic is perfect for raising yourself up again—it disguises your ambition; it teaches you patience and self-control, key skills in the game; and it puts you in the best possible position for taking advantage of your oppressor's sudden slide. If you run away or fight back, in the long run you cannot win. If you surrender, you will almost always emerge victorious.

Image: An Oak
Tree. The oak
that resists the
wind loses its
branches one
by one, and
with nothing
left to protect
it, the trunk fi-
nally snaps.
The oak that
bends lives long-
er, its trunk grow-
ing wider, its roots
deeper and more tenacious.

Authority: Ye have heard that it hath been said, An eye for an eye and a tooth for a tooth: But I say unto you, That ye resist not evil: but whosoever shall smite thee on thy right cheek, turn to him the other also. And if any man will sue thee at the law, and take away thy coat, let them have thy cloak also. And whosoever shall compel thee to go a mile, go with him twain. (Jesus Christ, in *Matthew* 5:38–41)

REVERSAL

The point of surrendering is to save your hide for a later date when you can reassert yourself. It is precisely to avoid martyrdom that one surrenders, but there are times when the enemy will not relent, and martyrdom seems the only way out. Furthermore, if you are willing to die, others may gain power and inspiration from your example.

Yet martyrdom, surrender's reversal, is a messy, inexact tactic, and is as violent as the aggression it combats. For every famous martyr there are thousands more who have inspired neither a religion nor a rebellion, so that if martyrdom does sometimes grant a certain power, it does so unpredictably. More important, you will not be around to enjoy that power, such as it is. And there is finally something selfish and arrogant about martyrs, as if they felt their followers were less important than their own glory.

When power deserts you, it is best to ignore this Law's reversal. Leave martyrdom alone: The pendulum will swing back your way eventually, and you should stay alive to see it.

LAW

23

CONCENTRATE YOUR FORCES

JUDGMENT

Conserve your forces and energies by keeping them concentrated at their strongest point. You gain more by finding a rich mine and mining it deeper, than by flitting from one shallow mine to another—intensity defeats extensity every time. When looking for sources of power to elevate you, find the one key patron, the fat cow who will give you milk for a long time to come.

TRANSGRESSION OF THE LAW

THE GOOSE AND THE HORSE

A goose who was plucking grass upon a common thought herself affronted by a horse who fed near her; and, in hissing accents, thus addressed him: "I am certainly a more noble and perfect animal than you, for the whole range and extent of your faculties is confined to one element. I can walk upon the ground as well as you; I have, besides, wings, with which I can raise myself in the air; and when I please, I can sport on ponds and lakes, and refresh myself in the cool waters. I enjoy the different powers of a bird, a fish, and a quadruped."

The horse, snorting somewhat disdainfully, replied: "It is true you inhabit three elements, but you make no very distinguished figure in any one of them. You fly, indeed; but your flight is so heavy and clumsy, that you have no right to put yourself on a level with the lark or the swallow. You can swim on the surface of the waters, but you cannot live in them as fishes do; you cannot find your food in that element, nor glide smoothly along the bottom of the waves. And when you walk, or rather waddle, upon the ground, with your broad feet and your long neck stretched out,

In China in the early sixth century B.C., the kingdom of Wu began a war with the neighboring northern provinces of the Middle Kingdom. Wu was a growing power, but it lacked the great history and civilization of the Middle Kingdom, for centuries the center of Chinese culture. By defeating the Middle Kingdom, the king of Wu would instantly raise his status.

The war began with great fanfare and several victories, but it soon bogged down. A victory on one front would leave the Wu armies vulnerable on another. The king's chief minister and adviser, Wu Tzu-hsiu, warned him that the barbarous state of Yueh, to the south, was beginning to notice the kingdom of Wu's problems and had designs to invade. The king only laughed at such worries—one more big victory and the great Middle Kingdom would be his.

In the year 490, Wu Tzu-hsiu sent his son away to safety in the kingdom of Ch'i. In doing so he sent the king a signal that he disapproved of the war, and that he believed the king's selfish ambition was leading Wu to ruin. The king, sensing betrayal, lashed out at his minister, accusing him of a lack of loyalty and, in a fit of anger, ordered him to kill himself. Wu Tzu-hsiu obeyed his king, but before he plunged the knife into his chest, he cried, "Tear out my eyes, oh King, and fix them on the gate of Wu, so that I may see the triumphant entry of Yueh."

As Wu Tzu-hsiu had predicted, within a few years a Yueh army passed beneath the gate of Wu. As the barbarians surrounded the palace, the king remembered his minister's last words—and felt the dead man's disembodied eyes watching his disgrace. Unable to bear his shame, the king killed himself, "covering his face so that he would not have to meet the reproachful gaze of his minister in the next world."

Interpretation

The story of Wu is a paradigm of all the empires that have come to ruin by overreaching. Drunk with success and sick with ambition, such empires expand to grotesque proportions and meet a ruin that is total. This is what happened to ancient Athens, which lusted for the faraway island of Sicily and ended up losing its empire. The Romans stretched the boundaries of their empire to encompass vast territories; in doing so they increased their vulnerability, and the chances of invasion from yet another barbarian tribe. Their useless expansion led their empire into oblivion.

For the Chinese, the fate of the kingdom of Wu serves as an elemental lesson on what happens when you dissipate your forces on several fronts, losing sight of distant dangers for the sake of present gain. "If you are not in danger," says Sun-tzu, "do not fight." It is almost a physical law: What is bloated beyond its proportions inevitably collapses. The mind must not wander from goal to goal, or be distracted by success from its sense of purpose and proportion. What is concentrated, coherent, and connected to its past has power. What is dissipated, divided, and distended rots and falls to the ground. The bigger it bloats, the harder it falls.

OBSERVANCE OF THE LAW

The Rothschild banking family had humble beginnings in the Jewish ghetto of Frankfurt, Germany. The city's harsh laws made it impossible for Jews to mingle outside the ghetto, but the Jews had turned this into a virtue—it made them self-reliant, and zealous to preserve their culture at all costs. Mayer Amschel, the first of the Rothschilds to accumulate wealth by lending money, in the late eighteenth century, well understood the power that comes from this kind of concentration and cohesion.

First, Mayer Amschel allied himself with one family, the powerful princes of Thurn und Taxis. Instead of spreading his services out, he made himself these princes' primary banker. Second, he entrusted none of his business to outsiders, using only his children and close relatives. The more unified and tight-knit the family, the more powerful it would become. Soon Mayer Amschel's five sons were running the business. And when Mayer Amschel lay dying, in 1812, he refused to name a principal heir, instead setting up all of his sons to continue the family tradition, so that they would stay united and would resist the dangers of diffusion and of infiltration by outsiders.

Once Mayer Amschel's sons controlled the family business, they decided that the key to wealth on a larger scale was to secure a foothold in the finances of Europe as a whole, rather than being tied to any one country or prince. Of the five brothers, Nathan had already opened up shop in London. In 1813 James moved to Paris. Amschel remained in Frankfurt, Salomon established himself in Vienna, and Karl, the youngest son, went to Naples. With each sphere of influence covered, they could tighten their hold on Europe's financial markets.

This widespread network, of course, opened the Rothschilds to the very danger of which their father had warned them: diffusion, division, dissension. They avoided this danger, and established themselves as the most powerful force in European finance and politics, by once again resorting to the strategy of the ghetto—excluding outsiders, concentrating their forces. The Rothschilds established the fastest courier system in Europe, allowing them to get news of events before all their competitors. They held a virtual monopoly on information. And their internal communications and correspondence were written in Frankfurt Yiddish, and in a code that only the brothers could decipher. There was no point in stealing this information—no one could understand it. “Even the shewdest bankers cannot find their way through the Rothschild maze,” admitted a financier who had tried to infiltrate the clan.

In 1824 James Rothschild decided it was time to get married. This presented a problem for the Rothschilds, since it meant incorporating an outsider into the Rothschild clan, an outsider who could betray its secrets. James therefore decided to marry within the family, and chose the daughter of his brother Salomon. The brothers were ecstatic—this was the perfect solution to their marriage problems. James's choice now became the family policy: Two years later, Nathan married off his daughter to

hissing at everyone who passes by, you bring upon yourself the derision of all beholders. I confess that I am only formed to move upon the ground; but how graceful is my make! How well turned my limbs! How highly finished my whole body! How great my strength! How astonishing my speed! I had much rather be confined to one element, and be admired in that, than be a goose in all!”

FABLES FROM
BOCCACCIO AND
CHAUCER,
DR. JOHN AIKIN,
1747–1822

Beware of dissipating your powers; strive constantly to concentrate them. Genius thinks it can do whatever it sees others doing, but it is sure to repent of every ill-judged outlay.

JOHANN VON GOETHE,
1749–1832

Salomon's son. In the years to come, the five brothers arranged eighteen matches among their children, sixteen of these being contracted between first cousins.

"We are like the mechanism of a watch: Each part is essential," said brother Salomon. As in a watch, every part of the business moved in concert with every other, and the inner workings were invisible to the world, which only saw the movement of the hands. While other rich and powerful families suffered irrecoverable downturns during the tumultuous first half of the nineteenth century, the tight-knit Rothschilds managed not only to preserve but to expand their unprecedented wealth.

Interpretation

The Rothschilds were born in strange times. They came from a place that had not changed in centuries, but lived in an age that gave birth to the Industrial Revolution, the French Revolution, and an endless series of upheavals. The Rothschilds kept the past alive, resisted the patterns of dispersion of their era and for this are emblematic of the law of concentration.

No one represents this better than James Rothschild, the son who established himself in Paris. In his lifetime James witnessed the defeat of Napoleon, the restoration of the Bourbon monarchy, the bourgeois monarchy of Orléans, the return to a republic, and finally the enthronement of Napoleon III. French styles and fashions changed at a relentless pace during all this turmoil. Without appearing to be a relic of the past, James steered his family as if the ghetto lived on within them. He kept alive his clan's inner cohesion and strength. Only through such an anchoring in the past was the family able to thrive amidst such chaos. Concentration was the foundation of the Rothschilds' power, wealth, and stability.

The best strategy is always to be very strong; first in general, then at the decisive point. . . . There is no higher and simpler law of strategy than that of keeping one's forces concentrated. . . . In short the first principle is: act with the utmost concentration.

On War, Carl von Clausewitz, 1780–1831

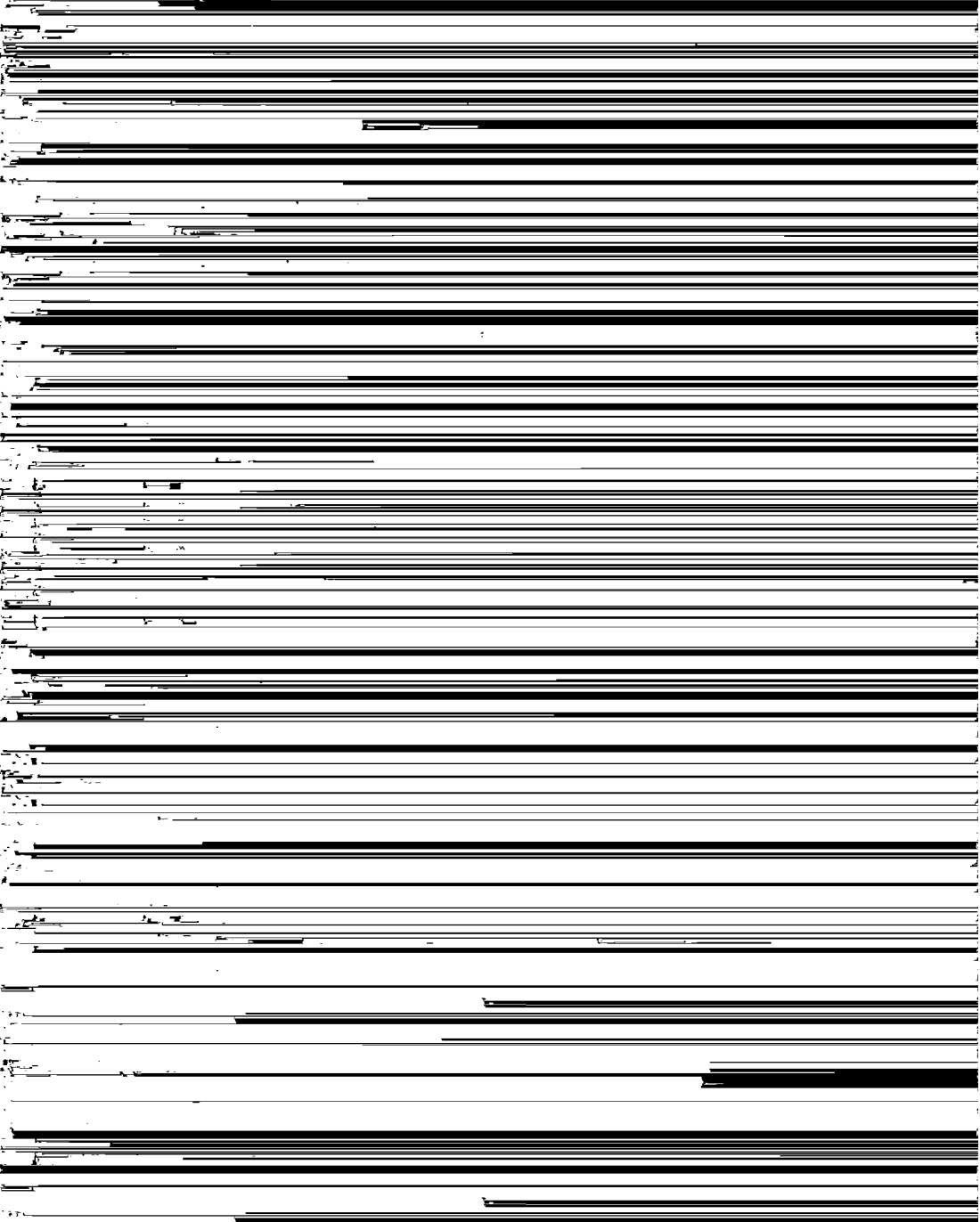
KEYS TO POWER

The world is plagued by greater and greater division—within countries, political groups, families, even individuals. We are all in a state of total distraction and diffusion, hardly able to keep our minds in one direction before we are pulled in a thousand others. The modern world's level of conflict is higher than ever, and we have internalized it in our own lives.

The solution is a form of retreat inside ourselves, to the past, to more concentrated forms of thought and action. As Schopenhauer wrote, "Intellect is a magnitude of intensity, not a magnitude of extensity." Napoleon knew the value of concentrating your forces at the enemy's weakest spot—

it was the secret of his success on the battlefield. But his willpower and his mind were equally modeled on this notion. Single-mindedness of purpose, total concentration on the goal, and the use of these qualities against people less focused, people in a state of distraction—such an arrow will find its mark every time and overwhelm the enemy.

Casanova attributed his success in life to his ability to concentrate on a single goal and push at it until it yielded. It was his ability to give himself



*Always set to work
without misgivings on
the score of impru-
dence. Fear of failure
in the mind of a
performer is, for an
onlooker, already
evidence of failure. . . .
Actions are dangerous
when there is doubt as
to their wisdom;
it would be safer
to do nothing.*

BALTASAR GRACIÁN,
1601–1658

THE STORY OF
HUH SAENG

*In a lowly thatched
cottage in the Namsan
Valley there lived a
poor couple, Mr. and
Mrs. Huh Saeng.
The husband confined
himself for seven years
and only read books in
his cold room. . . .
One day his wife, all in
tears, said to him:
“Look here, my good
man! What is the use of
all your book reading?
I have spent my youth
in washing and sewing
for other people and
yet I have no spare
jacket or skirt to wear
and I have had no food
to eat during the past
three days. I am hungry
and cold. I can stand
it no more!”
. . . . Hearing these
words, the middle-aged
scholar closed his
book . . . rose to his feet
and . . . without saying
another word, he went
out of doors. . . . Arriv-
ing in the heart of the*

Suddenly, however, he realized that the director had changed his tone. Instead of talking about the tower, he was complaining about his low salary, about his wife’s desire for a fur coat, about how galling it was to work hard and be unappreciated. It dawned on Monsieur P. that this high government official was asking for a bribe. The effect on him, though, was not outrage but relief. Now he was sure that Lustig was for real, since in all of his previous encounters with French bureaucrats, they had inevitably asked for a little greasing of the palm. His confidence restored, Monsieur P. slipped the director several thousand francs in bills, then handed him the certified check. In return he received the documentation, including an impressive-looking bill of sale. He left the hotel, dreaming of the profits and fame to come.

Over the next few days, however, as Monsieur P. waited for correspondence from the government, he began to realize that something was amiss. A few telephone calls made it clear that there was no deputy director general Lustig, and there were no plans to destroy the Eiffel Tower: He had been bilked of over 250,000 francs!

Monsieur P. never went to the police. He knew what kind of reputation he would get if word got out that he had fallen for one of the most absurdly audacious cons in history. Besides the public humiliation, it would have been business suicide.

Interpretation

Had Count Victor Lustig, *con artiste extraordinaire*, tried to sell the Arc de Triomphe, a bridge over the Seine, a statue of Balzac, no one would have believed him. But the Eiffel Tower was just too large, too improbable to be part of a con job. In fact it was so improbable that Lustig was able to return to Paris six months later and “resell” the Eiffel Tower to a different scrap-iron dealer, and for a higher price—a sum in francs equivalent today to over \$1,500,000!

Largeness of scale deceives the human eye. It distracts and awes us, and is so self-evident that we cannot imagine there is any illusion or deception afoot. Arm yourself with bigness and boldness—stretch your deceptions as far as they will go and then go further. If you sense that the sucker has suspicions, do as the intrepid Lustig did: Instead of backing down, or lowering his price, he simply raised his price higher, by asking for and getting a bribe. Asking for more puts the other person on the defensive, cuts out the nibbling effect of compromise and doubt, and overwhelms with its boldness.

Observance II

On his deathbed in 1533, Vasily III, the Grand Duke of Moscow and ruler of a semi-united Russia, proclaimed his three-year-old son, Ivan IV, as his successor. He appointed his young wife, Helena, as regent until Ivan reached his majority and could rule on his own. The aristocracy—the boyars—secretly rejoiced: For years the dukes of Moscow had been trying to extend their authority over the boyars’ turf. With Vasily dead, his heir a mere three years old, and a young woman in charge of the dukedom, the

boyars would be able to roll back the dukes' gains, wrest control of the state, and humiliate the royal family.

Aware of these dangers, young Helena turned to her trusted friend Prince Ivan Obolensky to help her rule. But after five years as regent she suddenly died—poisoned by a member of the Shuisky family, the most fearsome boyar clan. The Shuisky princes seized control of the government and threw Obolensky in prison, where he starved to death. At the age of eight, Ivan was now a despised orphan, and any boyar or family member who took an interest in him was immediately banished or killed.

And so Ivan roamed the palace, hungry, ill clothed, and often in hiding from the Shuiskys, who treated him roughly when they saw him. On some days they would search him out, clothe him in royal robes, hand him a scepter, and set him on the throne—a kind of mock ritual in which they lampooned his royal pretensions. Then they would shoo him away. One evening several of them chased the Metropolitan—the head of the Russian church—through the palace, and he sought refuge in Ivan's room; the boy watched in horror as the Shuiskys entered, hurled insults, and beat the Metropolitan mercilessly.

Ivan had one friend in the palace, a boyar named Vorontsov who consoled and advised him. One day, however, as he, Vorontsov, and the newest Metropolitan conferred in the palace refectory, several Shuiskys burst in, beat up Vorontsov, and insulted the Metropolitan by tearing and treading on his robes. Then they banished Vorontsov from Moscow.

Throughout all this Ivan maintained a strict silence. To the boyars it seemed that their plan had worked: The young man had turned into a terrified and obedient idiot. They could ignore him now, even leave him alone. But on the evening of December 29, 1543, Ivan, now thirteen, asked Prince Andrei Shuisky to come to his room. When the prince arrived, the room was filled with palace guards. Young Ivan then pointed his finger at Andrei and ordered the guards to arrest him, have him killed, and throw his body to the bloodhounds in the royal kennel. Over the next few days Ivan had all of Andrei's close associates arrested and banished. Caught off-guard by his sudden boldness, the boyars now stood in mortal terror of this youth, the future Ivan the Terrible, who had planned and waited for five years to execute this one swift and bold act that would secure his power for decades to come.

Interpretation

The world is full of boyars—men who despise you, fear your ambition, and jealously guard their shrinking realms of power. You need to establish your authority and gain respect, but the moment the boyars sense your growing boldness, they will act to thwart you. This is how Ivan met such a situation: He lay low, showing neither ambition nor discontent. He waited, and when the time came he brought the palace guards over to his side. The guards had come to hate the cruel Shuiskys. Once they agreed to Ivan's plan, he struck with the swiftness of a snake, pointing his finger at Shuisky and giving him no time to react.

Negotiate with a boyar and you create opportunities for him. A small

city, he stopped a passing gentleman. "Hello, my friend! Who is the richest man in town?" "Poor countryman! Don't you know Byôn-ssi, the millionaire? His glittering tile-roofed house pierced by twelve gates is just over there." Huh Saeng bent his steps to the rich man's house. Having entered the big gate, he flung the guest-room door open and addressed the host: "I need 10,000 yang for capital for my commercial business and I want you to lend me the money." "Alright, sir. Where shall I send the money?"

"To the Ansông Market in care of a commission merchant."

"Very well, sir. I will draw on Kim, who does the biggest commission business in the Ansông Market. You'll get the money there."

"Good-bye, sir." When Huh Saeng was gone, all the other guests in the room asked Byôn-ssi why he gave so much money to a beggarlike stranger whose family name was unknown to him. But the rich man replied with a triumphant face: "Even though he was in ragged clothes, he spoke clearly to the point without betraying shame or inferiority, unlike common people who want to borrow money for a bad debt. Such a man as he is either mad or self-confident in doing business. But judging from his dauntless eyes and booming voice he

*is an uncommon man
with a superhuman
brain, worthy of my
trust. I know money
and I know men.
Money often makes a
man small, but a man
like him makes big
money. I am only glad
to have helped a big
man do big business."*

BEHIND THE SCENES
OF ROYAL PALACES
IN KOREA,
HA TAE-HUNG,
1983

*Fear, which always
magnifies objects, gives
a body to all their
fancies, which takes for
its form whatever they
conceive to exist in
their enemies' thoughts;
so that fearful persons
seldom fail to fall into
real inconveniences,
occasioned by imagi-
nary dangers. . . . And
the duke, whose
predominant character
was to be always full of
fear and of distrust,
was, of all men I have
ever seen, the most
capable of falling into
false steps, by the dread
he had of falling into
them; being in that like
unto hares.*

CARDINAL DE RETZ,
1613–1679

compromise becomes the toehold he needs to tear you apart. The sudden bold move, without discussion or warning, obliterates these toeholds, and builds your authority. You terrify doubters and despisers and gain the confidence of the many who admire and glorify those who act boldly.

Observance III

In 1514 the twenty-two-year-old Pietro Aretino was working as a lowly assistant scullion to a wealthy Roman family. He had ambitions of greatness as a writer, to enflame the world with his name, but how could a mere lackey hope to realize such dreams?

That year Pope Leo X received from the king of Portugal an embassy that included many gifts, most prominent among them a great elephant, the first in Rome since imperial times. The pontiff adored this elephant and showered it with attention and gifts. But despite his love and care, the elephant, which was called Hanno, became deathly ill. The pope summoned doctors, who administered a five-hundred-pound purgative to the elephant, but all to no avail. The animal died and the pope went into mourning. To console himself he summoned the great painter Raphael and ordered him to create a life-sized painting of Hanno above the animal's tomb, bearing the inscription, "What nature took away, Raphael has with his art restored."

Over the next few days, a pamphlet circulated throughout Rome that caused great merriment and laughter. Entitled "The Last Will and Testament of the Elephant Hanno," it read, in part, "To my heir the Cardinal Santa Croce, I give my knees, so that he can imitate my genuflections. . . . To my heir Cardinal Santi Quattro, I give my jaws, so that he can more readily devour all of Christ's revenues. . . . To my heir Cardinal Medici, I give my ears, so that he can hear everyone's doings. . . ." To Cardinal Grassi, who had a reputation for lechery, the elephant bequeathed the appropriate, oversized part of his own anatomy.

On and on the anonymous pamphlet went, sparing none of the great in Rome, not even the pope. With each one it took aim at their best-known weakness. The pamphlet ended with verse, "See to it that Aretino is your friend / For he is a bad enemy to have. / His words alone could ruin the high pope / So God guard everyone from his tongue."

Interpretation

With one short pamphlet, Aretino, son of a poor shoemaker and a servant himself, hurled himself to fame. Everyone in Rome rushed to find out who this daring young man was. Even the pope, amused by his audacity, sought him out and ended up giving him a job in the papal service. Over the years he came to be known as the "Scourge of Princes," and his biting tongue earned him the respect and fear of the great, from the king of France to the Hapsburg emperor.

The Aretino strategy is simple: When you are as small and obscure as David was, you must find a Goliath to attack. The larger the target, the more attention you gain. The bolder the attack, the more you stand out

from the crowd, and the more admiration you earn. Society is full of those who think daring thoughts but lack the guts to print and publicize them. Voice what the public feels—the expression of shared feelings is always powerful. Search out the most prominent target possible and sling your boldest shot. The world will enjoy the spectacle, and will honor the underdog—you, that is—with glory and power.

KEYS TO POWER

Most of us are timid. We want to avoid tension and conflict and we want to be liked by all. We may contemplate a bold action but we rarely bring it to life. We are terrified of the consequences, of what others might think of us, of the hostility we will stir up if we dare go beyond our usual place.

Although we may disguise our timidity as a concern for others, a desire not to hurt or offend them, in fact it is the opposite—we are really self-absorbed, worried about ourselves and how others perceive us. Boldness, on the other hand, is outer-directed, and often makes people feel more at ease, since it is less self-conscious and less repressed.

This can be seen most clearly in seduction. All great seducers succeed through effrontery. Casanova's boldness was not revealed in a daring approach to the woman he desired, or in intrepid words to flatter her; it consisted in his ability to surrender himself to her completely and to make her believe he would do anything for her, even risk his life, which in fact he sometimes did. The woman on whom he lavished this attention understood that he held nothing back from her. This was infinitely more flattering than compliments. At no point during the seduction would he show hesitation or doubt, simply because he never felt it.

Part of the charm of being seduced is that it makes us feel engulfed, temporarily outside of ourselves and the usual doubts that permeate our lives. The moment the seducer hesitates, the charm is broken, because we become aware of the process, of their deliberate effort to seduce us, of their self-consciousness. Boldness directs attention outward and keeps the illusion alive. It never induces awkwardness or embarrassment. And so we admire the bold, and prefer to be around them, because their self-confidence infects us and draws us outside our own realm of inwardness and reflection.

Few are born bold. Even Napoleon had to cultivate the habit on the battlefield, where he knew it was a matter of life and death. In social settings he was awkward and timid, but he overcame this and practiced boldness in every part of his life because he saw its tremendous power, how it could literally enlarge a man (even one who, like Napoleon, was in fact conspicuously small). We also see this change in Ivan the Terrible: A harmless boy suddenly transforms himself into a powerful young man who commands authority, simply by pointing a finger and taking bold action.

You must practice and develop your boldness. You will often find uses for it. The best place to begin is often the delicate world of negotiation, par-

THE BOY AND THE NETTLE

A boy playing in the fields got stung by a nettle. He ran home to his mother, telling her that he had but touched that nasty weed, and it had stung him. "It was just your touching it, my boy," said the mother, "that caused it to sting you; the next time you meddle with a nettle, grasp it tightly, and it will do you no hurt." Do boldly what you do at all.

FABLES,
AESOP,
SIXTH CENTURY B.C.

HOW TO BE VICTORIOUS IN LOVE

But with those who have made an impression upon your heart, I have noticed that you are timid. This quality might affect a bourgeoisie, but you must attack the heart of a woman of the world with other weapons. . . . I tell you on behalf of women: there is not one of us who does not prefer a little rough handling to too much consideration. Men lose through blundering more hearts than virtue saves. The more timidity a lover shows with us the more it concerns our pride to goad him on; the more respect he has for our

resistance, the more respect we demand of him. We would willingly say to you men: "Ah, in pity's name do not suppose us to be so very virtuous; you are forcing us to have too much of it. . . ."

We are continually struggling to hide the fact that we have permitted ourselves to be loved. Put a woman in a position to say that she has yielded only to a species of violence, or to surprise: persuade her that you do not undervalue her, and I will answer for her heart. . . . A little more boldness on your part would put you both at your ease. Do you remember what M. de la Rochefoucauld told you lately: "A reasonable man in love may act like a madman, but he should not and cannot act like an idiot."

LIFE, LETTERS, AND
EPICUREAN PHILOSOPHY
OF NINON DE LENCLOS.
NINON DE LENCLOS,
1620–1705

ticularly those discussions in which you are asked to set your own price. How often we put ourselves down by asking for too little. When Christopher Columbus proposed that the Spanish court finance his voyage to the Americas, he also made the insanely bold demand that he be called "Grand Admiral of the Ocean." The court agreed. The price he set was the price he received—he demanded to be treated with respect, and so he was. Henry Kissinger too knew that in negotiation, bold demands work better than starting off with piecemeal concessions and trying to meet the other person halfway. Set your value high, and then, as Count Lustig did, set it higher.

Understand: If boldness is not natural, neither is timidity. It is an acquired habit, picked up out of a desire to avoid conflict. If timidity has taken hold of you, then, root it out. Your fears of the consequences of a bold action are way out of proportion to reality, and in fact the consequences of timidity are worse. Your value is lowered and you create a self-fulfilling cycle of doubt and disaster. Remember: The problems created by an audacious move can be disguised, even remedied, by more and greater audacity.

Image: The Lion and the Hare. The lion creates no gaps in his way—his movements are too swift, his jaws too quick and powerful. The timid hare will do anything to escape danger, but in its haste to retreat and flee, it backs into traps, hops smack into its enemies' jaws.

Authority: I certainly think that it is better to be impetuous than cautious, for fortune is a woman, and it is necessary, if you wish to master her, to conquer her by force; and it can be seen that she lets herself be overcome by the bold rather than by those who proceed coldly. And therefore, like a woman, she is always a friend to the young, because they are less cautious, fiercer, and master her with greater audacity. (Niccolò Machiavelli, 1469–1527)

REVERSAL

Boldness should never be the strategy behind all of your actions. It is a tactical instrument, to be used at the right moment. Plan and think ahead, and make the final element the bold move that will bring you success. In other words, since boldness is a learned response, it is also one that you learn to control and utilize at will. To go through life armed only with audacity would be tiring and also fatal. You would offend too many people, as is proven by those who cannot control their boldness. One such person was Lola Montez; her audacity brought her triumphs and led to her seduction of the king of Bavaria. But since she could never rein in her boldness, it also led to her downfall—in Bavaria, in England, wherever she turned. It crossed the border between boldness and the appearance of cruelty, even insanity. Ivan the Terrible suffered the same fate: When the power of boldness brought him success, he stuck to it, to the point where it became a life-long pattern of violence and sadism. He lost the ability to tell when boldness was appropriate and when it was not.

Timidity has no place in the realm of power; you will often benefit, however, by being able to feign it. At that point, of course, it is no longer timidity but an offensive weapon: You are luring people in with your show of shyness, all the better to pounce on them boldly later.

LAW

29

PLAN ALL THE WAY TO THE END

JUDGMENT

The ending is everything. Plan all the way to it, taking into account all the possible consequences, obstacles, and twists of fortune that might reverse your hard work and give the glory to others. By planning to the end you will not be overwhelmed by circumstances and you will know when to stop. Gently guide fortune and help determine the future by thinking far ahead.

TRANSGRESSION OF THE LAW

In 1510 a ship set out from the island of Hispaniola (now Haiti and the Dominican Republic) for Venezuela, where it was to rescue a besieged Spanish colony. Several miles out of port, a stowaway climbed out of a provision chest: Vasco Núñez de Balboa, a noble Spaniard who had come to the New World in search of gold but had fallen into debt and had escaped his creditors by hiding in the chest.

Balboa had been obsessed with gold ever since Columbus had returned to Spain from his voyages with tales of a fabulous but as yet undiscovered kingdom called El Dorado. Balboa was one of the first adventurers to come in search of Columbus's land of gold, and he had decided from the beginning that he would be the one to find it, through sheer audacity and single-mindedness. Now that he was free of his creditors, nothing would stop him.

Unfortunately the ship's owner, a wealthy jurist named Francisco Fernández de Enciso, was furious when told of the stowaway, and he ordered that Balboa be left on the first island they came across. Before they found any island, however, Enciso received news that the colony he was to rescue had been abandoned. This was Balboa's chance. He told the sailors of his previous voyages to Panama, and of the rumors he had heard of gold in the area. The excited sailors convinced Enciso to spare Balboa's life, and to establish a colony in Panama. Weeks later they named their new settlement "Darien."

Darien's first governor was Enciso, but Balboa was not a man to let others steal the initiative. He campaigned against Enciso among the sailors, who eventually made it clear that they preferred him as governor. Enciso fled to Spain, fearing for his life. Months later, when a representative of the Spanish crown arrived to establish himself as the new, official governor of Darien, he was turned away. On his return voyage to Spain, this man drowned; the drowning was accidental, but under Spanish law, Balboa had murdered the governor and usurped his position.

Balboa's bravado had got him out of scrapes before, but now his hopes of wealth and glory seemed doomed. To lay claim to El Dorado, should he discover it, he would need the approval of the Spanish king—which, as an outlaw, he would never receive. There was only one solution. Panamanian Indians had told Balboa of a vast ocean on the other side of the Central American isthmus, and had said that by traveling south upon this western coast, he would reach a fabulous land of gold, called by a name that to his ears sounded like "Biru." Balboa decided he would cross the treacherous jungles of Panama and become the first European to bathe his feet in this new ocean. From there he would march on El Dorado. If he did this on Spain's behalf, he would obtain the eternal gratitude of the king, and would secure his own reprieve—only he had to act before Spanish authorities came to arrest him.

In 1513, then, Balboa set out, with 190 soldiers. Halfway across the isthmus (some ninety miles wide at that point), only sixty soldiers re-

There are very few men—and they are the exceptions—who are able to think and feel beyond the present moment.

CARL VON CLAUSEWITZ,
1780–1831

THE TWO FROGS

Two frogs dwelt in the same pool. The pool being dried up under the summer's heat, they left it, and set out together to seek another home. As they went along they chanced to pass a deep well, amply supplied with water, on seeing which one of the frogs said to the other: "Let us descend and make our abode in this well, it will furnish us with shelter and food." The other replied with greater caution: "But suppose the water should fail us, how can we get out again from so great a depth?" Do nothing without a regard to the consequences.

FABLES,
AESOP,
SIXTH CENTURY B.C.

Look to the end, no matter what it is you are considering. Often enough, God gives a man a glimpse of happiness, and then utterly ruins him.

THE HISTORIES,
HERODOTUS,
FIFTH CENTURY B.C.

THE KING, THE SUFI,
AND THE SURGEON

In ancient times a king of Tartary was out walking with some of his noblemen. At the roadside was an abdal (a wandering Sufi), who cried out:

"Whoever will give me a hundred dinars, I will give him some good advice."

The king stopped, and said: "Abdal, what is this good advice for a hundred dinars?"

"Sir," answered the abdal, "order the sum to be given to me, and I will tell it you immediately." The king did so, expecting to hear something extraordinary.

The dervish said to him: "My advice is this: Never begin anything until you have reflected what will be the end of it." At this the nobles

and everyone else present laughed, saying that the abdal had been wise to ask for his money in advance. But

the king said: "You have no reason to laugh at the good advice this abdal has given me. No one is

mained, many having succumbed to the harsh conditions—the blood-sucking insects, the torrential rainfall, fever. Finally, from a mountaintop, Balboa became the first European to lay eyes on the Pacific Ocean. Days later he marched in his armor into its waters, bearing the banner of Castile and claiming all its seas, lands, and islands in the name of the Spanish throne.

Indians from the area greeted Balboa with gold, jewels, and precious pearls, the like of which he had never seen. When he asked where these had come from, the Indians pointed south, to the land of the Incas. But Balboa had only a few soldiers left. For the moment, he decided, he should return to Darien, send the jewels and gold to Spain as a token of good will, and ask for a large army to aid him in the conquest of El Dorado.

When news reached Spain of Balboa's bold crossing of the isthmus, his discovery of the western ocean, and his planned conquest of El Dorado, the former criminal became a hero. He was instantly proclaimed governor of the new land. But before the king and queen received word of his discovery, they had already sent a dozen ships, under the command of a man named Pedro Arias Dávila, "Pedrarias," with orders to arrest Balboa for murder and to take command of the colony. By the time Pedrarias arrived in Panama, he had learned that Balboa had been pardoned, and that he was to share the governorship with the former outlaw.

All the same, Balboa felt uneasy. Gold was his dream, El Dorado his only desire. In pursuit of this goal he had nearly died many times over, and to share the wealth and glory with a newcomer would be intolerable. He also soon discovered that Pedrarias was a jealous, bitter man, and equally unhappy with the situation. Once again, the only solution for Balboa was to seize the initiative by proposing to cross the jungle with a larger army, carrying ship-building materials and tools. Once on the Pacific coast, he would create an armada with which to conquer the Incas. Surprisingly enough, Pedrarias agreed to the plan—perhaps sensing it would never work. Hundreds died in this second march through the jungle, and the timber they carried rotted in the torrential rains. Balboa, as usual, was undaunted—no power in the world could thwart his plan—and on arriving at the Pacific he began to cut down trees for new lumber. But the men remaining to him were too few and too weak to mount an invasion, and once again Balboa had to return to Darien.

Pedrarias had in any case invited Balboa back to discuss a new plan, and on the outskirts of the settlement, the explorer was met by Francisco Pizarro, an old friend who had accompanied him on his first crossing of the isthmus. But this was a trap: Leading one hundred soldiers, Pizarro surrounded his former friend, arrested him, and returned him to Pedrarias, who tried him on charges of rebellion. A few days later Balboa's head fell into a basket, along with those of his most trusted followers. Years later Pizarro himself reached Peru, and Balboa's deeds were forgotten.

Interpretation

Most men are ruled by the heart, not the head. Their plans are vague, and when they meet obstacles they improvise. But improvisation will only bring you as far as the next crisis, and is never a substitute for thinking several steps ahead and planning to the end.

Balboa had a dream of glory and wealth, and a vague plan to reach it. Yet his bold deeds, and his discovery of the Pacific, are largely forgotten, for he committed what in the world of power is the ultimate sin: He went part way, leaving the door open for others to take over. A real man of power would have had the prudence to see the dangers in the distance—the rivals who would want to share in the conquests, the vultures that would hover once they heard the word “gold.” Balboa should have kept his knowledge of the Incas secret until after he had conquered Peru. Only then would his wealth, and his head, have been secure. Once Pedrarias arrived on the scene, a man of power and prudence would have schemed to kill or imprison him, and to take over the army he had brought for the conquest of Peru. But Balboa was locked in the moment, always reacting emotionally, never thinking ahead.

What good is it to have the greatest dream in the world if others reap the benefits and the glory? Never lose your head over a vague, open-ended dream—plan to the end.

OBSERVANCE OF THE LAW

In 1863 the Prussian premier Otto von Bismarck surveyed the chessboard of European power as it then stood. The main players were England, France, and Austria. Prussia itself was one of several states in the loosely allied German Federation. Austria, dominant member of the Federation, made sure that the other German states remained weak, divided and submissive. Bismarck believed that Prussia was destined for something far greater than servant boy to Austria.

This is how Bismarck played the game. His first move was to start a war with lowly Denmark, in order to recover the former Prussian lands of Schleswig-Holstein. He knew that these rumblings of Prussian independence might worry France and England, so he enlisted Austria in the war, claiming that he was recovering Schleswig-Holstein for their benefit. In a few months, after the war was decided, Bismarck demanded that the newly conquered lands be made part of Prussia. The Austrians of course were furious, but they compromised: First they agreed to give the Prussians Schleswig, and a year later they sold them Holstein. The world began to see that Austria was weakening and that Prussia was on the rise.

Bismarck's next move was his boldest: In 1866 he convinced King William of Prussia to withdraw from the German Federation, and in doing so to go to war with Austria itself. King William's wife, his son the crown prince, and the princes of the other German kingdoms vehemently opposed such a war. But Bismarck, undaunted, succeeded in forcing the conflict, and Prussia's superior army defeated the Austrians in the brutally

unaware of the fact that we should think well before doing anything. But we are daily guilty of not remembering, and the consequences are evil. I very much value this dervish's advice."

The king decided to bear the advice always in his mind, and commanded it to be written in gold on the walls and even engraved on his silver plate.

Not long afterward a plotter desired to kill the king. He bribed the royal surgeon with a promise of the prime ministership if he thrust a poisoned lancet into the king's arm. When the time came to let some of the king's blood, a silver basin was placed to catch the blood. Suddenly the surgeon became aware of the words engraved upon it: "Never begin anything until you have reflected what will be the end of it." It was only then that he realized that if the plotter became king he could have the surgeon killed instantly, and would not need to fulfill his bargain.

The king, seeing that the surgeon was now trembling, asked him what was wrong with him. And so he confessed the truth, at that very moment. The plotter was seized; and the king sent for all the people who had been present when the abdal gave his advice, and said to them: "Do you still laugh at the dervish?"

CARAVAN OF DREAMS,
IDRIES SHAH, 1968

He who asks fortune-tellers the future unwittingly forfeits an inner intimation of coming events that is a thousand times more exact than anything they may say.

WALTER BENJAMIN,
1892–1940

short Seven Weeks War. The king and the Prussian generals then wanted to march on Vienna, taking as much land from Austria as possible. But Bismarck stopped them—now he presented himself as on the side of peace. The result was that he was able to conclude a treaty with Austria that granted Prussia and the other German states total autonomy. Bismarck could now position Prussia as the dominant power in Germany and the head of a newly formed North German Confederation.

The French and the English began to compare Bismarck to Attila the Hun, and to fear that he had designs on all of Europe. Once he had started on the path to conquest, there was no telling where he would stop. And, indeed, three years later Bismarck provoked a war with France. First he appeared to give his permission to France's annexation of Belgium, then at the last moment he changed his mind. Playing a cat-and-mouse game, he infuriated the French emperor, Napoleon III, and stirred up his own king against the French. To no one's surprise, war broke out in 1870. The newly formed German federation enthusiastically joined in the war on France, and once again the Prussian military machine and its allies destroyed the enemy army in a matter of months. Although Bismarck opposed taking any French land, the generals convinced him that Alsace-Lorraine would become part of the federation.

Now all of Europe feared the next move of the Prussian monster, led by Bismarck, the "Iron Chancellor." And in fact a year later Bismarck founded the German Empire, with the Prussian king as the newly crowned emperor and Bismarck himself a prince. But then something strange happened: Bismarck instigated no more wars. And while the other European powers grabbed up land for colonies in other continents, he severely limited Germany's colonial acquisitions. He did not want more land for Germany, but more security. For the rest of his life he struggled to maintain peace in Europe and to prevent further wars. Everybody assumed he had changed, mellowing with the years. They had failed to understand: This was the final move of his original plan.

Interpretation

There is a simple reason why most men never know when to come off the attack: They form no concrete idea of their goal. Once they achieve victory they only hunger for more. To stop—to aim for a goal and then keep to it—seems almost inhuman, in fact; yet nothing is more critical to the maintenance of power. The person who goes too far in his triumphs creates a reaction that inevitably leads to a decline. The only solution is to plan for the long run. Foresee the future with as much clarity as the gods on Mount Olympus, who look through the clouds and see the ends of all things.

From the beginning of his career in politics, Bismarck had one goal: to form an independent German state led by Prussia. He instigated the war with Denmark not to conquer territory but to stir up Prussian nationalism and unite the country. He incited the war with Austria only to gain

Prussian independence. (This was why he refused to grab Austrian territory.) And he fomented the war with France to unite the German kingdoms against a common enemy, and thus to prepare for the formation of a united Germany.

Once this was achieved, Bismarck stopped. He never let triumph go to his head, was never tempted by the siren call of more. He held the reins tightly, and whenever the generals, or the king, or the Prussian people demanded new conquests, he held them back. Nothing would spoil the beauty of his creation, certainly not a false euphoria that pushed those around him to attempt to go past the end that he had so carefully planned.

Experience shows that, if one foresees from far away the designs to be undertaken, one can act with speed when the moment comes to execute them.

Cardinal Richelieu, 1585–1642

KEYS TO POWER

According to the cosmology of the ancient Greeks, the gods were thought to have complete vision into the future. They saw everything to come, right down to the intricate details. Men, on the other hand, were seen as victims of fate, trapped in the moment and their emotions, unable to see beyond immediate dangers. Those heroes, such as Odysseus, who were able to look beyond the present and plan several steps ahead, seemed to defy fate, to approximate the gods in their ability to determine the future. The comparison is still valid—those among us who think further ahead and patiently bring their plans to fruition seem to have a godlike power.

Because most people are too imprisoned in the moment to plan with this kind of foresight, the ability to ignore immediate dangers and pleasures translates into power. It is the power of being able to overcome the natural human tendency to react to things as they happen, and instead to train oneself to step back, imagining the larger things taking shape beyond one's immediate vision. Most people believe that they are in fact aware of the future, that they are planning and thinking ahead. They are usually deluded: What they are really doing is succumbing to their desires, to what they want the future to be. Their plans are vague, based on their imaginations rather than their reality. They may believe they are thinking all the way to the end, but they are really only focusing on the happy ending, and deluding themselves by the strength of their desire.

In 415 B.C., the ancient Athenians attacked Sicily, believing their expedition would bring them riches, power, and a glorious ending to the sixteen-year Peloponnesian War. They did not consider the dangers of an invasion so far from home; they did not foresee that the Sicilians would fight all the harder since the battles were in their own homeland, or that all of Athens's enemies would band together against them, or that war would break out on several fronts, stretching their forces way too thin. The Sicilian expedition was a complete disaster, leading to the destruction

of one of the greatest civilizations of all time. The Athenians were led into this disaster by their hearts, not their minds. They saw only the chance of glory, not the dangers that loomed in the distance.

Cardinal de Retz, the seventeenth-century Frenchman who prided himself on his insights into human schemes and why they mostly fail, analyzed this phenomenon. In the course of a rebellion he spearheaded against the French monarchy in 1651, the young king, Louis XIV, and his court had suddenly left Paris and established themselves in a palace outside the capital. The presence of the king so close to the heart of the revolution had been a tremendous burden on the revolutionaries, and they breathed a sigh of relief. This later proved their downfall, however, since the court's absence from Paris gave it much more room to maneuver. "The most ordinary cause of people's mistakes," Cardinal de Retz later wrote, "is their being too much frightened at the present danger, and not enough so at that which is remote."

The dangers that are remote, that loom in the distance—if we can see them as they take shape, how many mistakes we avoid. How many plans we would instantly abort if we realized we were avoiding a small danger only to step into a larger one. So much of power is not what you do but what you do not do—the rash and foolish actions that you refrain from before they get you into trouble. Plan in detail before you act—do not let vague plans lead you into trouble. Will this have unintended consequences? Will I stir up new enemies? Will someone else take advantage of my labors? Unhappy endings are much more common than happy ones—do not be swayed by the happy ending in your mind.

The French elections of 1848 came down to a struggle between Louis-Adolphe Thiers, the man of order, and General Louis Eugène Cavaignac, the rabble-rouser of the right. When Thiers realized he was hopelessly behind in this high-stakes race, he searched desperately for a solution. His eye fell on Louis Bonaparte, grand-nephew of the great general Napoleon, and a lowly deputy in the parliament. This Bonaparte seemed a bit of an imbecile, but his name alone could get him elected in a country yearning for a strong ruler. He would be Thiers's puppet and eventually would be pushed offstage. The first part of the plan worked to perfection, and Napoleon was elected by a large margin. The problem was that Thiers had not foreseen one simple fact: This "imbecile" was in fact a man of enormous ambition. Three years later he dissolved parliament, declared himself emperor, and ruled France for another eighteen years, much to the horror of Thiers and his party.

The ending is everything. It is the end of the action that determines who gets the glory, the money, the prize. Your conclusion must be crystal clear, and you must keep it constantly in mind. You must also figure out how to ward off the vultures circling overhead, trying to live off the carcass of your creation. And you must anticipate the many possible crises that will tempt you to improvise. Bismarck overcame these dangers because he planned to the end, kept on course through every crisis, and

never let others steal the glory. Once he had reached his stated goal, he withdrew into his shell like a turtle. This kind of self-control is godlike.

When you see several steps ahead, and plan your moves all the way to the end, you will no longer be tempted by emotion or by the desire to improvise. Your clarity will rid you of the anxiety and vagueness that are the primary reasons why so many fail to conclude their actions successfully. You see the ending and you tolerate no deviation.

Image:
The Gods on
Mount Olympus.
Looking down on
human actions from the
clouds, they see in advance the
endings of all the great dreams that
lead to disaster and tragedy. And
they laugh at our inability to see beyond
the moment, and at how we delude ourselves.

Authority: How much easier it is never to get in than to get yourself out! We should act contrary to the reed which, when it first appears, throws up a long straight stem but afterwards, as though it were exhausted . . . makes several dense knots, indicating that it no longer has its original vigor and drive. We must rather begin gently and coolly, saving our breath for the encounter and our vigorous thrusts for finishing off the job. In their beginnings it is we who guide affairs and hold them in our power; but so often once they are set in motion, it is they which guide us and sweep us along. (Montaigne, 1533–1592)

REVERSAL

It is a cliché among strategists that your plan must include alternatives and have a degree of flexibility. That is certainly true. If you are locked into a plan too rigidly, you will be unable to deal with sudden shifts of fortune. Once you have examined the future possibilities and decided on your target, you must build in alternatives and be open to new routes toward your goal.

Most people, however, lose less from overplanning and rigidity than from vagueness and a tendency to improvise constantly in the face of circumstance. There is no real purpose in contemplating a reversal to this Law, then, for no good can come from refusing to think far into the future and planning to the end. If you are clear- and far-thinking enough, you will understand that the future is uncertain, and that you must be open to adaptation. Only having a clear objective and a far-reaching plan allows you that freedom.

LAW

30

MAKE YOUR ACCOMPLISHMENTS SEEM EFFORTLESS

JUDGMENT

Your actions must seem natural and executed with ease. All the toil and practice that go into them, and also all the clever tricks, must be concealed. When you act, act effortlessly, as if you could do much more. Avoid the temptation of revealing how hard you work—it only raises questions. Teach no one your tricks or they will be used against you.

OBSERVANCE OF THE LAW I

KANO TANNYU.
MASTER ARTIST

Date Masamune once sent for Tannyu to decorate a pair of gold screens seven feet high.

The artist said he thought black-and-white sketches would suit them, and went home again after considering them carefully. The next morning he came early and made a large quantity of ink into which he dipped a horseshoe he had brought with him, and then proceeded to make impressions of this all over one of the screens. Then, with a large brush, he drew a number of lines across them. Meanwhile Masamune had come in to watch his work, and at this he could contain his irritation no longer, and muttering, "What a beastly mess!"

he strode away to his own apartments. The retainers told Tannyu he was in a very bad temper indeed. "He shouldn't look on while I am at work, then," replied the painter, "he should wait till it is finished." Then he took up a smaller brush and dashed in touches here and there, and as he did so the prints of the horse-shoe turned into crabs, while the big broad strokes became rushes. He then turned to the other screen and splashed drops of ink all over it, and when he had added a few brush-strokes here and there they became a flight of

The Japanese tea ceremony called Cha-no-yu ("Hot Water for Tea") has origins in ancient times, but it reached its peak of refinement in the sixteenth century under its most renowned practitioner, Sen no Rikyu. Although not from a noble family, Rikyu rose to great power, becoming the preferred tea master of the Emperor Hideyoshi, and an important adviser on aesthetic and even political matters. For Rikyu, the secret of success consisted in appearing natural, concealing the effort behind one's work.

One day Rikyu and his son went to an acquaintance's house for a tea ceremony. On the way in, the son remarked that the lovely antique-looking gate at their host's house gave it an evocatively lonely appearance. "I don't think so," replied his father, "it looks as though it had been brought from some mountain temple a long way off, and as if the labor required to import it must have cost a lot of money." If the owner of the house had put this much effort into one gate, it would show in his tea ceremony—and indeed Sen no Rikyu had to leave the ceremony early, unable to endure the affectation and effort it inadvertently revealed.

On another evening, while having tea at a friend's house, Rikyu saw his host go outside, hold up a lantern in the darkness, cut a lemon off a tree, and bring it in. This charmed Rikyu—the host needed a relish for the dish he was serving, and had spontaneously gone outside to get one. But when the man offered the lemon with some Osaka rice cake, Rikyu realized that he had planned the cutting of the lemon all along, to go with this expensive delicacy. The gesture no longer seemed spontaneous—it was a way for the host to prove his cleverness. He had accidentally revealed how hard he was trying. Having seen enough, Rikyu politely declined the cake, excused himself, and left.

Emperor Hideyoshi once planned to visit Rikyu for a tea ceremony. On the night before he was to come, snow began to fall. Thinking quickly, Rikyu laid round cushions that fit exactly on each of the stepping-stones that led through the garden to his house. Just before dawn, he rose, saw that it had stopped snowing, and carefully removed the cushions. When Hideyoshi arrived, he marveled at the simple beauty of the sight—the perfectly round stepping stones, unencumbered by snow—and noticed how it called no attention to the manner in which Rikyu had accomplished it, but only to the polite gesture itself.

After Sen no Rikyu died, his ideas had a profound influence on the practice of the tea ceremony. The Tokugawa shogun Yorinobu, son of the great Emperor Ieyasu, was a student of Rikyu's teachings. In his garden he had a stone lantern made by a famous master, and Lord Sakai Tadakatsu asked if he could come by one day to see it. Yorinobu replied that he would be honored, and commanded his gardeners to put everything in order for the visit. These gardeners, unfamiliar with the precepts of Cha-no-yu, thought the stone lantern misshapen, its windows being too small for the present taste. They had a local workman enlarge the windows. A few days before Lord Sakai's visit, Yorinobu toured the garden. When he saw the al-

tered windows he exploded with rage, ready to impale on his sword the fool who had ruined the lantern, upsetting its natural grace and destroying the whole purpose of Lord Sakai's visit.

When Yorinobu calmed down, however, he remembered that he had originally bought two of the lanterns, and that the second was in his garden on the island of Kishu. At great expense, he hired a whale boat and the finest rowers he could find, ordering them to bring the lantern to him within two days—a difficult feat at best. But the sailors rowed day and night, and with the luck of a good wind they arrived just in time. To Yorinobu's delight, this stone lantern was more magnificent than the first, for it had stood untouched for twenty years in a bamboo thicket, acquiring a brilliant antique appearance and a delicate covering of moss. When Lord Sakai arrived, later that same day, he was awed by the lantern, which was more magnificent than he had imagined—so graceful and at one with the elements. Fortunately he had no idea what time and effort it had cost Yorinobu to create this sublime effect.

Interpretation

To Sen no Rikyu, the sudden appearance of something naturally, almost accidentally graceful was the height of beauty. This beauty came without warning and seemed effortless. Nature created such things by its own laws and processes, but men had to create their effects through labor and contrivance. And when they showed the effort of producing the effect, the effect was spoiled. The gate came from too far away, the cutting of the lemon looked contrived.

You will often have to use tricks and ingenuity to create your effects—the cushions in the snow, the men rowing all night—but your audience must never suspect the work or the thinking that has gone into them. Nature does not reveal its tricks, and what imitates nature by appearing effortless approximates nature's power.

OBSERVANCE OF THE LAW II

The great escape artist Harry Houdini once advertised his act as "The Impossible Possible." And indeed those who witnessed his dramatic escapes felt that what he did onstage contradicted commonsense ideas of human capacity.

One evening in 1904, an audience of 4,000 Londoners filled a theater to watch Houdini accept a challenge: to escape from a pair of manacles billed as the strongest ever invented. They contained six sets of locks and nine tumblers in each cuff; a Birmingham maker had spent five years constructing them. Experts who examined them said they had never seen anything so intricate, and this intricacy was thought to make them impossible to escape.

The crowd watched the experts secure the manacles on Houdini's wrists. Then the escape artist entered a black cabinet on stage. The minutes

swallows over willow trees. When Masamune saw the finished work he was as overjoyed at the artist's skill as he had previously been annoyed at the apparent mess he was making of the screens.

CHA-NO-YU:
THE JAPANESE TEA
CEREMONY
A. L. SADLER, 1962

THE WRESTLING MASTER

There was once a wrestling master who was versed in 360 feints and holds. He took a special liking to one of his pupils, to whom he taught 359 of them over a period of time. Somehow he never got around to the last trick. As months went by the young man became so proficient in the art that he bested everyone who dared to face him in the ring. He was so proud of his prowess that one day he boasted before the sultan that he could readily whip his master, were it not out of respect for his age and gratitude for his tutelage.

The sultan became incensed at this irreverence and ordered an immediate match with the royal court in attendance.

At the gong the youth barged forward with a lusty yell, only to be confronted with the unfamiliar 360th feint.

The master seized his former pupil, lifted him high above his head, and flung him crashing to the ground. The sultan and the assembly let out a loud cheer. When the sultan asked the master how he was able to overcome such a strong opponent, the master confessed that he had reserved a secret technique for himself for just such a contingency. Then he related the lamentation of a master of archery, who taught everything he knew. "No one has learned archery from me," the poor fellow complained, "who has not tried to use me as a butt in the end."

A STORY OF SAADI,
AS TOLD IN
THE CRAFT OF POWER,
R. G. H. SIU, 1979

went by; the more time passed, the more certain it seemed that these manacles would be the first to defeat him. At one point he emerged from the cabinet, and asked that the cuffs be temporarily removed so that he could take off his coat—it was hot inside. The challengers refused, suspecting his request was a trick to find out how the locks worked. Undeterred, and without using his hands, Houdini managed to lift the coat over his shoulders, turn it inside out, remove a penknife from his vest pocket with his teeth, and, by moving his head, cut the coat off his arms. Freed from the coat, he stepped back into the cabinet, the audience roaring with approval at his grace and dexterity.

Finally, having kept the audience waiting long enough, Houdini emerged from the cabinet a second time, now with his hands free, the manacles raised high in triumph. To this day no one knows how he managed the escape. Although he had taken close to an hour to free himself, he had never looked concerned, had shown no sign of doubt. Indeed it seemed by the end that he had drawn out the escape as a way to heighten the drama, to make the audience worry—for there was no other sign that the performance had been anything but easy. The complaint about the heat was equally part of the act. The spectators of this and other Houdini performances must have felt he was toying with them: These manacles are nothing, he seemed to say, I could have freed myself a lot sooner, and from a lot worse.

Over the years, Houdini escaped from the chained carcass of an embalmed "sea monster" (a half octopus, half whalelike beast that had beached near Boston); he had himself sealed inside an enormous envelope from which he emerged without breaking the paper; he passed through brick walls; he wriggled free from straitjackets while dangling high in the air; he leaped from bridges into icy waters, his hands manacled and his legs in chains; he had himself submerged in glass cases full of water, hands padlocked, while the audience watched in amazement as he worked himself free, struggling for close to an hour apparently without breathing. Each time he seemed to court certain death yet survived with superhuman aplomb. Meanwhile, he said nothing about his methods, gave no clues as to how he accomplished any of his tricks—he left his audiences and critics speculating, his power and reputation enhanced by their struggles with the inexplicable. Perhaps the most baffling trick of all was making a ten-thousand-pound elephant disappear before an audience's eyes, a feat he repeated on stage for over nineteen weeks. No one has ever really explained how he did this, for in the auditorium where he performed the trick, there was simply nowhere for an elephant to hide.

The effortlessness of Houdini's escapes led some to think he used occult forces, his superior psychic abilities giving him special control over his body. But a German escape artist named Kleppini claimed to know Houdini's secret: He simply used elaborate gadgets. Kleppini also claimed to have defeated Houdini in a handcuff challenge in Holland.

Houdini did not mind all kinds of speculation floating around about

his methods, but he would not tolerate an outright lie, and in 1902 he challenged Kleppini to a handcuff duel. Kleppini accepted. Through a spy, he found out the secret word to unlock a pair of French combination-lock cuffs that Houdini liked to use. His plan was to choose these cuffs to escape from onstage. This would definitively debunk Houdini—his “genius” simply lay in his use of mechanical gadgets.

On the night of the challenge, just as Kleppini had planned, Houdini offered him a choice of cuffs and he selected the ones with the combination lock. He was even able to disappear with them behind a screen to make a quick test, and reemerged seconds later, confident of victory.

Acting as if he sensed fraud, Houdini refused to lock Kleppini in the cuffs. The two men argued and began to fight, even wrestling with each other onstage. After a few minutes of this, an apparently angry, frustrated Houdini gave up and locked Kleppini in the cuffs. For the next few minutes Kleppini strained to get free. Something was wrong—minutes earlier he had opened the cuffs behind the screen; now the same code no longer worked. He sweated, racking his brains. Hours went by, the audience left, and finally an exhausted and humiliated Kleppini gave up and asked to be released.

The cuffs that Kleppini himself had opened behind the screen with the word “*C-L-E-F-S*” (French for “keys”) now clicked open only with the word “*F-R-A-U-D*.” Kleppini never figured out how Houdini had accomplished this uncanny feat.

Keep the extent of your abilities unknown. The wise man does not allow his knowledge and abilities to be sounded to the bottom, if he desires to be honored by all. He allows you to know them but not to comprehend them. No one must know the extent of his abilities, lest he be disappointed. No one ever has an opportunity of fathoming him entirely. For guesses and doubts about the extent of his talents arouse more veneration than accurate knowledge of them, be they ever so great.

BALTASAR GRACIÁN,
1601–1658

Interpretation

Although we do not know for certain how Houdini accomplished many of his most ingenious escapes, one thing is clear: It was not the occult, or any kind of magic, that gave him his powers, but hard work and endless practice, all of which he carefully concealed from the world. Houdini never left anything to chance—day and night he studied the workings of locks, researched centuries-old sleight-of-hand tricks, pored over books on mechanics, whatever he could use. Every moment not spent researching he spent working his body, keeping himself exceptionally limber, and learning how to control his muscles and his breathing.

Early on in Houdini’s career, an old Japanese performer whom he toured with taught him an ancient trick: how to swallow an ivory ball, then bring it back up. He practiced this endlessly with a small peeled potato tied to a string—up and down he would manipulate the potato with his throat muscles, until they were strong enough to move it without the string. The organizers of the London handcuff challenge had searched Houdini’s body thoroughly beforehand, but no one could check the inside of his throat, where he could have concealed small tools to help him escape. Even so, Kleppini was fundamentally wrong: It was not Houdini’s tools but his practice, work, and research that made his escapes possible.

Kleppini, in fact, was completely outwitted by Houdini, who set the whole thing up. He let his opponent learn the code to the French cuffs,

then baited him into choosing those cuffs onstage. Then, during the two men's tussle, the dexterous Houdini was able to change the code to "F-R-A-U-D." He had spent weeks practicing this trick, but the audience saw none of the sweat and toil behind the scenes. Nor was Houdini ever nervous; he induced nervousness in others. (He deliberately dragged out the time it would take to escape, as a way of heightening the drama, and making the audience squirm.) His escapes from death, always graceful and easy, made him look like a superman.

As a person of power, you must research and practice endlessly before appearing in public, onstage or anywhere else. Never expose the sweat and labor behind your poise. Some think such exposure will demonstrate their diligence and honesty, but it actually just makes them look weaker—as if anyone who practiced and worked at it could do what they had done, or as if they weren't really up to the job. Keep your effort and your tricks to yourself and you seem to have the grace and ease of a god. One never sees the source of a god's power revealed; one only sees its effects.

*A line [of poetry] will take us hours maybe;
Yet if it does not seem a moment's thought,
Our stitching and unstitching has been naught.
Adam's Curse, William Butler Yeats, 1865–1939*

KEYS TO POWER

Humanity's first notions of power came from primitive encounters with nature—the flash of lightning in the sky, a sudden flood, the speed and ferocity of a wild animal. These forces required no thinking, no planning—they awed us by their sudden appearance, their gracefulness, and their power over life and death. And this remains the kind of power we have always wanted to imitate. Through science and technology we have re-created the speed and sublime power of nature, but something is missing: Our machines are noisy and jerky, they reveal their effort. Even the very best creations of technology cannot root out our admiration for things that move easily and effortlessly. The power of children to bend us to their will comes from a kind of seductive charm that we feel in the presence of a creature less reflective and more graceful than we are. We cannot return to such a state, but if we can create the appearance of this kind of ease, we elicit in others the kind of primitive awe that nature has always evoked in humankind.

One of the first European writers to expound on this principle came from that most unnatural of environments, the Renaissance court. In *The Book of the Courtier*, published in 1528, Baldassare Castiglione describes the highly elaborate and codified manners of the perfect court citizen. And yet, Castiglione explains, the courtier must execute these gestures with what he calls *sprezzatura*, the capacity to make the difficult seem easy. He urges the courtier to "practice in all things a certain nonchalance which conceals all

artistry and makes whatever one says or does seem uncontrived and effortless.” We all admire the achievement of some unusual feat, but if it is accomplished naturally and gracefully, our admiration increases tenfold—“whereas . . . to labor at what one is doing and . . . to make bones over it, shows an extreme lack of grace and causes everything, whatever its worth, to be discounted.”

Much of the idea of *sprezzatura* came from the world of art. All the great Renaissance artists carefully kept their works under wraps. Only the finished masterpiece could be shown to the public. Michelangelo forbade even popes to view his work in process. A Renaissance artist was always careful to keep his studios shut to patrons and public alike, not out of fear of imitation, but because to see the making of the works would mar the magic of their effect, and their studied atmosphere of ease and natural beauty.

The Renaissance painter Vasari, also the first great art critic, ridiculed the work of Paolo Uccello, who was obsessed with the laws of perspective. The effort Uccello spent on improving the appearance of perspective was too obvious in his work—it made his paintings ugly and labored, overwhelmed by the effort of their effects. We have the same response when we watch performers who put too much effort into their act: Seeing them trying so hard breaks the illusion. It also makes us uncomfortable. Calm, graceful performers, on the other hand, set us at ease, creating the illusion that they are not acting but being natural and themselves, even when everything they are doing involves labor and practice.

The idea of *sprezzatura* is relevant to all forms of power, for power depends vitally on appearances and the illusions you create. Your public actions are like artworks: They must have visual appeal, must create anticipation, even entertain. When you reveal the inner workings of your creation, you become just one more mortal among others. What is understandable is not awe-inspiring—we tell ourselves we could do as well if we had the money and time. Avoid the temptation of showing how clever you are—it is far more clever to conceal the mechanisms of your cleverness.

Talleyrand’s application of this concept to his daily life greatly enhanced the aura of power that surrounded him. He never liked to work too hard, so he made others do the work for him—the spying, the research, the detailed analyses. With all this labor at his disposal, he himself never seemed to strain. When his spies revealed that a certain event was about to take place, he would talk in social conversation as if he *sensed* its imminence. The result was that people thought he was clairvoyant. His short pithy statements and witticisms always seemed to summarize a situation perfectly, but they were based on much research and thought. To those in government, including Napoleon himself, Talleyrand gave the impression of immense power—an effect entirely dependent on the apparent ease with which he accomplished his feats.

There is another reason for concealing your shortcuts and tricks:

When you let this information out, you give people ideas they can use against you. You lose the advantages of keeping silent. We tend to want the world to know what we have done—we want our vanity gratified by having our hard work and cleverness applauded, and we may even want sympathy for the hours it has taken to reach our point of artistry. Learn to control this propensity to blab, for its effect is often the opposite of what you expected. Remember: The more mystery surrounds your actions, the more awesome your power seems. You appear to be the only one who can do what you do—and the appearance of having an exclusive gift is immensely powerful. Finally, because you achieve your accomplishments with grace and ease, people believe that you could always do more if you tried harder. This elicits not only admiration but a touch of fear. Your powers are untapped—no one can fathom their limits.

Image: The Racehorse. From up close we would see the strain, the effort to control the horse, the labored, painful breathing. But from the distance where we sit and watch, it is all gracefulness, flying through the air. Keep others at a distance and they will only see the ease with which you move.

Authority: For whatever action [nonchalance] accompanies, no matter how trivial it is, it not only reveals the skill of the person doing it but also very often causes it to be considered far greater than it really is. This is because it makes the onlookers believe that a man who performs well with so much facility must possess even greater skill than he does. (Baldassare Castiglione, 1478–1529)

REVERSAL

The secrecy with which you surround your actions must seem lighthearted in spirit. A zeal to conceal your work creates an unpleasant, almost paranoid impression: you are taking the game too seriously. Houdini was careful to make the concealment of his tricks seem a game, all part of the show. Never show your work until it is finished, but if you put too much effort into keeping it under wraps you will be like the painter Pontormo, who spent the last years of his life hiding his frescoes from the public eye and only succeeded in driving himself mad. Always keep your sense of humor about yourself.

There are also times when revealing the inner workings of your projects can prove worthwhile. It all depends on your audience's taste, and on

the times in which you operate. P. T. Barnum recognized that his public wanted to feel involved in his shows, and that understanding his tricks delighted them, partly, perhaps, because implicitly debunking people who kept their sources of power hidden from the masses appealed to America's democratic spirit. The public also appreciated the showman's humor and honesty. Barnum took this to the extreme of publicizing his own humbuggery in his popular autobiography, written when his career was at its height.

As long as the *partial* disclosure of tricks and techniques is carefully planned, rather than the result of an uncontrollable need to blab, it is the ultimate in cleverness. It gives the audience the illusion of being superior and involved, even while much of what you do remains concealed from them.

LAW

31

CONTROL THE OPTIONS: GET OTHERS TO PLAY WITH THE CARDS YOU DEAL

JUDGMENT

The best deceptions are the ones that seem to give the other person a choice: Your victims feel they are in control, but are actually your puppets. Give people options that come out in your favor whichever one they choose. Force them to make choices between the lesser of two evils, both of which serve your purpose. Put them on the horns of a dilemma: They are gored wherever they turn.

OBSERVANCE OF THE LAW I

From early in his reign, Ivan IV, later known as Ivan the Terrible, had to confront an unpleasant reality: The country desperately needed reform, but he lacked the power to push it through. The greatest limit to his authority came from the boyars, the Russian princely class that dominated the country and terrorized the peasantry.

In 1553, at the age of twenty-three, Ivan fell ill. Lying in bed, nearing death, he asked the boyars to swear allegiance to his son as the new czar. Some hesitated, some even refused. Then and there Ivan saw he had no power over the boyars. He recovered from his illness, but he never forgot the lesson: The boyars were out to destroy him. And indeed in the years to come, many of the most powerful of them defected to Russia's main enemies, Poland and Lithuania, where they plotted their return and the overthrow of the czar. Even one of Ivan's closest friends, Prince Andrey Kurbski, suddenly turned against him, defecting to Lithuania in 1564, and becoming the strongest of Ivan's enemies.

When Kurbski began raising troops for an invasion, the royal dynasty seemed suddenly more precarious than ever. With émigré nobles fomenting invasion from the west, Tartars bearing down from the east, and the boyars stirring up trouble within the country, Russia's vast size made it a nightmare to defend. In whatever direction Ivan struck, he would leave himself vulnerable on the other side. Only if he had absolute power could he deal with this many-headed Hydra. And he had no such power.

Ivan brooded until the morning of December 3, 1564, when the citizens of Moscow awoke to a strange sight. Hundreds of sleds filled the square before the Kremlin, loaded with the czar's treasures and with provisions for the entire court. They watched in disbelief as the czar and his court boarded the sleds and left town. Without explaining why, he established himself in a village south of Moscow. For an entire month a kind of terror gripped the capital, for the Muscovites feared that Ivan had abandoned them to the bloodthirsty boyars. Shops closed up and riotous mobs gathered daily. Finally, on January 3 of 1565, a letter arrived from the czar, explaining that he could no longer bear the boyars' betrayals and had decided to abdicate once and for all.

Read aloud in public, the letter had a startling effect: Merchants and commoners blamed the boyars for Ivan's decision, and took to the streets, terrifying the nobility with their fury. Soon a group of delegates representing the church, the princes, and the people made the journey to Ivan's village, and begged the czar, in the name of the holy land of Russia, to return to the throne. Ivan listened but would not change his mind. After days of hearing their pleas, however, he offered his subjects a choice: Either they grant him absolute powers to govern as he pleased, with no interference from the boyars, or they find a new leader.

Faced with a choice between civil war and the acceptance of despotic power, almost every sector of Russian society "opted" for a strong czar, calling for Ivan's return to Moscow and the restoration of law and order. In

The German Chancellor Bismarck, enraged at the constant criticisms from Rudolf Virchow (the German pathologist and liberal politician), had his seconds call upon the scientist to challenge him to a duel. "As the challenged party, I have the choice of weapons," said Virchow, "and I choose these." He held aloft two large and apparently identical sausages. "One of these," he went on, "is infected with deadly germs; the other is perfectly sound. Let His Excellency decide which one he wishes to eat, and I will eat the other." Almost immediately the message came back that the chancellor had decided to cancel the duel.

THE LITTLE, BROWN
BOOK OF ANECDOTES,
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Once upon a time there was a king of Armenia, who, being of a curious turn of mind and in need of some new diversion, sent his heralds throughout the land to make the following proclamation: "Hear this! Whatever man among you can prove himself the most outrageous liar in Armenia shall receive an apple made of pure gold from the hands of His Majesty the King!" People began to swarm to the palace from every town and hamlet in the country, people of all ranks and conditions, princes, merchants, farmers, priests, rich and poor, tall and short, fat and thin. There was no lack of liars in the land, and each one told his tale to the king. A ruler, however, has heard practically every sort of lie, and none of those now told him convinced the king that he had listened to the best of them. The king was beginning to grow tired of his new sport and was thinking of calling the whole contest off without declaring a winner, when there appeared before him a poor, ragged man, carrying a large earthenware pitcher under his arm. "What can I do for you?" asked His Majesty. "Sire!" said the poor man, slightly bewil-

February, with much celebration, Ivan returned to Moscow. The Russians could no longer complain if he behaved dictatorially—they had given him this power themselves.

Interpretation

Ivan the Terrible faced a terrible dilemma: To give in to the boyars would lead to certain destruction, but civil war would bring a different kind of ruin. Even if Ivan came out of such a war on top, the country would be devastated and its divisions would be stronger than ever. His weapon of choice in the past had been to make a bold, offensive move. Now, however, that kind of move would turn against him—the more boldly he confronted his enemies, the worse the reactions he would spark.

The main weakness of a show of force is that it stirs up resentment and eventually leads to a response that eats at your authority. Ivan, immensely creative in the use of power, saw clearly that the only path to the kind of victory he wanted was a false withdrawal. He would not force the country over to his position, he would give it "options": either his abdication, and certain anarchy, or his accession to absolute power. To back up his move, he made it clear that he preferred to abdicate: "Call my bluff," he said, "and watch what happens." No one called his bluff. By withdrawing for just a month, he showed the country a glimpse of the nightmares that would follow his abdication—Tartar invasions, civil war, ruin. (All of these did eventually come to pass after Ivan's death, in the infamous "Time of the Troubles.")

Withdrawal and disappearance are classic ways of controlling the options. You give people a sense of how things will fall apart without you, and you offer them a "choice": I stay away and you suffer the consequences, or I return under circumstances that I dictate. In this method of controlling people's options, they choose the option that gives you power because the alternative is just too unpleasant. You force their hand, but indirectly: They seem to have a choice. Whenever people feel they have a choice, they walk into your trap that much more easily.

OBSERVANCE OF THE LAW II

As a seventeenth-century French courtesan, Ninon de Lenclos found that her life had certain pleasures. Her lovers came from royalty and aristocracy, and they paid her well, entertained her with their wit and intellect, satisfied her rather demanding sensual needs, and treated her almost as an equal. Such a life was infinitely preferable to marriage. In 1643, however, Ninon's mother died suddenly, leaving her, at the age of twenty-three, totally alone in the world—no family, no dowry, nothing to fall back upon. A kind of panic overtook her and she entered a convent, turning her back on her illustrious lovers. A year later she left the convent and moved to Lyons. When she finally reappeared in Paris, in 1648, lovers and suitors flocked to her door in greater numbers than ever before, for she was the wittiest and

most spirited courtesan of the time and her presence had been greatly missed.

Ninon's followers quickly discovered, however, that she had changed her old way of doing things, and had set up a new system of options. The dukes, seigneurs, and princes who wanted to pay for her services could continue to do so, but they were no longer in control—she would sleep with them when she wanted, according to her whim. All their money bought them was a possibility. If it was her pleasure to sleep with them only once a month, so be it.

Those who did not want to be what Ninon called a *payeur* could join the large and growing group of men she called her *martyrs*—men who visited her apartment principally for her friendship, her biting wit, her lute-playing, and the company of the most vibrant minds of the period, including Molière, La Rochefoucauld, and Saint-Évremond. The *martyrs*, too, however, entertained a possibility: She would regularly select from them a *favori*, a man who would become her lover without having to pay, and to whom she would abandon herself completely for as long as she so desired—a week, a few months, rarely longer. A *payeur* could not become a *favori*, but a *martyr* had no guarantee of becoming one, and indeed could remain disappointed for an entire lifetime. The poet Charleval, for example, never enjoyed Ninon's favors, but never stopped coming to visit—he did not want to do without her company.

As word of this system reached polite French society, Ninon became the object of intense hostility. Her reversal of the position of the courtesan scandalized the queen mother and her court. Much to their horror, however, it did not discourage her male suitors—indeed it only increased their numbers and intensified their desire. It became an honor to be a *payeur*, helping Ninon to maintain her lifestyle and her glittering salon, accompanying her sometimes to the theater, and sleeping with her when she chose. Even more distinguished were the *martyrs*, enjoying her company without paying for it and maintaining the hope, however remote, of some day becoming her *favori*. That possibility spurred on many a young nobleman, as word spread that none among the courtesans could surpass Ninon in the art of love. And so the married and the single, the old and the young, entered her web and chose one of the two options presented to them, both of which amply satisfied her.

Interpretation

The life of the courtesan entailed the possibility of a power that was denied a married woman, but it also had obvious perils. The man who paid for the courtesan's services in essence owned her, determining when he could possess her and when, later on, he would abandon her. As she grew older, her options narrowed, as fewer men chose her. To avoid a life of poverty she had to amass her fortune while she was young. The courtesan's legendary greed, then, reflected a practical necessity, yet also lessened her allure, since the illusion of being desired is important to men, who are often alien-

dered. "Surely you remember? You owe me a pot of gold, and I have come to collect it."

"You are a perfect liar, sir!" exclaimed the king. "I owe you no money!"

"A perfect liar, am I?" said the poor man.

"Then give me the golden apple!"

The king, realizing that the man was trying to trick him, started to hedge.

"No, no! You are not a liar!"

"Then give me the pot of gold you owe me, sire," said the man.

The king saw the dilemma. He handed over the golden apple.

ARMENIAN FOLK-TALES
AND FABLES,
RETOLD BY
CHARLES DOWNING,
1993

ated if their partner is too interested in their money. As the courtesan aged, then, she faced a most difficult fate.

Ninon de Lenclos had a horror of any kind of dependence. She early on tasted a kind of equality with her lovers, and she would not settle into a system that left her such distasteful options. Strangely enough, the system she devised in its place seemed to satisfy her suitors as much as it did her. The *payeurs* may have had to pay, but the fact that Ninon would only sleep with them when she wanted to gave them a thrill unavailable with every other courtesan: She was yielding out of her own desire. The *martyrs'* avoidance of the taint of having to pay gave them a sense of superiority; as members of Ninon's fraternity of admirers, they also might some day experience the ultimate pleasure of being her *favori*. Finally, Ninon did not force her suitors into either category. They could "choose" which side they preferred—a freedom that left them a vestige of masculine pride.

Such is the power of giving people a choice, or rather the illusion of one, for they are playing with cards you have dealt them. Where the alternatives set up by Ivan the Terrible involved a certain risk—one option would have led to his losing his power—Ninon created a situation in which every option redounded to her favor. From the *payeurs* she received the money she needed to run her salon. And from the *martyrs* she gained the ultimate in power: She could surround herself with a bevy of admirers, a harem from which to choose her lovers.

The system, though, depended on one critical factor: the possibility, however remote, that a *martyr* could become a *favori*. The illusion that riches, glory, or sensual satisfaction may someday fall into your victim's lap is an irresistible carrot to include in your list of choices. That hope, however slim, will make men accept the most ridiculous situations, because it leaves them the all-important option of a dream. The illusion of choice, married to the possibility of future good fortune, will lure the most stubborn sucker into your glittering web.

KEYS TO POWER

Words like "freedom," "options," and "choice" evoke a power of possibility far beyond the reality of the benefits they entail. When examined closely, the choices we have—in the marketplace, in elections, in our jobs—tend to have noticeable limitations: They are often a matter of a choice simply between A and B, with the rest of the alphabet out of the picture. Yet as long as the faintest mirage of choice flickers on, we rarely focus on the missing options. We "choose" to believe that the game is fair, and that we have our freedom. We prefer not to think too much about the depth of our liberty to choose.

This unwillingness to probe the smallness of our choices stems from the fact that too much freedom creates a kind of anxiety. The phrase "unlimited options" sounds infinitely promising, but unlimited options would actually paralyze us and cloud our ability to choose. Our limited range of choices comforts us.

J. P. Morgan Sr. once told a jeweler of his acquaintance that he was interested in buying a pearl scarf-pin. Just a few weeks later, the jeweler happened upon a magnificent pearl. He had it mounted in an appropriate setting and sent it to Morgan, together with a bill for \$5,000. The following day the package was returned. Morgan's accompanying note read: "I like the pin, but I don't like the price. If you will accept the enclosed check for \$4,000, please send back the box with the seal unbroken." The enraged jeweler refused the check and dismissed the messenger in disgust. He opened up the box to reclaim the unwanted pin, only to find that it had been removed. In its place was a check for \$5,000.

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This supplies the clever and cunning with enormous opportunities for deception. For people who are choosing between alternatives find it hard to believe they are being manipulated or deceived; they cannot see that you are allowing them a small amount of free will in exchange for a much more powerful imposition of your own will. Setting up a narrow range of choices, then, should always be a part of your deceptions. There is a saying: If you can get the bird to walk into the cage on its own, it will sing that much more prettily.

The following are among the most common forms of “controlling the options”:

Color the Choices. This was a favored technique of Henry Kissinger. As President Richard Nixon’s secretary of state, Kissinger considered himself better informed than his boss, and believed that in most situations he could make the best decision on his own. But if he tried to determine policy, he would offend or perhaps enrage a notoriously insecure man. So Kissinger would propose three or four choices of action for each situation, and would present them in such a way that the one he preferred always seemed the best solution compared to the others. Time after time, Nixon fell for the bait, never suspecting that he was moving where Kissinger pushed him. This is an excellent device to use on the insecure master.

Force the Resister. One of the main problems faced by Dr. Milton H. Erickson, a pioneer of hypnosis therapy in the 1950s, was the relapse. His patients might seem to be recovering rapidly, but their apparent susceptibility to the therapy masked a deep resistance: They would soon relapse into old habits, blame the doctor, and stop coming to see him. To avoid this, Erickson began *ordering* some patients to have a relapse, to make themselves feel as bad as when they first came in—to go back to square one. Faced with this option, the patients would usually “choose” to avoid the relapse—which, of course, was what Erickson really wanted.

This is a good technique to use on children and other willful people who enjoy doing the opposite of what you ask them to: Push them to “choose” what you want them to do by appearing to advocate the opposite.

Alter the Playing Field. In the 1860s, John D. Rockefeller set out to create an oil monopoly. If he tried to buy up the smaller oil companies they would figure out what he was doing and fight back. Instead, he began secretly buying up the railway companies that transported the oil. When he then attempted to take over a particular company, and met with resistance, he reminded them of their dependence on the rails. Refusing them shipping, or simply raising their fees, could ruin their business. Rockefeller altered the playing field so that the only options the small oil producers had were the ones he gave them.

In this tactic your opponents know their hand is being forced, but it doesn’t matter. The technique is effective against those who resist at all costs.

The Shrinking Options. The late-nineteenth-century art dealer Ambroise Vollard perfected this technique.

Customers would come to Vollard's shop to see some Cézannes. He would show three paintings, neglect to mention a price, and pretend to doze off. The visitors would have to leave without deciding. They would usually come back the next day to see the paintings again, but this time Vollard would pull out less interesting works, pretending he thought they were the same ones. The baffled customers would look at the new offerings, leave to think them over, and return yet again. Once again the same thing would happen: Vollard would show paintings of lesser quality still. Finally the buyers would realize they had better grab what he was showing them, because tomorrow they would have to settle for something worse, perhaps at even higher prices.

A variation on this technique is to raise the price every time the buyer hesitates and another day goes by. This is an excellent negotiating ploy to use on the chronically indecisive, who will fall for the idea that they are getting a better deal today than if they wait till tomorrow.

The Weak Man on the Precipice. The weak are the easiest to maneuver by controlling their options. Cardinal de Retz, the great seventeenth-century provocateur, served as an unofficial assistant to the Duke of Orléans, who was notoriously indecisive. It was a constant struggle to convince the duke to take action—he would hem and haw, weigh the options, and wait till the last moment, giving everyone around him an ulcer. But Retz discovered a way to handle him: He would describe all sorts of dangers, exaggerating them as much as possible, until the duke saw a yawning abyss in every direction except one: the one Retz was pushing him to take.

This tactic is similar to “Color the Choices,” but with the weak you have to be more aggressive. Work on their emotions—use fear and terror to propel them into action. Try reason and they will always find a way to procrastinate.

Brothers in Crime. This is a classic con-artist technique: You attract your victims to some criminal scheme, creating a bond of blood and guilt between you. They participate in your deception, commit a crime (or think they do—see the story of Sam Geezil in Law 3), and are easily manipulated. Serge Stavisky, the great French con artist of the 1920s, so entangled the government in his scams and swindles that the state did not dare to prosecute him, and “chose” to leave him alone. It is often wise to implicate in your deceptions the very person who can do you the most harm if you fail. Their involvement can be subtle—even a hint of their involvement will narrow their options and buy their silence.

The Horns of a Dilemma. This idea was demonstrated by General William Sherman's infamous march through Georgia during the American Civil War. Although the Confederates knew what direction Sherman was

heading in, they never knew if he would attack from the left or the right, for he divided his army into two wings—and if the rebels retreated from one wing they found themselves facing the other. This is a classic trial lawyer’s technique: The lawyer leads the witnesses to decide between two possible explanations of an event, both of which poke a hole in their story. They have to answer the lawyer’s questions, but whatever they say they hurt themselves. The key to this move is to strike quickly: Deny the victim the time to think of an escape. As they wriggle between the horns of the dilemma, they dig their own grave.

Understand: In your struggles with your rivals, it will often be necessary for you to hurt them. And if you are clearly the agent of their punishment, expect a counterattack—expect revenge. If, however, they seem *to themselves* to be the agents of their own misfortune, they will submit quietly. When Ivan left Moscow for his rural village, the citizens asking him to return agreed to his demand for absolute power. Over the years to come, they resented him less for the terror he unleashed on the country, because, after all, they had granted him his power themselves. This is why it is always good to allow your victims their choice of poison, and to cloak your involvement in providing it to them as far as possible.

Image: The Horns of the Bull. The bull backs you into the corner with its horns—not a single horn, which you might be able to escape, but a pair of horns that trap you within their hold. Run right or run left—either way you move into their piercing ends and are gored.

Authority: For the wounds and every other evil that men inflict upon themselves spontaneously, and of their own choice, are in the long run less painful than those inflicted by others. (Niccolò Machiavelli, 1469–1527)

REVERSAL

Controlling the options has one main purpose: to disguise yourself as the agent of power and punishment. The tactic works best, then, for those whose power is fragile, and who cannot operate too openly without incurring suspicion, resentment, and anger. Even as a general rule, however, it is rarely wise to be seen as exerting power directly and forcefully, no matter how secure or strong you are. It is usually more elegant and more effective to give people the illusion of choice.

On the other hand, by limiting other people's options you sometimes limit your own. There are situations in which it is to your advantage to allow your rivals a large degree of freedom: As you watch them operate, you give yourself rich opportunities to spy, gather information, and plan your deceptions. The nineteenth-century banker James Rothschild liked this method: He felt that if he tried to control his opponents' movements, he lost the chance to observe their strategy and plan a more effective course. The more freedom he allowed them in the short term, the more forcefully he could act against them in the long run.

LAW

32

PLAY TO PEOPLE'S
FANTASIES

JUDGMENT

The truth is often avoided because it is ugly and unpleasant. Never appeal to truth and reality unless you are prepared for the anger that comes from disenchantment. Life is so harsh and distressing that people who can manufacture romance or conjure up fantasy are like oases in the desert. Everyone flocks to them. There is great power in tapping into the fantasies of the masses.

OBSERVANCE OF THE LAW

THE FUNERAL OF THE LIONESSE

The lion having suddenly lost his queen, every one hastened to show allegiance to the monarch, by offering consolation. These compliments, alas, served but to increase the widower's affliction. Due notice was given throughout the kingdom that the funeral would be performed at a certain time and place; the lion's officers were ordered to be in attendance, to regulate the ceremony, and place the company according to their respective rank. One may well judge no one absented himself. The monarch gave way to his grief, and the whole cave, lions having no other temples, resounded with his cries. After his example, all the courtiers roared in their different tones. A court is the sort of place where everyone is either sorrowful, gay, or indifferent to everything, just as the reigning prince may think fit; or if any one is not actually, he at least tries to appear so; each endeavors to mimic the master. It is truly said that one mind animates a thousand bodies, clearly showing that human beings are mere machines. But let us return to our subject. The stag alone shed no tears. How could he, forsooth? The death of the queen avenged him; she had

The city-state of Venice was prosperous for so long that its citizens felt their small republic had destiny on its side. In the Middle Ages and High Renaissance, its virtual monopoly on trade to the east made it the wealthiest city in Europe. Under a beneficent republican government, Venetians enjoyed liberties that few other Italians had ever known. Yet in the sixteenth century their fortunes suddenly changed. The opening of the New World transferred power to the Atlantic side of Europe—to the Spanish and Portuguese, and later the Dutch and English. Venice could not compete economically and its empire gradually dwindled. The final blow was the devastating loss of a prized Mediterranean possession, the island of Cyprus, captured from Venice by the Turks in 1570.

Now noble families went broke in Venice, and banks began to fold. A kind of gloom and depression settled over the citizens. They had known a glittering past—had either lived through it or heard stories about it from their elders. The closeness of the glory years was humiliating. The Venetians half believed that the goddess Fortune was only playing a joke on them, and that the old days would soon return. For the time being, though, what could they do?

In 1589 rumors began to swirl around Venice of the arrival not far away of a mysterious man called “Il Bragadino,” a master of alchemy, a man who had won incredible wealth through his ability, it was said, to multiply gold through the use of a secret substance. The rumor spread quickly because a few years earlier, a Venetian nobleman passing through Poland had heard a learned man prophesy that Venice would recover her past glory and power if she could find a man who understood the alchemic art of manufacturing gold. And so, as word reached Venice of the gold this Bragadino possessed—he clinked gold coins continuously in his hands, and golden objects filled his palace—some began to dream: Through him, their city would prosper again.

Members of Venice’s most important noble families accordingly went together to Brescia, where Bragadino lived. They toured his palace and watched in awe as he demonstrated his gold-making abilities, taking a pinch of seemingly worthless minerals and transforming it into several ounces of gold dust. The Venetian senate prepared to debate the idea of extending an official invitation to Bragadino to stay in Venice at the city’s expense, when word suddenly reached them that they were competing with the Duke of Mantua for his services. They heard of a magnificent party in Bragadino’s palace for the duke, featuring garments with golden buttons, gold watches, gold plates, and on and on. Worried they might lose Bragadino to Mantua, the senate voted almost unanimously to invite him to Venice, promising him the mountain of money he would need to continue living in his luxurious style—but only if he came right away.

Late that year the mysterious Bragadino arrived in Venice. With his piercing dark eyes under thick brows, and the two enormous black mastiffs that accompanied him everywhere, he was forbidding and impressive. He took up residence in a sumptuous palace on the island of the Giudecca,

with the republic funding his banquets, his expensive clothes, and all his other whims. A kind of alchemy fever spread through Venice. On street corners, hawkers would sell coal, distilling apparatus, bellows, how-to books on the subject. Everyone began to practice alchemy—everyone except Bragadino.

The alchemist seemed to be in no hurry to begin manufacturing the gold that would save Venice from ruin. Strangely enough this only increased his popularity and following; people thronged from all over Europe, even Asia, to meet this remarkable man. Months went by, with gifts pouring in to Bragadino from all sides. Still he gave no sign of the miracle that the Venetians confidently expected him to produce. Eventually the citizens began to grow impatient, wondering if he would wait forever. At first the senators warned them not to hurry him—he was a capricious devil, who needed to be cajoled. Finally, though, the nobility began to wonder too, and the senate came under pressure to show a return on the city's ballooning investment.

Bragadino had only scorn for the doubters, but he responded to them. He had, he said, already deposited in the city's mint the mysterious substance with which he multiplied gold. He could use this substance up all at once, and produce double the gold, but the more slowly the process took place, the more it would yield. If left alone for seven years, sealed in a casket, the substance would multiply the gold in the mint thirty times over. Most of the senators agreed to wait to reap the gold mine Bragadino promised. Others, however, were angry: seven more years of this man living royally at the public trough! And many of the common citizens of Venice echoed these sentiments. Finally the alchemist's enemies demanded he produce a proof of his skills: a substantial amount of gold, and soon.

Lofty, apparently devoted to his art, Bragadino responded that Venice, in its impatience, had betrayed him, and would therefore lose his services. He left town, going first to nearby Padua, then, in 1590, to Munich, at the invitation of the Duke of Bavaria, who, like the entire city of Venice, had known great wealth but had fallen into bankruptcy through his own profligacy, and hoped to regain his fortune through the famous alchemist's services. And so Bragadino resumed the comfortable arrangement he had known in Venice, and the same pattern repeated itself.

Interpretation

The young Cypriot Mamugnà had lived in Venice for several years before reincarnating himself as the alchemist Bragadino. He saw how gloom had settled on the city, how everyone was hoping for a redemption from some indefinite source. While other charlatans mastered everyday cons based on sleight of hand, Mamugnà mastered human nature. With Venice as his target from the start, he traveled abroad, made some money through his alchemy scams, and then returned to Italy, setting up shop in Brescia. There he created a reputation that he knew would spread to Venice. From a distance, in fact, his aura of power would be all the more impressive.

At first Mamugnà did not use vulgar demonstrations to convince peo-

formerly strangled his wife and son. A courtier thought fit to inform the bereaved monarch, and even affirmed that he had seen the stag laugh. The rage of a king, says Solomon, is terrible, and especially that of a lion-king. "Pitiful forester!" he exclaimed, "darest thou laugh when all around are dissolved in tears? We will not soil our royal claws with thy profane blood! Do thou, brave wolf, avenge our queen, by immolating this traitor to her august manes."

Hereupon the stag replied: "Sire, the time for weeping is passed; grief is here superfluous. Your revered spouse appeared to me but now, reposing on a bed of roses; I instantly recognized her. 'Friend,' said she to me, 'have done with this funereal pomp, cease these useless tears. I have tasted a thousand delights in the Elysian fields, conversing with those who are saints like myself. Let the king's despair remain for some time unchecked, it gratifies me.'" Scarcely had he spoken, when every one shouted: "A miracle! a miracle!" The stag, instead of being punished, received a handsome gift. Do but entertain a king with dreams, flatter him, and tell him a few pleasant fantastic lies: whatever his indignation against you may be, he will swallow the bait, and make you his dearest friend.

FABLES,
JEAN DE LA FONTAINE,
1621–1695

*If you want to tell lies
that will be believed,
don't tell the truth
that won't.*

EMPEROR TOKUGAWA
IEYASU OF JAPAN,
SEVENTEENTH CENTURY

ple of his alchemic skill. His sumptuous palace, his opulent garments, the clink of gold in his hands, all these provided a superior argument to anything rational. And these established the cycle that kept him going: His obvious wealth confirmed his reputation as an alchemist, so that patrons like the Duke of Mantua gave him money, which allowed him to live in wealth, which reinforced his reputation as an alchemist, and so on. Only once this reputation was established, and dukes and senators were fighting over him, did he resort to the trifling necessity of a demonstration. By then, however, people were easy to deceive: They wanted to believe. The Venetian senators who watched him multiply gold wanted to believe so badly that they failed to notice the glass pipe up his sleeve, from which he slipped gold dust into his pinches of minerals. Brilliant and capricious, he was the alchemist of their fantasies—and once he had created an aura like this, no one noticed his simple deceptions.

Such is the power of the fantasies that take root in us, especially in times of scarcity and decline. People rarely believe that their problems arise from their own misdeeds and stupidity. Someone or something out there is to blame—the other, the world, the gods—and so salvation comes from the outside as well. Had Bragadino arrived in Venice armed with a detailed analysis of the reasons behind the city's economic decline, and of the hard-nosed steps that it could take to turn things around, he would have been scorned. The reality was too ugly and the solution too painful—mostly the kind of hard work that the citizens' ancestors had mustered to create an empire. Fantasy, on the other hand—in this case the romance of alchemy—was easy to understand and infinitely more palatable.

To gain power, you must be a source of pleasure for those around you—and pleasure comes from playing to people's fantasies. Never promise a gradual improvement through hard work; rather, promise the moon, the great and sudden transformation, the pot of gold.

No man need despair of gaining converts to the most extravagant hypothesis who has art enough to represent it in favorable colors.

David Hume, 1711–1776

KEYS TO POWER

Fantasy can never operate alone. It requires the backdrop of the humdrum and the mundane. It is the oppressiveness of reality that allows fantasy to take root and bloom. In sixteenth-century Venice, the reality was one of decline and loss of prestige. The corresponding fantasy described a sudden recovery of past glories through the miracle of alchemy. While the reality only got worse, the Venetians inhabited a happy dream world in which their city restored its fabulous wealth and power overnight, turning dust into gold.

The person who can spin a fantasy out of an oppressive reality has access to untold power. As you search for the fantasy that will take hold of the

masses, then, keep your eye on the banal truths that weigh heavily on us all. Never be distracted by people's glamorous portraits of themselves and their lives; search and dig for what really imprisons them. Once you find that, you have the magical key that will put great power in your hands.

Although times and people change, let us examine a few of the oppressive realities that endure, and the opportunities for power they provide:

The Reality: Change is slow and gradual. It requires hard work, a bit of luck, a fair amount of self-sacrifice, and a lot of patience.

The Fantasy: A sudden transformation will bring a total change in one's fortunes, bypassing work, luck, self-sacrifice, and time in one fantastic stroke.

This is of course the fantasy par excellence of the charlatans who prowl among us to this day, and was the key to Bragadino's success. Promise a great and total change—from poor to rich, sickness to health, misery to ecstasy—and you will have followers.

How did the great sixteenth-century German quack Leonhard Thurneisser become the court physician for the Elector of Brandenburg without ever studying medicine? Instead of offering amputations, leeches, and foul-tasting purgatives (the medicaments of the time), Thurneisser offered sweet-tasting elixirs and promised instant recovery. Fashionable courtiers especially wanted his solution of “drinkable gold,” which cost a fortune. If some inexplicable illness assailed you, Thurneisser would consult a horoscope and prescribe a talisman. Who could resist such a fantasy—health and well-being without sacrifice and pain!

The Reality: The social realm has hard-set codes and boundaries. We understand these limits and know that we have to move within the same familiar circles, day in and day out.

The Fantasy: We can enter a totally new world with different codes and the promise of adventure.

In the early 1700s, all London was abuzz with talk of a mysterious stranger, a young man named George Psalmanazar. He had arrived from what was to most Englishmen a fantastical land: the island of Formosa (now Taiwan), off the coast of China. Oxford University engaged Psalmanazar to teach the island's language; a few years later he translated the Bible into Formosan, then wrote a book—an immediate best-seller—on Formosa's history and geography. English royalty wined and dined the young man, and everywhere he went he entertained his hosts with wondrous stories of his homeland, and its bizarre customs.

After Psalmanazar died, however, his will revealed that he was in fact merely a Frenchman with a rich imagination. Everything he had said about Formosa—its alphabet, its language, its literature, its entire culture—he had invented. He had built on the English public's ignorance of the place to concoct an elaborate story that fulfilled their desire for the exotic and

strange. British culture's rigid control of people's dangerous dreams gave him the perfect opportunity to exploit their fantasy.

The fantasy of the exotic, of course, can also skirt the sexual. It must not come too close, though, for the physical hinders the power of fantasy; it can be seen, grasped, and then tired of—the fate of most courtesans. The bodily charms of the mistress only whet the master's appetite for more and different pleasures, a new beauty to adore. To bring power, fantasy must remain to some degree unrealized, literally unreal. The dancer Mata Hari, for instance, who rose to public prominence in Paris before World War I, had quite ordinary looks. Her power came from the fantasy she created of being strange and exotic, unknowable and indecipherable. The taboo she worked with was less sex itself than the breaking of social codes.

Another form of the fantasy of the exotic is simply the hope for relief from boredom. Con artists love to play on the oppressiveness of the working world, its lack of adventure. Their cons might involve, say, the recovery of lost Spanish treasure, with the possible participation of an alluring Mexican señorita and a connection to the president of a South American country—anything offering release from the humdrum.

The Reality: Society is fragmented and full of conflict.

The Fantasy: People can come together in a mystical union of souls.

In the 1920s the con man Oscar Hartzell made a quick fortune out of the age-old Sir Francis Drake swindle—basically promising any sucker who happened to be surnamed “Drake” a substantial share of the long-lost “Drake treasure,” to which Hartzell had access. Thousands across the Midwest fell for the scam, which Hartzell cleverly turned into a crusade against the government and everyone else who was trying to keep the Drake fortune out of the rightful hands of its heirs. There developed a mystical union of the oppressed Drakes, with emotional rallies and meetings. Promise such a union and you can gain much power, but it is a dangerous power that can easily turn against you. This is a fantasy for demagogues to play on.

The Reality: Death. The dead cannot be brought back, the past cannot be changed.

The Fantasy: A sudden reversal of this intolerable fact.

This con has many variations, but requires great skill and subtlety.

The beauty and importance of the art of Vermeer have long been recognized, but his paintings are small in number, and are extremely rare. In the 1930s, though, Vermeers began to appear on the art market. Experts were called on to verify them, and pronounced them real. Possession of these new Vermeers would crown a collector's career. It was like the resurrection of Lazarus: In a strange way, Vermeer had been brought back to life. The past had been changed.

Only later did it come out that the new Vermeers were the work of a middle-aged Dutch forger named Han van Meegeren. And he had chosen

Vermeer for his scam because he understood fantasy: The paintings would seem real precisely because the public, and the experts as well, so desperately wanted to believe they were.

Remember: The key to fantasy is distance. The distant has allure and promise, seems simple and problem free. What you are offering, then, should be ungraspable. Never let it become oppressively familiar; it is the mirage in the distance, withdrawing as the sucker approaches. Never be too direct in describing the fantasy—keep it vague. As a forger of fantasies, let your victim come close enough to see and be tempted, but keep him far away enough that he stays dreaming and desiring.

Image: The
Moon. Unattainable,
always changing shape,
disappearing and reappear-
ing. We look at it, imagine,
wonder, and pine—never fa-
miliar, continuous provoker
of dreams. Do not offer
the obvious. Promise
the moon.

Authority: A lie is an allurement, a fabrication, that can be embellished into a fantasy. It can be clothed in the raiments of a mystic conception. Truth is cold, sober fact, not so comfortable to absorb. A lie is more palatable. The most detested person in the world is the one who always tells the truth, who never romances. . . . I found it far more interesting and profitable to romance than to tell the truth. (Joseph Weil, a.k.a. "The Yellow Kid," 1875–1976)

REVERSAL

If there is power in tapping into the fantasies of the masses, there is also danger. Fantasy usually contains an element of play—the public half realizes it is being duped, but it keeps the dream alive anyway, relishing the entertainment and the temporary diversion from the everyday that you are providing. So keep it light—never come too close to the place where you are actually expected to produce results. That place may prove extremely hazardous.

After Bragadino established himself in Munich, he found that the sober-minded Bavarians had far less faith in alchemy than the temperamental Venetians. Only the duke really believed in it, for he needed it desperately to rescue him from the hopeless mess he was in. As Bragadino played his familiar waiting game, accepting gifts and expecting patience, the public grew angry. Money was being spent and was yielding no results. In 1592 the Bavarians demanded justice, and eventually Bragadino found himself swinging from the gallows. As before, he had promised and had not delivered, but this time he had misjudged the forbearance of his hosts, and his inability to fulfill their fantasy proved fatal.

One last thing: Never make the mistake of imagining that fantasy is always fantastical. It certainly contrasts with reality, but reality itself is sometimes so theatrical and stylized that fantasy becomes a desire for simple things. The image Abraham Lincoln created of himself, for example, as a homespun country lawyer with a beard, made him the common man's president.

P. T. Barnum created a successful act with Tom Thumb, a dwarf who dressed up as famous leaders of the past, such as Napoleon, and lampooned them wickedly. The show delighted everyone, right up to Queen Victoria, by appealing to the fantasy of the time: Enough of the vain-glorious rulers of history, the common man knows best. Tom Thumb reversed the familiar pattern of fantasy in which the strange and unknown becomes the ideal. But the act still obeyed the Law, for underlying it was the fantasy that the simple man is without problems, and is happier than the powerful and the rich.

Both Lincoln and Tom Thumb played the commoner but carefully maintained their distance. Should you play with such a fantasy, you too must carefully cultivate distance and not allow your “common” persona to become too familiar or it will not project as fantasy.

LAW

33

DISCOVER EACH
MAN'S THUMBSCREW

JUDGMENT

Everyone has a weakness, a gap in the castle wall. That weakness is usually an insecurity, an uncontrollable emotion or need; it can also be a small secret pleasure. Either way, once found, it is a thumbscrew you can turn to your advantage.

THE LION, THE
CHAMOIS, AND THE FOX

A lion was chasing a chamois along a valley. He had all but caught it, and with longing eyes was anticipating a certain and a satisfying repast. It seemed as if it were utterly impossible for the victim to escape;

for a deep ravine appeared to bar the way for both the hunter and the hunted. But the nimble chamois, gathering together all its strength, shot like an arrow from a bow across the chasm, and stood still on the rocky cliff on the other side.

Our lion pulled up short. But at that moment a friend of his happened to be near at hand. That friend was the fox.

“What!” said he, “with your strength and agility, is it possible that you will yield to a feeble chamois? You have only to will, and you will be able to work wonders. Though the abyss be deep, yet, if you are only in earnest,

I am certain you will clear it. Surely you can confide in my disinterested friendship. I would not expose your life to danger if I were not so well aware of your strength and dexterity.”

The lion’s blood waxed hot, and began to boil in his veins. He flung himself with all his might into space. But he could not clear the chasm; so down he tumbled headlong, and was killed by the fall.

FINDING THE THUMBSCREW: A Strategic Plan of Action

We all have resistances. We live with a perpetual armor around ourselves to defend against change and the intrusive actions of friends and rivals. We would like nothing more than to be left to do things our own way. Constantly butting up against these resistances will cost you a lot of energy. One of the most important things to realize about people, though, is that they all have a weakness, some part of their psychological armor that will *not* resist, that will bend to your will if you find it and push on it. Some people wear their weaknesses openly, others disguise them. Those who disguise them are often the ones most effectively undone through that one chink in their armor.

In planning your assault, keep these principles in mind:

Pay Attention to Gestures and Unconscious Signals. As Sigmund Freud remarked, “No mortal can keep a secret. If his lips are silent, he chatters with his fingertips; betrayal oozes out of him at every pore.” This is a critical concept in the search for a person’s weakness—it is revealed by seemingly unimportant gestures and passing words.

The key is not only what you look for but where and how you look. Everyday conversation supplies the richest mine of weaknesses, so train yourself to listen. Start by always seeming interested—the appearance of a sympathetic ear will spur anyone to talk. A clever trick, often used by the nineteenth-century French statesman Talleyrand, is to appear to open up to the other person, to share a secret with them. It can be completely made up, or it can be real but of no great importance to you—the important thing is that it should *seem* to come from the heart. This will usually elicit a response that is not only as frank as yours but more genuine—a response that reveals a weakness.

If you suspect that someone has a particular soft spot, probe for it indirectly. If, for instance, you sense that a man has a need to be loved, openly flatter him. If he laps up your compliments, no matter how obvious, you are on the right track. Train your eye for details—how someone tips a waiter, what delights a person, the hidden messages in clothes. Find people’s idols, the things they worship and will do anything to get—perhaps you can be the supplier of their fantasies. Remember: Since we all try to hide our weaknesses, there is little to be learned from our conscious behavior. What oozes out in the little things outside our conscious control is what you want to know.

Find the Helpless Child. Most weaknesses begin in childhood, before the self builds up compensatory defenses. Perhaps the child was pampered or indulged in a particular area, or perhaps a certain emotional need went unfulfilled; as he or she grows older, the indulgence or the deficiency may be buried but never disappears. Knowing about a childhood need gives you a powerful key to a person’s weakness.

One sign of this weakness is that when you touch on it the person will often act like a child. Be on the lookout, then, for any behavior that should

have been outgrown. If your victims or rivals went without something important, such as parental support, when they were children, supply it, or its facsimile. If they reveal a secret taste, a hidden indulgence, indulge it. In either case they will be unable to resist you.

Look for Contrasts. An overt trait often conceals its opposite. People who thump their chests are often big cowards; a prudish exterior may hide a lascivious soul; the uptight are often screaming for adventure; the shy are dying for attention. By probing beyond appearances, you will often find people's weaknesses in the opposite of the qualities they reveal to you.

Find the Weak Link. Sometimes in your search for weaknesses it is not what but who that matters. In today's versions of the court, there is often someone behind the scenes who has a great deal of power, a tremendous influence over the person superficially on top. These behind-the-scenes powerbrokers are the group's weak link: Win their favor and you indirectly influence the king. Alternatively, even in a group of people acting with the appearance of one will—as when a group under attack closes ranks to resist an outsider—there is always a weak link in the chain. Find the one person who will bend under pressure.

Fill the Void. The two main emotional voids to fill are insecurity and unhappiness. The insecure are suckers for any kind of social validation; as for the chronically unhappy, look for the roots of their unhappiness. The insecure and the unhappy are the people least able to disguise their weaknesses. The ability to fill their emotional voids is a great source of power, and an indefinitely prolongable one.

Feed on Uncontrollable Emotions. The uncontrollable emotion can be a paranoid fear—a fear disproportionate to the situation—or any base motive such as lust, greed, vanity, or hatred. People in the grip of these emotions often cannot control themselves, and you can do the controlling for them.

OBSERVANCES OF THE LAW

Observance I

In 1615 the thirty-year-old bishop of Luçon, later known as Cardinal Richelieu, gave a speech before representatives of the three estates of France—clergy, nobility, and commoners. Richelieu had been chosen to serve as the mouthpiece for the clergy—an immense responsibility for a man still young and not particularly well known. On all of the important issues of the day, the speech followed the Church line. But near the end of it Richelieu did something that had nothing to do with the Church and everything to do with his career. He turned to the throne of the fifteen-year-old King Louis XIII, and to the Queen Mother Marie de' Médicis, who sat beside

Then what did his dear friend do? He cautiously made his way down to the bottom of the ravine, and there, out in the open space and the free air, seeing that the lion wanted neither flattery nor obedience now, he set to work to pay the last sad rites to his dead friend, and in a month picked his bones clean.

FABLES,
IVAN KRILOFF,
1768–1844

IRVING LAZAR

*[Hollywood super-agent] Irving Paul Lazar was once anxious to sell [studio mogul] Jack L. Warner a play. "I had a long meeting with him today," Lazar explained [to screenwriter Garson Kanin], "but I didn't mention it, I didn't even bring it up."
"Why not?" I asked.
"Because I'm going to wait until the weekend after next, when I go to Palm Springs."
"I don't understand."
"You don't? I go to Palm Springs every weekend, but Warner isn't going this weekend. He's got a preview or something. So he's not coming down till the next weekend, so that's when I'm going to bring it up."
"Irving, I'm more and*

more confused.”
“Look,” said Irving impatiently, “I know what I’m doing. I know how to sell Warner. This is a type of material that he’s uneasy with, so I have to hit him with it hard and suddenly to get an okay.”
“But why Palm Springs?”
“Because in Palm Springs, every day he goes to the baths at The Spa. And that’s where I’m going to be when he’s there. Now there’s a thing about Jack: He’s eighty and he’s very vain, and he doesn’t like people to see him naked. So when I walk up to him naked at The Spa—I mean he’s naked—well, I’m naked too, but I don’t care who sees me. He does. And I walk up to him naked, and I start to talk to him about this thing, he’ll be very embarrassed. And he’ll want to get away from me, and the easiest way is to say ‘Yes,’ because he knows if he says ‘No,’ then I’m going to stick with him, and stay right on it, and not give up. So to get rid of me, he’ll probably say, ‘Yes.’”
Two weeks later, I read of the acquisition of this particular property by Warner Brothers. I phoned Lazar and asked how it had been accomplished. “How do you think?” he asked. “In the buff, that’s how . . . just the way I told you it was going to work.”

HOLLYWOOD,
GARSON KANIN,
1974

Louis, as the regent ruling France until her son reached his majority. Everyone expected Richelieu to say the usual kind words to the young king. Instead, however, he looked directly at and only at the queen mother. Indeed his speech ended in long and fulsome praise of her, praise so glowing that it actually offended some in the Church. But the smile on the queen’s face as she lapped up Richelieu’s compliments was unforgettable.

A year later the queen mother appointed Richelieu secretary of state for foreign affairs, an incredible coup for the young bishop. He had now entered the inner circle of power, and he studied the workings of the court as if it were the machinery of a watch. An Italian, Concino Concini, was the queen mother’s favorite, or rather her lover, a role that made him perhaps the most powerful man in France. Concini was vain and foppish, and Richelieu played him perfectly—attending to him as if *he* were the king. Within months Richelieu had become one of Concini’s favorites. But something happened in 1617 that turned everything upside down: the young king, who up until then had shown every sign of being an idiot, had Concini murdered and his most important associates imprisoned. In so doing Louis took command of the country with one blow, sweeping the queen mother aside.

Had Richelieu played it wrong? He had been close to both Concini and Marie de Médicis, whose advisers and ministers were now all out of favor, some even arrested. The queen mother herself was shut up in the Louvre, a virtual prisoner. Richelieu wasted no time. If everyone was deserting Marie de Médicis, he would stand by her. He knew Louis could not get rid of her, for the king was still very young, and had in any case always been inordinately attached to her. As Marie’s only remaining powerful friend, Richelieu filled the valuable function of liaison between the king and his mother. In return he received her protection, and was able to survive the palace coup, even to thrive. Over the next few years the queen mother grew still more dependent on him, and in 1622 she repaid him for his loyalty: Through the intercession of her allies in Rome, Richelieu was elevated to the powerful rank of cardinal.

By 1623 King Louis was in trouble. He had no one he could trust to advise him, and although he was now a young man instead of a boy, he remained childish in spirit, and affairs of state came hard to him. Now that he had taken the throne, Marie was no longer the regent and theoretically had no power, but she still had her son’s ear, and she kept telling him that Richelieu was his only possible savior. At first Louis would have none of it—he hated the cardinal with a passion, only tolerating him out of love for Marie. In the end, however, isolated in the court and crippled by his own indecisiveness, he yielded to his mother and made Richelieu first his chief councilor and later prime minister.

Now Richelieu no longer needed Marie de Médicis. He stopped visiting and courting her, stopped listening to her opinions, even argued with her and opposed her wishes. Instead he concentrated on the king, making himself indispensable to his new master. All the previous premiers, understanding the king’s childishness, had tried to keep him out of trouble; the

shrewd Richelieu played him differently, deliberately pushing him into one ambitious project after another, such as a crusade against the Huguenots and finally an extended war with Spain. The immensity of these projects only made the king more dependent on his powerful premier, the only man able to keep order in the realm. And so, for the next eighteen years, Richelieu, exploiting the king's weaknesses, governed and molded France according to his own vision, unifying the country and making it a strong European power for centuries to come.

Interpretation

Richelieu saw everything as a military campaign, and no strategic move was more important to him than discovering his enemy's weaknesses and applying pressure to them. As early as his speech in 1615, he was looking for the weak link in the chain of power, and he saw that it was the queen mother. Not that Marie was obviously weak—she governed both France and her son; but Richelieu saw that she was really an insecure woman who needed constant masculine attention. He showered her with affection and respect, even toadying up to her favorite, Concini. He knew the day would come when the king would take over, but he also recognized that Louis loved his mother dearly and would always remain a child in relation to her. The way to control Louis, then, was not by gaining his favor, which could change overnight, but by gaining sway over his mother, for whom his affection would never change.

Once Richelieu had the position he desired—prime minister—he discarded the queen mother, moving on to the next weak link in the chain: the king's own character. There was a part of him that would always be a helpless child in need of higher authority. It was on the foundation of the king's weakness that Richelieu established his own power and fame.

Remember: When entering the court, find the weak link. The person in control is often not the king or queen; it is someone behind the scenes—the favorite, the husband or wife, even the court fool. This person may have more weaknesses than the king himself, because his power depends on all kinds of capricious factors outside his control.

Finally, when dealing with helpless children who cannot make decisions, play on their weakness and push them into bold ventures. They will have to depend on you even more, for you will become the adult figure whom they rely on to get them out of scrapes and to safety.

Observance II

In December of 1925, guests at the swankiest hotel in Palm Beach, Florida, watched with interest as a mysterious man arrived in a Rolls-Royce driven by a Japanese chauffeur. Over the next few days they studied this handsome man, who walked with an elegant cane, received telegrams at all hours, and only engaged in the briefest of conversations. He was a count, they heard, Count Victor Lustig, and he came from one of the wealthiest families in Europe—but this was all they could find out.

Imagine their amazement, then, when Lustig one day walked up to one of the least distinguished guests in the hotel, a Mr. Herman Loller,

THE LITTLE THINGS COUNT

As time went on I came to look for the little weaknesses. . . . It's the little things that count. On one occasion, I worked on the president of a large bank in Omaha. The [phony] deal involved the purchase of the street railway system of Omaha, including a bridge across the Mississippi River. My principals were supposedly German and I had to negotiate with Berlin. While awaiting word from them I introduced my fake mining-stock proposition. Since this man was rich, I decided to play for high stakes. . . . Meanwhile, I played golf with the banker, visited his home, and went to the theater with him and his wife. Though he showed some interest in my stock deal, he still wasn't convinced. I had built it up to the point that an investment of \$1,250,000 was required. Of this I was to put up \$900,000, the banker \$350,000. But still he hesitated. One evening when I was at his home for dinner I wore some perfume—Coty's "April Violets." It was not then considered effeminate for a man to use a dash of perfume. The banker's wife thought it very lovely. "Where did you get it?" "It is a rare blend," I told her, "especially made for me by a

French perfumer. Do you like it?" "I love it," she replied. The following day I went through my effects and found two empty bottles. Both had come from France, but were empty. I went to a downtown department store and purchased ten ounces of Coty's "April Violets." I poured this into the two French bottles, carefully sealed them, wrapped them in tissue paper. That evening I dropped by the banker's home and presented the two bottles to his wife. "They were especially put up for me in Cologne," I told her. The next day the banker called at my hotel. His wife was enraptured by the perfume. She considered it the most wonderful, the most exotic fragrance she had ever used. I did not tell the banker he could get all he wanted right in Omaha. "She said," the banker added, "that I was fortunate to be associated with a man like you." From then on his attitude was changed, for he had complete faith in his wife's judgment. . . . He parted with \$350,000. This, incidentally was my biggest [con] score.

"YELLOW KID" WEIL,
1875-1976

head of an engineering company, and entered into conversation with him. Loller had made his fortune only recently, and forging social connections was very important to him. He felt honored and somewhat intimidated by this sophisticated man, who spoke perfect English with a hint of a foreign accent. Over the days to come, the two became friends.

Loller of course did most of the talking, and one night he confessed that his business was doing poorly, with more troubles ahead. In return, Lustig confided in his new friend that he too had serious money problems—Communists had seized his family estate and all its assets. He was too old to learn a trade and go to work. Luckily he had found an answer—"a money-making machine." "You counterfeit?" Loller whispered in half-shock. No, Lustig replied, explaining that through a secret chemical process, his machine could duplicate any paper currency with complete accuracy. Put in a dollar bill and six hours later you had two, both perfect. He proceeded to explain how the machine had been smuggled out of Europe, how the Germans had developed it to undermine the British, how it had supported the count for several years, and on and on. When Loller insisted on a demonstration, the two men went to Lustig's room, where the count produced a magnificent mahogany box fitted with slots, cranks, and dials. Loller watched as Lustig inserted a dollar bill in the box. Sure enough, early the following morning Lustig pulled out two bills, still wet from the chemicals.

Lustig gave the notes to Loller, who immediately took the bills to a local bank—which accepted them as genuine. Now the businessman feverishly begged Lustig to sell him a machine. The count explained that there was only one in existence, so Loller made him a high offer: \$25,000, then a considerable amount (more than \$400,000 in today's terms). Even so, Lustig seemed reluctant: He did not feel right about making his friend pay so much. Yet finally he agreed to the sale. After all, he said, "I suppose it matters little what you pay me. You are, after all, going to recover the amount within a few days by duplicating your own bills." Making Loller swear never to reveal the machine's existence to other people, Lustig accepted the money. Later the same day he checked out of the hotel. A year later, after many futile attempts at duplicating bills, Loller finally went to the police with the story of how Count Lustig had conned him with a pair of dollar bills, some chemicals, and a worthless mahogany box.

Interpretation

Count Lustig had an eagle eye for other people's weaknesses. He saw them in the smallest gesture. Loller, for instance, overtipped waiters, seemed nervous in conversation with the concierge, talked loudly about his business. His weakness, Lustig knew, was his need for social validation and for the respect that he thought his wealth had earned him. He was also chronically insecure. Lustig had come to the hotel to hunt for prey. In Loller he homed in on the perfect sucker—a man hungering for someone to fill his psychic voids.

In offering Loller his friendship, then, Lustig knew he was offering him the immediate respect of the other guests. As a count, Lustig was also offer-

ing the newly rich businessman access to the glittering world of old wealth. And for the coup de grâce, he apparently owned a machine that would rescue Loller from his worries. It would even put him on a par with Lustig himself, who had also used the machine to maintain his status. No wonder Loller took the bait.

Remember: When searching for suckers, always look for the dissatisfied, the unhappy, the insecure. Such people are riddled with weaknesses and have needs that you can fill. Their neediness is the groove in which you place your thumbnail and turn them at will.

Observance III

In the year 1559, the French king Henri II died in a jousting exhibition. His son assumed the throne, becoming Francis II, but in the background stood Henri's wife and queen, Catherine de' Médicis, a woman who had long ago proven her skill in affairs of state. When Francis died the next year, Catherine took control of the country as regent to her next son in line of succession, the future Charles IX, a mere ten years old at the time.

The main threats to the queen's power were Antoine de Bourbon, king of Navarre, and his brother, Louis, the powerful prince of Condé, both of whom could claim the right to serve as regent instead of Catherine, who, after all, was Italian—a foreigner. Catherine quickly appointed Antoine lieutenant general of the kingdom, a title that seemed to satisfy his ambition. It also meant that he had to remain in court, where Catherine could keep an eye on him. Her next move proved smarter still: Antoine had a notorious weakness for young women, so she assigned one of her most attractive maids of honor, Louise de Rouet, to seduce him. Now Antoine's intimate, Louise reported all of his actions to Catherine. The move worked so brilliantly that Catherine assigned another of her maids to Prince Condé, and thus was formed her *escadron volant*—"flying squadron"—of young girls whom she used to keep the unsuspecting males in the court under her control.

In 1572 Catherine married off her daughter, Marguerite de Valois, to Henri, the son of Antoine and the new king of Navarre. To put a family that had always struggled against her so close to power was a dangerous move, so to make sure of Henri's loyalty she unleashed on him the loveliest member of her "flying squadron," Charlotte de Beaune Semblançay, baroness of Sauves. Catherine did this even though Henri was married to her daughter. Within weeks, Marguerite de Valois wrote in her memoirs, "Mme. de Sauves so completely ensnared my husband that we no longer slept together, nor even conversed."

The baroness was an excellent spy and helped to keep Henri under Catherine's thumb. When the queen's youngest son, the Duke of Alençon, grew so close to Henri that she feared the two might plot against her, she assigned the baroness to him as well. This most infamous member of the flying squadron quickly seduced Alençon, and soon the two young men fought over her and their friendship quickly ended, along with any danger of a conspiracy.

And while I am on the subject, there is another fact that deserves mention. It is this. A man shows his character just in the way in which he deals with trifles—for then he is off his guard. This will often afford a good opportunity of observing the boundless egoism of a man's nature, and his total lack of consideration for others; and if these defects show themselves in small things, or merely in his general demeanour, you will find that they also underlie his action in matters of importance, although he may disguise the fact. This is an opportunity which should not be missed. If in the little affairs of every day—the trifles of life . . .—a man is inconsiderate and seeks only what is advantageous or convenient to himself, to the prejudice of others' rights; if he appropriates to himself that which belongs to all alike, you may be sure there is no justice in his heart, and that he would be a scoundrel on a whole-sale scale, only that law and compulsion bind his hands.

ARTHUR
SCHOPENHAUER,
1788–1860

THE BATTLE AT
PHARSALIA

*When the two armies
[Julius Caesar's and
Pompey's] were come
into Pharsalia, and
both encamped there,
Pompey's thoughts ran
the same way as they
had done before,
against fighting. . . . But
those who were about
him were greatly confi-
dent of success . . . as if
they had already
conquered. . . . The
cavalry especially were
obstinate for fighting,
being splendidly armed
and bravely mounted,
and valuing themselves
upon the fine horses
they kept, and upon
their own handsome
persons; as also upon
the advantage of their
numbers, for they were
five thousand against
one thousand of
Caesar's. Nor were the
numbers of the infantry
less disproportionate,
there being forty-five
thousand of Pompey's
against twenty-two
thousand of the enemy.
[The next day] whilst
the infantry was thus
sharply engaged in the
main battle, on the
flank Pompey's horse
rode up confidently,
and opened [his
cavalry's] ranks very
wide, that they might
surround the right wing
of Caesar. But before
they engaged, Caesar's
cohorts rushed out and
attacked them, and did
not dart their javelins
at a distance, nor strike
at the thighs and legs,
as they usually did in
close battle, but aimed
at their faces. For thus*

Interpretation

Catherine had seen very early on the sway that a mistress has over a man of power: Her own husband, Henri II, had kept one of the most infamous mistresses of them all, Diane de Poitiers. What Catherine learned from the experience was that a man like her husband wanted to feel he could win a woman over without having to rely on his status, which he had inherited rather than earned. And such a need contained a huge blind spot: As long as the woman began the affair by acting as if she had been conquered, the man would fail to notice that as time passed the mistress had come to hold power over him, as Diane de Poitiers did over Henri. It was Catherine's strategy to turn this weakness to her advantage, using it as a way to conquer and control men. All she had to do was unleash the loveliest women in the court, her "flying squadron," on men whom she knew shared her husband's vulnerability.

Remember: Always look for passions and obsessions that cannot be controlled. The stronger the passion, the more vulnerable the person. This may seem surprising, for passionate people look strong. In fact, however, they are simply filling the stage with their theatricality, distracting people from how weak and helpless they really are. A man's need to conquer women actually reveals a tremendous helplessness that has made suckers out of them for thousands of years. Look at the part of a person that is most visible—their greed, their lust, their intense fear. These are the emotions they cannot conceal, and over which they have the least control. And what people cannot control, you can control for them.

Observance IV

Arabella Huntington, wife of the great late-nineteenth-century railroad magnate Collis P. Huntington, came from humble origins and always struggled for social recognition among her wealthy peers. When she gave a party in her San Francisco mansion, few of the social elite would show up; most of them took her for a gold digger, not their kind. Because of her husband's fabulous wealth, art dealers courted her, but with such condescension they obviously saw her as an upstart. Only one man of consequence treated her differently: the dealer Joseph Duveen.

For the first few years of Duveen's relationship with Arabella, he made no effort to sell expensive art to her. Instead he accompanied her to fine stores, chatted endlessly about queens and princesses he knew, on and on. At last, she thought, a man who treated her as an equal, even a superior, in high society. Meanwhile, if Duveen did not try to sell art to her, he did subtly educate her in his aesthetic ideas—namely, that the best art was the most expensive art. And after Arabella had soaked up his way of seeing things, Duveen would act as if she always had exquisite taste, even though before she met him her aesthetics had been abysmal.

When Collis Huntington died, in 1900, Arabella came into a fortune. She suddenly started to buy expensive paintings, by Rembrandt and Velázquez, for example—and only from Duveen. Years later Duveen sold her Gainsborough's *Blue Boy* for the highest price ever paid for a work of

art at the time, an astounding purchase for a family that previously had shown little interest in collecting.

Interpretation

Joseph Duveen instantly understood Arabella Huntington and what made her tick: She wanted to feel important, at home in society. Intensely insecure about her lower-class background, she needed confirmation of her new social status. Duveen waited. Instead of rushing into trying to persuade her to collect art, he subtly went to work on her weaknesses. He made her feel that she deserved his attention not because she was the wife of one of the wealthiest men in the world but because of her own special character—and this completely melted her. Duveen never condescended to Arabella; rather than lecturing to her, he instilled his ideas in her indirectly. The result was one of his best and most devoted clients, and also the sale of *The Blue Boy*.

People's need for validation and recognition, their need to feel important, is the best kind of weakness to exploit. First, it is almost universal; second, exploiting it is so very easy. All you have to do is find ways to make people feel better about their taste, their social standing, their intelligence. Once the fish are hooked, you can reel them in again and again, for years—you are filling a positive role, giving them what they cannot get on their own. They may never suspect that you are turning them like a thumb-screw, and if they do they may not care, because you are making them feel better about themselves, and that is worth any price.

Observance V

In 1862 King William of Prussia named Otto von Bismarck premier and minister for foreign affairs. Bismarck was known for his boldness, his ambition—and his interest in strengthening the military. Since William was surrounded by liberals in his government and cabinet, politicians who already wanted to limit his powers, it was quite dangerous for him to put Bismarck in this sensitive position. His wife, Queen Augusta, had tried to dissuade him, but although she usually got her way with him, this time William stuck to his guns.

Only a week after becoming prime minister, Bismarck made an impromptu speech to a few dozen ministers to convince them of the need to enlarge the army. He ended by saying, “The great questions of the time will be decided, not by speeches and resolutions of majorities, but by iron and blood.” His speech was immediately disseminated throughout Germany. The queen screamed at her husband that Bismarck was a barbaric militarist who was out to usurp control of Prussia, and that William had to fire him. The liberals in the government agreed with her. The outcry was so vehement that William began to be afraid he would end up on a scaffold, like Louis XVI of France, if he kept Bismarck on as prime minister.

Bismarck knew he had to get to the king before it was too late. He also knew he had blundered, and should have tempered his fiery words. Yet as he contemplated his strategy, he decided not to apologize but to do the exact opposite. Bismarck knew the king well.

Caesar had instructed them, in hopes that young gentlemen, who had not known much of battles and wounds, but came wearing their hair long, in the flower of their age and height of their beauty, would be more apprehensive of such blows, and not care for hazarding both a danger at present and a blemish for the future.

And so it proved, for they were so far from bearing the stroke of the javelins, that they could not stand the sight of them, but turned about, and covered their faces to secure them. Once in disorder, presently they turned about to fly; and so most shamefully ruined all. For those who had beat them back at once outflanked the infantry, and falling on their rear, cut them to pieces. Pompey, who commanded the other wing of the army, when he saw his cavalry thus broken and flying, was no longer himself, nor did he now remember that he was Pompey the Great, but, like one whom some god had deprived of his senses, retired to his tent without speaking a word, and there sat to expect the event, till the whole army was routed.

THE LIFE OF JULIUS
CAESAR,
PLUTARCH,
c. A.D. 46–120

When the two men met, William, predictably, had been worked into a tizzy by the queen. He reiterated his fear of being guillotined. But Bismarck only replied, "Yes, then we shall be dead! We must die sooner or later, and could there be a more respectable way of dying? I should die fighting for the cause of my king and master. Your Majesty would die sealing with your own blood your royal rights granted by God's grace. Whether upon the scaffold or upon the battlefield makes no difference to the glorious staking of body and life on behalf of rights granted by God's grace!" On he went, appealing to William's sense of honor and the majesty of his position as head of the army. How could the king allow people to push him around? Wasn't the honor of Germany more important than quibbling over words? Not only did the prime minister convince the king to stand up to both his wife and his parliament, he persuaded him to build up the army—Bismarck's goal all along.

Interpretation

Bismarck knew the king felt bullied by those around him. He knew that William had a military background and a deep sense of honor, and that he felt ashamed at his cravenness before his wife and his government. William secretly yearned to be a great and mighty king, but he dared not express this ambition because he was afraid of ending up like Louis XVI. Where a show of courage often conceals a man's timidity, William's timidity concealed his need to show courage and thump his chest.

Bismarck sensed the longing for glory beneath William's pacifist front, so he played to the king's insecurity about his manhood, finally pushing him into three wars and the creation of a German empire. Timidity is a potent weakness to exploit. Timid souls often yearn to be their opposite—to be Napoleons. Yet they lack the inner strength. You, in essence, can become their Napoleon, pushing them into bold actions that serve your needs while also making them dependent on you. Remember: Look to the opposites and never take appearances at face value.

Image: The
Thumbscrew.
Your enemy
has secrets that
he guards, thinks
thoughts he will
not reveal. But
they come out in
ways he cannot
help. It is there some-
where, a groove of
weakness on his head,
at his heart, over his
belly. Once you find the
groove, put your thumb in
it and turn him at will.

Authority: Find out each man's thumbscrew. 'Tis the art of setting their wills in action. It needs more skill than resolution. You must know where to get at anyone. Every volition has a special motive which varies according to taste. All men are idolaters, some of fame, others of self-interest, most of pleasure. Skill consists in knowing these idols in order to bring them into play. Knowing any man's mainspring of motive you have as it were the key to his will. (Baltasar Gracián, 1601–1658)

REVERSAL

Playing on people's weakness has one significant danger: You may stir up an action you cannot control.

In your games of power you always look several steps ahead and plan accordingly. And you exploit the fact that other people are more emotional and incapable of such foresight. But when you play on their vulnerabilities, the areas over which they have least control, you can unleash emotions that will upset your plans. Push timid people into bold action and they may go too far; answer their need for attention or recognition and they may need more than you want to give them. The helpless, childish element you are playing on can turn against you.

The more emotional the weakness, the greater the potential danger. Know the limits to this game, then, and never get carried away by your control over your victims. You are after power, not the thrill of control.

LAW

34

BE ROYAL IN YOUR OWN
FASHION: ACT LIKE A
KING TO BE TREATED
LIKE ONE

JUDGMENT

The way you carry yourself will often determine how you are treated: In the long run, appearing vulgar or common will make people disrespect you. For a king respects himself and inspires the same sentiment in others. By acting regally and confident of your powers, you make yourself seem destined to wear a crown.

TRANSGRESSION OF THE LAW

In July of 1830, a revolution broke out in Paris that forced the king, Charles X, to abdicate. A commission of the highest authorities in the land gathered to choose a successor, and the man they picked was Louis-Philippe, the Duke of Orléans.

From the beginning it was clear that Louis-Philippe would be a different kind of king, and not just because he came from a different branch of the royal family, or because he had not inherited the crown but had been given it, by a commission, putting his legitimacy in question. Rather it was that he disliked ceremony and the trappings of royalty; he had more friends among the bankers than among the nobility; and his style was not to create a new kind of royal rule, as Napoleon had done, but to downplay his status, the better to mix with the businessmen and middle-class folk who had called him to lead. Thus the symbols that came to be associated with Louis-Philippe were neither the scepter nor the crown, but the gray hat and umbrella with which he would proudly walk the streets of Paris, as if he were a bourgeois out for a stroll. When Louis-Philippe invited James Rothschild, the most important banker in France, to his palace, he treated him as an equal. And unlike any king before him, not only did he talk business with Monsieur Rothschild but that was literally all he talked, for he loved money and had amassed a huge fortune.

As the reign of the “bourgeois king” plodded on, people came to despise him. The aristocracy could not endure the sight of an unkingly king, and within a few years they turned on him. Meanwhile the growing class of the poor, including the radicals who had chased out Charles X, found no satisfaction in a ruler who neither acted as a king nor governed as a man of the people. The bankers to whom Louis-Philippe was the most beholden soon realized that it was they who controlled the country, not he, and they treated him with growing contempt. One day, at the start of a train trip organized for the royal family, James Rothschild actually berated him—and in public—for being late. Once the king had made news by treating the banker as an equal, now the banker treated the king as an inferior.

Eventually the workers’ insurrections that had brought down Louis-Philippe’s predecessor began to reemerge, and the king put them down with force. But what was he defending so brutally? Not the institution of the monarchy, which he disdained, nor a democratic republic, which his rule prevented. What he was really defending, it seemed, was his own fortune, and the fortunes of the bankers—not a way to inspire loyalty among the citizenry.

In early 1848, Frenchmen of all classes began to demonstrate for electoral reforms that would make the country truly democratic. By February the demonstrations had turned violent. To assuage the populace, Louis-Philippe fired his prime minister and appointed a liberal as a replacement. But this created the opposite of the desired effect: The people sensed they could push the king around. The demonstrations turned into a full-fledged revolution, with gunfire and barricades in the streets.

Never lose your self-respect, nor be too familiar with yourself when you are alone. Let your integrity itself be your own standard of rectitude, and be more indebted to the severity of your own judgment of yourself than to all external precepts. Desist from unseemly conduct, rather out of respect for your own virtue than for the strictures of external authority. Come to hold yourself in awe, and you will have no need of Seneca's imaginary tutor.

BALTASAR GRACIÁN,
1601–1658

On the night of February 23, a crowd of Parisians surrounded the palace. With a suddenness that caught everyone by surprise, Louis-Philippe abdicated that very evening and fled to England. He left no successor, nor even the suggestion of one—his whole government folded up and dissolved like a traveling circus leaving town.

Interpretation

Louis-Philippe consciously dissolved the aura that naturally pertains to kings and leaders. Scoffing at the symbolism of grandeur, he believed a new world was dawning, where rulers should act and be like ordinary citizens. He was right: A new world, without kings and queens, was certainly on its way. He was profoundly wrong, however, in predicting a change in the dynamics of power.

The bourgeois king's hat and umbrella amused the French at first, but soon grew irritating. People knew that Louis-Philippe was not really like them at all—that the hat and umbrella were essentially a kind of trick to encourage them in the fantasy that the country had suddenly grown more equal. Actually, though, the divisions of wealth had never been greater. The French expected their ruler to be a bit of a showman, to have some presence. Even a radical like Robespierre, who had briefly come to power during the French Revolution fifty years earlier, had understood this, and certainly Napoleon, who had turned the revolutionary republic into an imperial regime, had known it in his bones. Indeed as soon as Louis-Philippe fled the stage, the French revealed their true desire: They elected Napoleon's grand-nephew president. He was a virtual unknown, but they hoped he would re-create the great general's powerful aura, erasing the awkward memory of the "bourgeois king."

Powerful people may be tempted to affect a common-man aura, trying to create the illusion that they and their subjects or underlings are basically the same. But the people whom this false gesture is intended to impress will quickly see through it. They understand that they are not being given more power—that it only *appears* as if they shared in the powerful person's fate. The only kind of common touch that works is the kind affected by Franklin Roosevelt, a style that said the president shared values and goals with the common people even while he remained a patrician at heart. He never pretended to erase his distance from the crowd.

Leaders who try to dissolve that distance through a false chumminess gradually lose the ability to inspire loyalty, fear, or love. Instead they elicit contempt. Like Louis-Philippe, they are too uninspiring even to be worth the guillotine—the best they can do is simply vanish in the night, as if they were never there.

OBSERVANCE OF THE LAW

When Christopher Columbus was trying to find funding for his legendary voyages, many around him believed he came from the Italian aristocracy. This view was passed into history through a biography written after the explorer's death by his son, which describes him as a descendant of a Count

Colombo of the Castle of Cuccaro in Montferrat. Colombo in turn was said to be descended from the legendary Roman general Colonius, and two of his first cousins were supposedly direct descendants of an emperor of Constantinople. An illustrious background indeed. But it was nothing more than illustrious fantasy, for Columbus was actually the son of Domenico Colombo, a humble weaver who had opened a wine shop when Christopher was a young man, and who then made his living by selling cheese.

Columbus himself had created the myth of his noble background, because from early on he felt that destiny had singled him out for great things, and that he had a kind of royalty in his blood. Accordingly he acted as if he were indeed descended from noble stock. After an uneventful career as a merchant on a commercial vessel, Columbus, originally from Genoa, settled in Lisbon. Using the fabricated story of his noble background, he married into an established Lisbon family that had excellent connections with Portuguese royalty.

Through his in-laws, Columbus finagled a meeting with the king of Portugal, João II, whom he petitioned to finance a westward voyage aimed at discovering a shorter route to Asia. In return for announcing that any discoveries he achieved would be made in the king's name, Columbus wanted a series of rights: the title Grand Admiral of the Oceanic Sea; the office of viceroy over any lands he found; and 10 percent of the future commerce with such lands. All of these rights were to be hereditary and for all time. Columbus made these demands even though he had previously been a mere merchant, he knew almost nothing about navigation, he could not work a quadrant, and he had never led a group of men. In short he had absolutely no qualifications for the journey he proposed. Furthermore, his petition included no details as to how he would accomplish his plans, just vague promises.

When Columbus finished his pitch, João II smiled: He politely declined the offer, but left the door open for the future. Here Columbus must have noticed something he would never forget: Even as the king turned down the sailor's demands, he treated them as legitimate. He neither laughed at Columbus nor questioned his background and credentials. In fact the king was impressed by the boldness of Columbus's requests, and clearly felt comfortable in the company of a man who acted so confidently. The meeting must have convinced Columbus that his instincts were correct: By asking for the moon, he had instantly raised his own status, for the king assumed that unless a man who set such a high price on himself were mad, which Columbus did not appear to be, he must somehow be worth it.

A few years later Columbus moved to Spain. Using his Portuguese connections, he moved in elevated circles at the Spanish court, receiving subsidies from illustrious financiers and sharing tables with dukes and princes. To all these men he repeated his request for financing for a voyage to the west—and also for the rights he had demanded from João II. Some, such as the powerful duke of Medina, wanted to help, but could not, since they lacked the power to grant him the titles and rights he wanted. But Columbus would not back down. He soon realized that only one person

HIPPOCLEIDES AT SICYON

In the next generation the family became much more famous than before through the distinction conferred upon it by Cleisthenes the master of Sicyon. Cleisthenes . . . had a daughter, Agarista, whom he wished to marry to the best man in all Greece. So during the Olympic games, in which he had himself won the chariot race, he had a public announcement made, to the effect that any Greek who thought himself good enough to become Cleisthenes' son-in-law should present himself in Sicyon within sixty days—or sooner if he wished—because he intended, within the year following the sixtieth day, to betroth his daughter to her future husband. Cleisthenes had had a race-track and a wrestling-ring specially made for his purpose, and presently the suitors began to arrive—every man of Greek nationality who had something to be proud of either in his country or in himself. . . . Cleisthenes began by asking each [of the numerous suitors] in turn to name his country and parentage; then he kept them in his house for a year, to get to know them well, entering into conversation with them sometimes singly, sometimes all together, and testing each of them for his

